

Administrative Simplification Enforcement Testing Tool (ASETT)



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CMS Presenters:
Gladys Wheeler
Angelo Pardo

Background

- Original HIPAA Enforcement Tool in use for more than 10 years
- Numerous enhancements during the ten years with the adoption of new standards and updated versions of the standards
- Major enhancement deployed June 2016 with ASETT

Introduction

- ASETT supports CMS current enforcement strategy
- Enforcement strategy is a complaint driven collaborative approach
- Corrective action plans assist entities achieve compliance

Who Can Use ASETT

- Anyone can file a complaint and/or test a transaction
- Complaints can be filed anonymously
- ASETT stores, tracks and monitors complaint information

ASETT Enhancements

- Enhanced security
- Improved user interface
- User friendly navigation capability
- More intuitive

3 Stage process to access ASETT

1. Enterprise Identity Data Management (EIDM)
Security requirements
2. Register Multi Factor Authentication (MFA)
Device
3. Role Selection: “Registrant”

EIDM Security Requirements

- For New EIDM users
 - User is required to create an Account and Register – user must complete a one-time EIDM registration process to gain access to the system
 - Register MFA device
- Current EIDM users
 - May proceed to CMS Portal to request access to ASETT

MFA Device Registration

Select MFA Device:

- Phone /Tablet PC/Laptop
- Text Message Short Message Service (SMS)
- Interactive Voice Response (IVR)
- E-mail

Checklist

- EIDM Account Registration
- Registered MFA device
- Selected Role “Registrant”
- Complaint Information

Begin Complaint Process

- Identify the type of HIPAA/ACA Complaint
- Provide your contact information
- Identify the Filed Against Entity (FAE)
- Describe the HIPAA/ACA violation
- Attach supporting documentation to support your complaint.

ASETT




Login

Create an Account

- ASETT Home
- About ASETT
- FAQ
- Glossary
- Contact Us
- Enforcement Video
- User Guide
- Privacy Complaint**

If you believe that a covered entity or business associate violated your health information privacy rights or committed another violation of the Privacy, Security or Breach Notification Rules, you may file a complaint with the [Office for Civil Rights \(OCR\)](#).



ASETT

Administrative Simplification Enforcement and Testing Tool

ASETT is a web-based application which enables individuals or organizations to file a Health Insurance Portability and Accountability Act (HIPAA) and/or Affordable Care Act (ACA) complaint against a HIPAA covered entity for potential non-compliance with the non-Privacy/Security provisions of HIPAA.

[Learn More...](#)

File HIPAA Complaint

Click [here](#) to learn more about how to file a complaint.

Submit complaints for the following violations:

- | | |
|--------------------|-----------------|
| Transactions | Code Sets |
| Unique Identifiers | Operating Rules |

To file a complaint, you must login by clicking the login button. If you do not have an account, create one now through the secure CMS Enterprise Portal by clicking on the Create Account button.

Test HIPAA Transactions

Test Transactions tool allows all transactions to be checked consistently for compliance, syntax, and business rules. Validate transactions across various formats including the following:

- HIPAA 5010
- CAQH CORE Operating Rules
- ICD-10 Diagnostic
- Unique Identifiers

Validate code values against 60-plus clinical and non-clinical code sets, including ICD-9 and ICD 10 diagnostic procedures and code sets. This tool provides intuitive error reports and acknowledgments to help identify and resolve error.

More information about ASETT

Frequent answers and questions (FAQs), How to submit a complaint, and Quick start guide can be found at:

<https://www.cms.gov/Regulations-and-Guidance/Administrative-Simplification/Enforcements/FileaComplaint.html>

ASETT (URL):

<https://htct.hhs.gov/asett/public/home.act>

Send your questions to the HIPAA Mailbox at:

Questions