

## USE OF DATA FOR RESEARCH PURPOSES

Health data may be used for research purposes in de-identified format with no restrictions. If health data is used in identifiable format, a covered entity needs member authorization **OR** privacy board waiver of authorization requirement **OR** the covered entity may use information in a Limited Data Set, in which case no authorization or board waiver is necessary.

<b><u>Protected Health Information</u></b>	<b><u>De-Identified Data</u></b>	<b><u>Limited Data Set</u></b>
<p>Information about an individual, including demographic information, created or received by a health care provider, health plan, employer, or health care clearinghouse that relates to the past, present, or future physical or mental health or condition of the individual; the provision of health care to such individual; or the past, present, or future payment for the provision of health care to such individual.</p>	<p>To be de-identified, all of the following 18 identifiers must be removed AND you must have no actual knowledge that the information could be used alone or in combination with other information to identify an individual who is the subject of the information:</p> <ol style="list-style-type: none"> <li>1. Names;</li> <li>2. All geographic subdivisions smaller than a state EXCEPT that the first three digits of a zip code may be used in certain instances;</li> <li>3. All elements of dates except year EXCEPT that for individuals over 89 years of age, all dates that indicate such age must be removed;</li> <li>4. Telephone numbers;</li> <li>5. Fax numbers</li> <li>6. E-mail addresses;</li> <li>7. Social Security numbers;</li> <li>8. Medical record numbers;</li> <li>9. Health plan beneficiary numbers;</li> <li>10. Account numbers;</li> <li>11. Certificate/license numbers;</li> <li>12. Vehicle identifiers and serial numbers, including license plate;</li> <li>13. Device identifiers and serial numbers;</li> <li>14. URL's;</li> <li>15. IP address numbers;</li> <li>16. Biometric identifiers;</li> <li>17. Full face images; and</li> <li>18. Any other unique identifying information, characteristic, code or number.</li> </ol> <p>Alternatively, one may obtain a statistical opinion that the subject of the data being disclosed has only a very small risk of being identified.</p>	<p>PHI with all identifiers removed (see previous column) EXCEPT that a Limited Data Set may include dates and geographic identifiers such as town, city, state and zip code only.</p> <p>Disclosure of a Limited Data Set for research purposes is subject to a Data Use Agreement.</p>