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### Using Clinical Information Systems to Support Population Based Care

Paul Wallace MD Care Management Institute Kaiser Permanente

May 12, 2003





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Managing Chronic Conditions: Delivering Health

Healthcare	Health
<ul> <li><u>Process</u> and</li></ul>	<ul> <li><u>Outcome</u> and</li></ul>
experience oriented	knowledge oriented
<ul> <li>Local and tribal</li> </ul>	National and global
<ul> <li>Clinician treating</li></ul>	<ul> <li>Teams — including</li></ul>
patients and curing	members — managing
acute conditions	chronic conditions
<ul> <li>Access: to Clinicians</li></ul>	<ul> <li>Access: to what you need,</li></ul>
and Visits	whenever you need it
<ul> <li>Knowledge Management         — Paper and Recall         3     </li> </ul>	<ul> <li>Knowledge Management — Electrons and Judgment</li> </ul>

## Systems of Care: Is there Evidence that They Work?

Questions to be addressed...

- What can you get by leveraging scale to acquire systems?
  - Types of system support
  - Impact on performance

Are systems affordable?

### Kaiser Permanente: National Scope

- 8.4 million members
- Membership growth 2002: ~120,000
- Services offered in California, Colorado, Georgia, Hawaii, Maryland, Ohio, Oregon, Virginia, Washington, and the District of Columbia.
- 29 medical centers
- ♦ 423 medical offices (315 owned, 108 leased)
- 123,000 Kaiser HealthPlan and Hospitals employees
- 11,345 Permanente physicians (not including affiliated networks)
- ~\$21 billion 2002 operating revenues

### **Care Management Institute Strategy**

#### • Identify the right thing

- Promote and embed evidence-based medicine within systems to support practice
- Leverage measurement to guide performance improvement
- Implement better and best practices
- Deliver member centered and culturally competent care

#### Make the right thing easier

- Hire and support people to lead and engage in local implementation work
- Identify, develop, and implement effective and innovative models of care
- Leverage technology to support population-based care



#### **Incremental Cost of Chronic Conditions**

	2001 prevalence	Est. mbrs. affected 2002	Estimated Incremental Cost (\$/year/member)	Total Estimated Incremental Cost (\$ millions 2002)
Asthma	2.1%	142,654	\$2,468	352.1
CAD	2.7%	183,040	\$10,264	1,878.7
Depression	4.1%	365,267	\$1,966	718.2
Diabetes	6.7%	451,575	\$5,035	2,273.5
Heart Failure	1.2%	83,646	\$16,503	1,380.4

Total Incremental Cost of Chronic Conditions in "CMI Portfolio": 6,603.0

"Incremental costs" are the annual "extra" healthcare costs per member with the chronic condition, *over and above* the healthcare costs of a member who does <u>not</u> have the chronic condition.

# What can you get by leveraging scale to acquire systems? Types of system support

- Registries and databases
- Clinician support
  - Knowledge base access
  - Alerts and reminders
  - Rosters
- Patient support
  - Knowledge base access
  - Alerts and reminders
- System evaluation and improvement
  - Outcomes reports and benchmarking
  - Performance improvement
  - Value demonstration



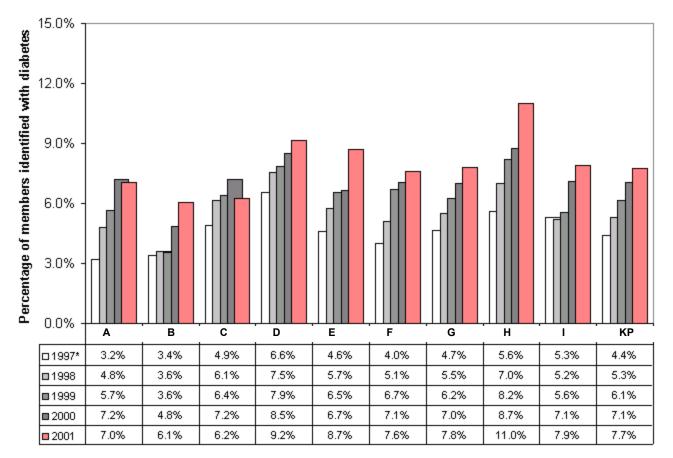
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## **Registries: How many patients with diabetes are there? And, who are they?**

Figure 1a. Estimated prevalence of diabetes, ages 18 and older, 1997-2001



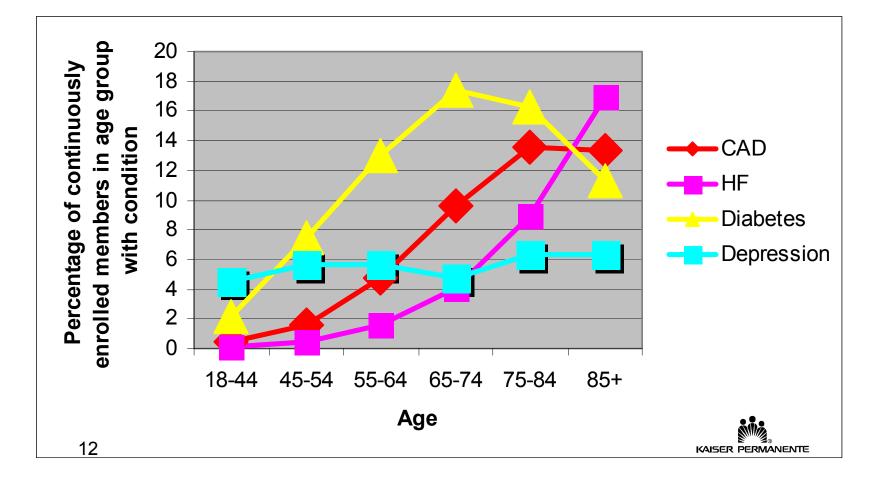
\* 1997 cohort does not include identification through outpatient diagnoses.



### The increasing prevalence of diabetes...

- Maturation of the diabetes cohort (additional years to identify members)
- National changes in biochemical thresholds for diagnosis of diabetes
- Expanded inclusion criteria for identification of members with diabetes
- Aging of the membership (older members have a higher likelihood of having or developing diabetes)
- True increases in the prevalence of diabetes among KP membership.

## Age Related Prevalence of Chronic Conditions Within Kaiser Permanente



#### **Co-morbidities are common**

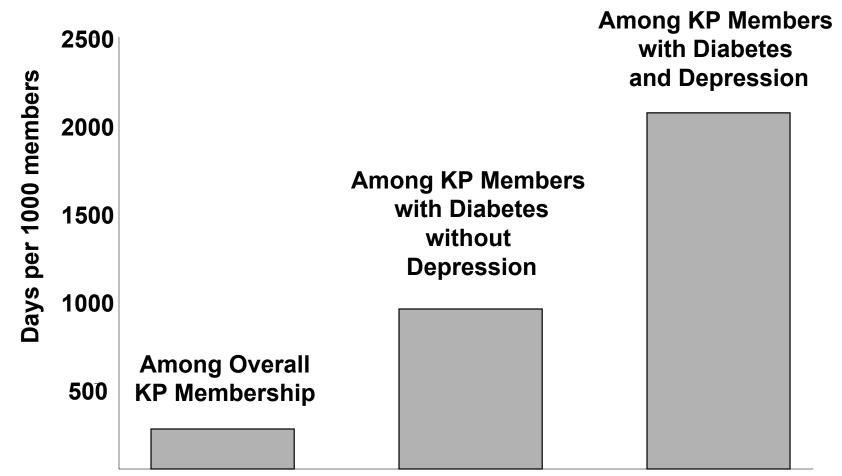
Were also in this cohort?

What percentage of all members those with CAD those with Depression those with Diabetes those with HF

CAD	Depression	Diabetes	Heart Failure
3.2%	7.1%	7.9%	1.6%
	11.9%	33.9%	22.8%
5.3%		11.6%	3.3%
13.6%	10.4%		8.2%
46.3%	14.9%	41.6%	



### **Co-morbidities... implications** management institute Hospital Day Rates Among KP Members, 2001



Source: CMI 2002 Diabetes Outcomes Report

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#### Leverage of Registry Information

#### **Panel Feedback**

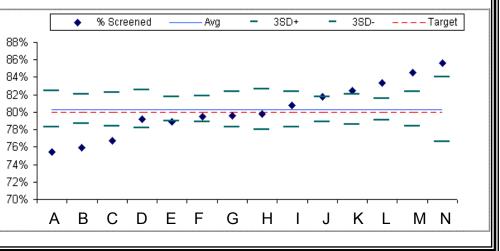
NCQA ANNUAL ASTHMA CARE MANAGEMENT REPORT (ages 5-56) BASED ON Oct 1, 2001 - Sept 27, 2002 DATA < <pre>MONTHLY EXTRACTS(HIMS, ER, RX BENE) COVER UP THRU Aug 2002 Information contained herein istaken from Kaiser Permanente legacy databases. All patients identified in the Asthma Case ID Database are assigned to you as the Primary Care Provider based on PCP Information in OPASDEMO. If any patient in this reports a CONFIRMED NON-ASTMARIC, please check the trat box by the patient's name and tax or mail the information to Randy Nakathiro, Pharma O, Analytoial Services, Doumey T sax34425600 (r62) 401-2550 (antima paral size (spect 5.66.)

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	1			01/29/68	2	1	10	16	2	۴.	0	0			Y
				05/12/60	1	1	2	З	0	3		0	09/02	09/02	Y
				05/01/61	1	0	6	10	0	1	0	0			γ
				09/12/72	1	2	4	5	0	3	0	0	05/02		Y
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				01/17/47	1	6	2	2	5	1	0	0		0902	γ
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NOTE: 8Ag = 8eta-agonistin kakoli (Al = anthintam matory in kakoli Dispenses are can isters and nebulizers only Meds are based on PMMS records only Official \*\*\* Number of Risk Pacipra: 0 (Low Risk ) 1 (Medium Risk ) 2-4 (High Risk ) 10.09/2002

Report to r Regional Asthma Management Committee by POIN TiPharmacy Analytical Services

### **Facility Performance Feedback**



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## Information-Seeking Activities of Kaiser

### Permanente Clinicians

Holly Jimison, PhD, Mina Monroe, MPH, Mary-Anna Rae, PhD ABD, Aaron Snyder, MD

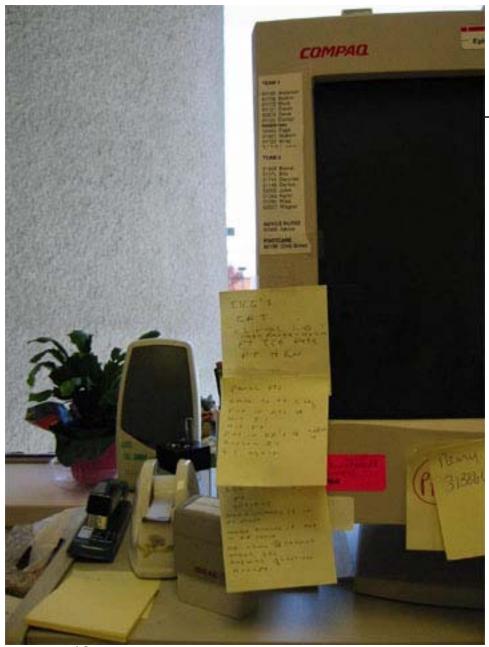
#### Methodology

- Observed clinical day for 20 KP clinicians
  - 4 Regions (CO, NW, NC, SC)
  - 1 Physician consultant in each region
  - 1 expert computer user in each region
  - 1 Physician novice computer user in each region
  - 1 Physician Assistant or Nurse Practitioner in each region
  - 1 Medical specialist in each region
- Data
  - Ethnographic Notes
  - Pictures
  - Artifacts



## Desk Close-Up care management institute





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### Stickies were ubiquitous

Labels with patient Information and pre-visit summaries are also used as reminders

care management institute **Need for Information at the Point of Care** 



Clinicians carry frequently used information resources with them

Information systems goal: Empty the lab coat pocket KAISER PERMANENTE



#### Why Were Questions Unanswered?

- Features of the environment
  - Time
  - Memory (short-term and long-term)
  - Pervasive irritation with handling information
  - Risk-aversion (economics of time invested)

- Barriers
  - Design
     Incomplete
  - Data Incomplete
  - Access difficulties
  - Time issues
  - Communications

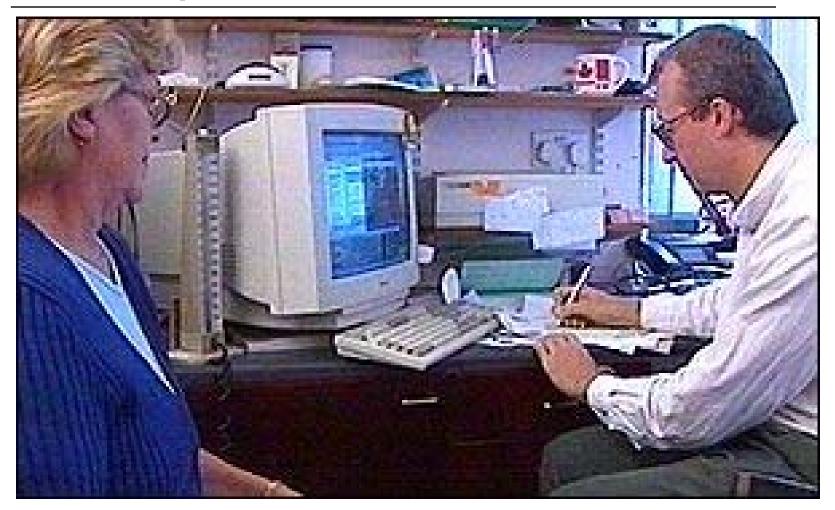
## **Permanente Knowledge Connection**



## **Kaiser Permanente's National Clinical Website**

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#### The Computer in the Exam Room...





#### **Member Summary Print-out Sheet**

Mbr. Phone # (H): 707-555 Mbr. Phone # (W): 707-555	-XXXX Department:		Banting, Bess 90000972 2/3/1924 Age: 74, Sex: F			
Member is on the following regis	are Manager: Martin, Alex					
Date of Last Foot Exam: Date of Last Eye Exam: 5/20/00		etinal Status: No Diabetic Retino	pathy			
CAD CARE     Care Manager: Jones, Susan       • Review aspirin therapy     Care Manager: Jones, Susan						
CHF CARE	C;	are Manager:				
<ul> <li>If member has systolic CHF, co</li> <li>Reinforce: low sodium diet, w</li> <li>CHF Type: SYSTOLIC</li> </ul>	nsider treatment with beta-bl reighing daily, regular physic	ockers and spironolactone if appro al activity, taking prescribe medi	•			
· Reinforce: low sodium diet, w	nsider treatment with beta-bl reighing daily, regular physic Ejection Fraction: 35% D R	ockers and spironolactone if appro al activity, taking prescribe medi	cations			

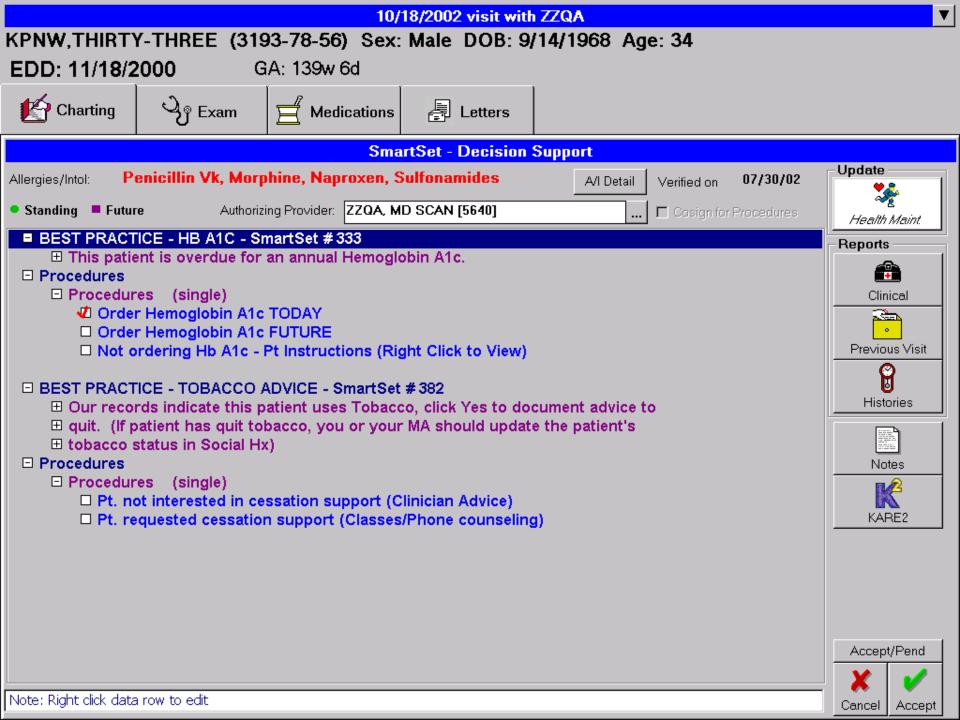


### Member Summary Print-out Sheet...cont'd

Smoking Status: <b>NO</b> Last Advised to Quit Smoking: <b>1-14-1996</b>	Review Pneumovax status
Record indicates member has: Peripheral Vascular Dis	sease Cerebral Vascular Disease
SUGGESTIONS	
<ul> <li>CAD CARE:</li> <li>Most recent LDL ≥ 100 Review Cholesterol Guideline</li> <li>No record of LDL test in last 6 months consider order</li> <li>Records indicated member may not be taking a beta- CHF CARE:</li> <li>Records indicate member may not be taking a vasodi</li> <li>DIABETES CARE:</li> <li>No record of LDL test in last 12 months Consider order</li> <li>most recent LDL ≥ 100 Review Diabetes Guidelines</li> </ul>	ng fasting LDL. blocker. If appropriate, prescribe beta-blocker therapy. ator. If appropriate prescribe vasodilator therapy ring fasting LDL. s no record of a Renal Screening Test in last 12 months AND no record RB) dispensed in last 6 months.



		10/18/2002 visit with ZZQA		T			
		Y-THREE (3193-78-56) Sex: Male DOB: 9/14/1968 Age: 34					
EDD: 1	1/18/2	2000 GA: 139w 6d					
塔 Cha	arting	Sexam Hedications Exam					
Allergies/I	Intol: Pe	enicillin Vk, Morphine, Naproxen, Sulfonamides A/I Detail Verified on 07/30/02	<b>\$</b>	- F			
вр	BestPra	actice Alerts Reminder		Update			
Weight	* Th	is patient is overdue for an annual Hemoglobin Alc.	<u></u>				
	* Ou	r records indicate this patient uses Tobacco, click Yes to document advi	ice	List <b>ខ</b>			
	to q	uit. (If patient has quit tobacco, you or your MA should update the ent's tobacco status in Social Hx)		uery ⊥ist ⊥×			
Diagnose	1-	ent's tobacco status in social mx)		H×			
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		Yes <u>N</u> o		Print AVS			
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			Close En				
			X				
			Cancel	Accept			



#### Leveraging IT to Deliver Evidence Based Medicine

#### • The allure...

- If you make it easier to do the right thing, the right thing will probably happen
- The additional implications...
  - If you do make it easier, it had better be the right thing...



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Knee Series	

#### If you do make it easier, it had better be the right thing...

#### Before...

#### After...

- Knee AP/Lat
- Knee Series

- Knee Complete
- Knee Limited



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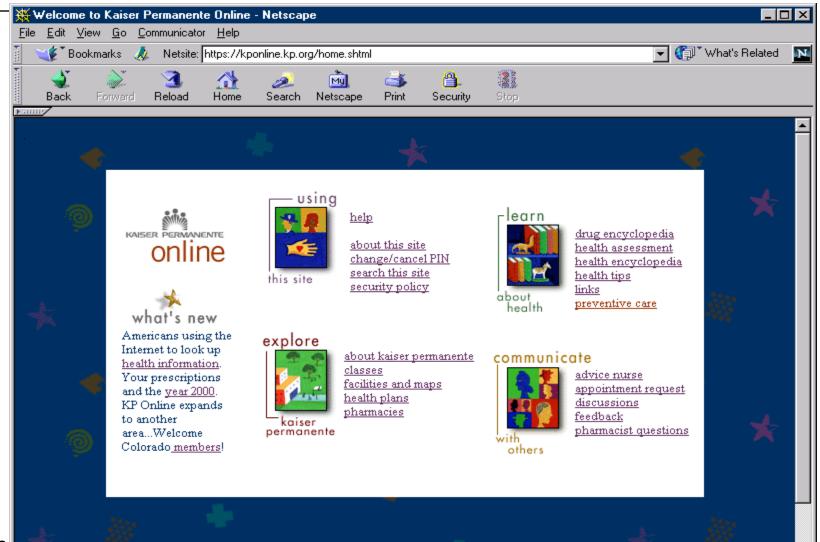


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#### **Internet Tools: Self-Care**



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<u> Clinical Guidelines   Reference Shelf   Clinician Ed   Member Ed   Drug, Lab</u>	<u>) &amp; Imaging   Healthcare News   Operations</u>	
Google in Kaiser Clinical Content 💌 Se	earch 🔰 Ask a Librarian	S Phonebook
Return to the Healthwise Table of Contents		Ê
Go back to	le Printer-Friendly	healtherise.
Type 2 Diabetes: Living with the Disea	se	New Search Go
Topic Overview		Topic Contents
<ul> <li>This topic provides information for people who have read a Type 2 Diabetes: Recently Diagnosed. This topic will help diet, monitoring your blood sugar levels, and other ways to kind of information is not what you are looking for, the follow</li> <li>If you have not been diagnosed with type 2 diabetes the topic Type 2 Diabetes to learn about the diseas treated. You will also learn whether you are at risk for be able to prevent it.</li> <li>If you have been told recently (within 3 to 4 weeks) the Type 2 Diabetes: Recently Diagnosed for basic i caused it to develop, how it will be treated, and how diabetes diet.</li> <li>If you have type 2 diabetes, have read and understan Diabetes: Recently Diagnosed and Type 2 Diabetes have one or more complications (eye, kidney, heart, diabetes, see the topic Type 2 Diabetes: Living w information about how to deal with your complication progression.</li> <li>What is it like to have type 2 diabetes?</li> </ul>	you learn more about eating a balanced care for yourself on a daily basis. If this wing topics may meet your needs. but want information on the disease, see se, including how it is diagnosed and r developing the disease and how you may at you have type 2 diabetes, see the topic information about the disease, what to deal with your feelings about the and the information in the topics Type 2 s: Living with the Disease, and already nerve, or blood vessel disease) related to <b>with Complications</b> . It will give you	<ul> <li>Topic Overview         <ul> <li>Health Tools</li> <li>FAQs</li> <li>Diabetic Emergencies</li> <li>Complications from</li> <li>Diabetes</li> <li>When to Call a Doctor</li> <li>Monitoring</li> <li>Treatment Overview</li> <li>Prevention of</li> <li>Complications</li> <li>Living With Diabetes</li> <li>Medications</li> <li>Alternative Medicine</li> <li>Other Places to Get Help</li> <li>Related Information</li> <li>References</li> <li>Credits</li> </ul> </li> </ul>
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#### Introduction

When you have diabetes, your feet need a little extra care and attention. Diabetes damages the nerve endings and blood vessels in your feet, making you less likely to notice when your feet hurt. Diabetes also interferes with your body's ability to fight infection. If you develop a minor foot injury, it could become an ulcer or develop into a serious infection. With good foot care, you can prevent most of these problems.

Caring for your feet is so simple and easy. Most of the care can be done when you are bathing and getting ready for bed. Preventing injury to your feet is merely a matter of wearing properly fitted shoes and socks at all times.

These four things can help you keep your feet healthy.

- · Check your shoes for stones or rough edges before you put them on.
- Like brushing your teeth, make looking at your feet part of your daily routine.
- Don't use home remedies to treat foot problems. Home remedies can hurt your feet.
- Get early treatment for any foot problem, even a minor one.



More information about diabetes can be found in these topics:



Go



STRUE STRUE



Sou юсан Сашто ана Редженен не Мериса и Фарил Bellflower Medical Center

September 14, 2000

#### Dear Mr. Manage

Our records indicate you have diabetes. If you do not have a history of diabetes, please call the Bellflower Call Center at 1-800-823-4040, so we can update our computerized records. To help you better manage your health and to help us detect potential health problems that may arise as a result of your diabetes, Kaiser Permanente and the American Diabetes Association now recommend you have specific laboratory tests performed each year.

Your primary care physician has determined it is time for you to have the following yearly tests:

Hemoglobin Atc Lipid profile Microsibumin If you have recently had these tests done, they do not need to be repeated. These tests have been shown to delay or prevent complications of Diabetes. With proper testing, the appropriate medications can be ordered for you which will help lower these risks.

Please take this letter to the lab and have the tests done. Lab locations and hours are included for your convenience. The results will be sent to your primary care physician and any needed follow up will be determined at that time. Thank you for making this part of your diabetes care a priority. We look forward to being partners with you in promoting your good health.

#### Sincerely.

#### Physician Director, Diabetes Care Program Selffower Medical Center

\*\*\*\*\*\*\* TAKE THIS PAGE TO ANY KAISER LAB\*\*\*\*\*\*

059



- Faiting-Diabeter Panel ( Procedure Code: 837 1560 ) 1
- Fasting Parel Includes: Microalbumin Random, Hemoglobin Ate, and Lipid Profile OR
- If patient 1. Not Fasting, order Non-Fasting Diabetes Panel (Procedure Code: 8371570) 1 Non-Fasting Parel Includes: Microabumin-Rändom, HbA<sub>10</sub>, D-HDL, and D-LDL. Specimen Requirements (Fasting or Non-Fasting Diabetes Panel): Pandom Urine, Red Top, and EDTA-Whole Blood

STARTE STARTE



Sources Cours and Personner is Medica L Comun Bellflower Medical Center

For the nearest Belflower Laboratory location near you, please refer to the table below .

LABORATORY	LOCATION	HOURB
Belifiower Medical Center	9400 E Rotecrant	7:30 a.m. to 6:00 p.m Mon - Fri
(562)461-4967	North wing, First floor	Saturday 7:30 a.m. to 1:00 p.m.
Cuidality Medical Office ( (323)562-6477	7825 Atlantic Ave 1º floor	8:45 a.m. to 5:00 p.m. Mori - Fri
mperial Medical Offices (562)907-3525	9449 E Imperial Hwy Building A, Brit floor, Suite 105	7:30 a.m. to 6:00 p.m. Mon - Fri
Anitter Medical Offices (562)907-3525		8:45 s.m. to 5:00 p.m. Mon - Fri

In addition, Kaiser Permanente and the American Diabetes Association recommend a yearly eve examination or a retinal photograph to check the retinas of the eves to prevent blindness. If you have not had an eye examination or retinal photograph in the past 12 months, please plan to have a retinal photograph performed by either calling the phone number below for an appointment or coming to a walk-in visit . (It is possible to arrange having this important screening test on the same day that you come for your laboratory tests.)

#### Retinal Photographs:

Appointment or Walk-In Balls:	Phone Number:
	Call 1(800) 823-4040 for 70318-In Calnis Houri or Appointment

By Appointment Only:	Phone Number:
Bellinower Rolle crant Medical Ornicel Opinitialmology and Optometry Department 9533 E. Rollecrant Avenue, Bellinower, Ca. 90706	Сан (562)461-3084 тог ал жрронтитент
Cudah; Medical Office I 7825 Atlantic Avenue, Cudah; Ca. 90201	Call (\$23)562-6400 for an Appointment
Maittler Medical Office 1247 0 Maittler Blvd., Maittler, Ca. 90602	Call (562)507-3533 for an Appointment

Dear Mr. <del>Monster.</del>

Our records indicate you have diabetes. If you do not have a history of diabetes, please call the Bellflower Call Center at 1-800-823-4040, so we can update our computerized records. To help you better manage your health and to help us detect potential health problems that may arise as a result of your diabetes, Kaiser Permanente and the American Diabetes Association now recommend you have specific laboratory tests performed each year.

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Please take this letter to the lab and have the tests done. Lab locations and hours are included for your convenience. The results will be sent to your primary care physician and any needed follow up will be determined at that time. Thank you for making this part of your diabetes care a priority. We look forward to being partners with you in promoting your good health.

Sincerely, <del>Agries V. Szekeres, M.D</del>. diabetes care a priority. We look forward to being partners with you in promoting your good health.

Sincerely, <del>Agries V. Szekeres, M.D.</del> Physician Director, Diabetes Care Program Belitiower Medical Center

#### \*\*\*\* \*\*\* TAKE THIS PAGE TO ANY KAISER LAB\*\*\*\*\*\*

Patert Name:	MONATER, HERMAN	<ul> <li>Medical Record Number:</li> </ul>	<del>13 13 13 13 -</del>	
P rouide r:	A <del>buatinea, John J. MD</del>	<ul> <li>Prouide r Code:</li> </ul>	ABUAT	
Location :	BEIMPINT	Locatio 🛛 Code:	059	
(LABORATORY) PLEASE ASK & THE PATIENT IS FASTING, & SO, ORAN THE FASTING TEST. & NOT FASTING, ORAN THE				

NONHEASTING (EST]

Faiting-Diabetell Panel ( Procedure Code: 837 1560 )
 Fasting Panel Includes: Microalbum In - Random , Hemoglobin A<sub>1C</sub> , and Lipid Profile

OR

 If patient is Not Fasting, order Non-Fasting Diabetes Panel (Procedure Code: 8371570) Non-Fasting Panel Includes: Microalbumin-Random, HbA<sub>1C</sub>, D-HDL, and D-LDL Specimen Requirements (Fasting or Non-Fasting Diabetes Panel): Random Unite, Red Top, and ED7A-Whole Elocod

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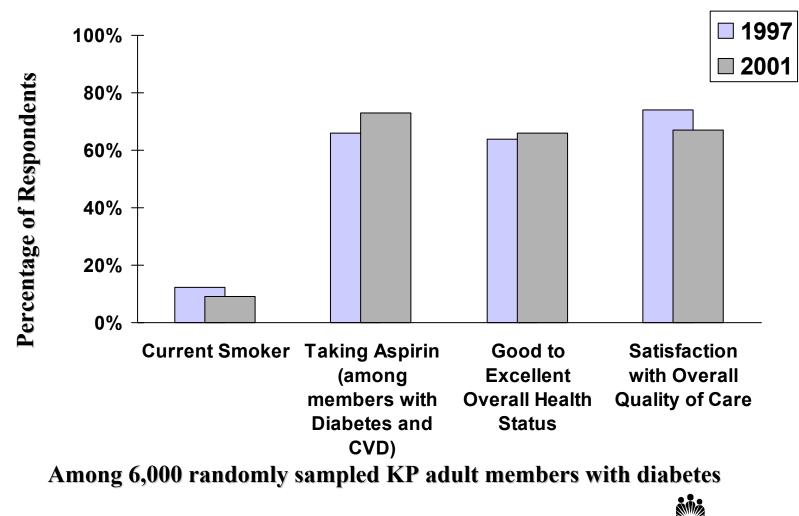
#### **Automated Telephonic Outreach**







### Self-Reported Responses Among KP Adult Members with Diabetes



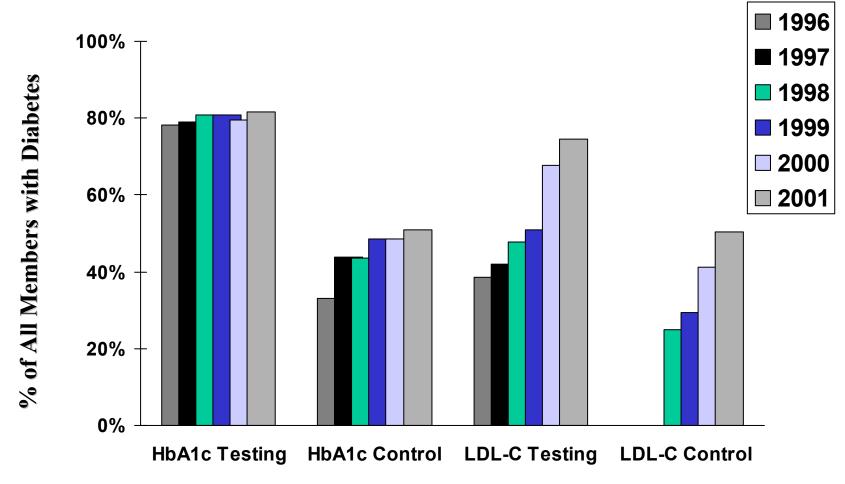
KAISER PERMANENTE

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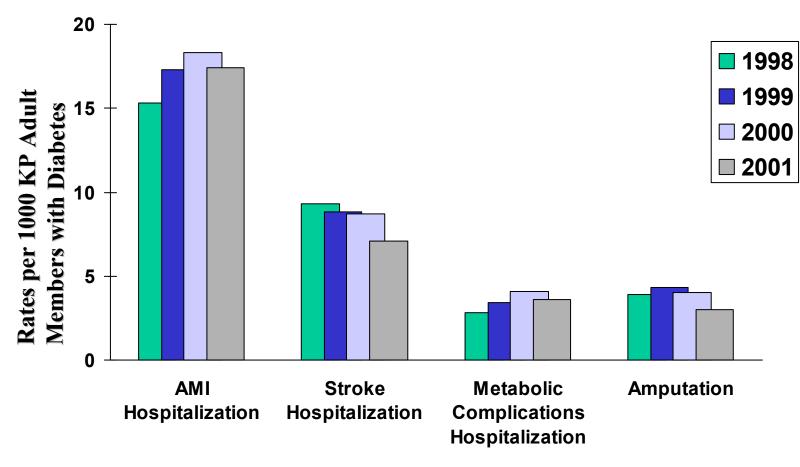


### **1996-2001 Performance Measures for KP Adult Members with Diabetes**



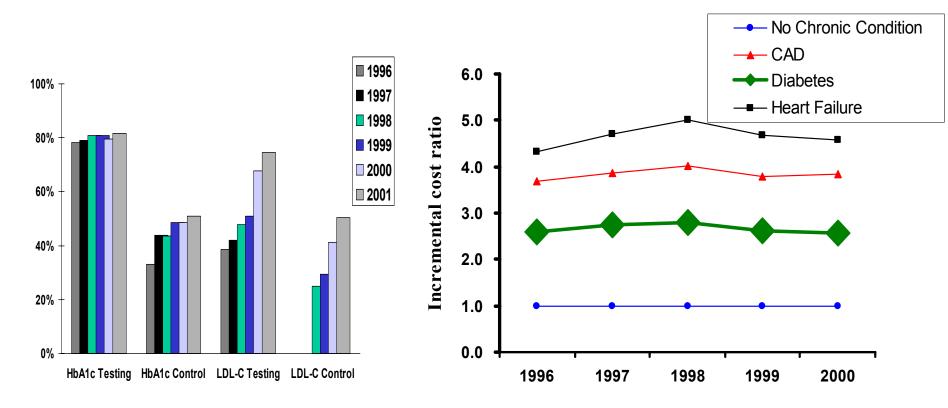
Among KP's 420,000 adult members with diabetes

## Incidence Rates Among KP Adult Members with Diabetes



Among KP's 420,000 adult members with diabetes

## Cost Trends in the Care of Diabetes and other Chronic Conditions





#### Impact...

- Evidence\* indicates that performance improvements to date amongst KP's 450,000 members with diabetes would be expected to yield:
  - 1,300 fewer MIs<sup>1</sup>
  - 1,800 fewer other cardiovascular events<sup>2</sup>
  - 1,100 fewer cases of neuropathy<sup>3</sup>
  - 1,500 fewer cases of retinopathy<sup>3</sup>
  - 2,100 fewer cases of nephropathy<sup>3</sup>
  - \* Including:
    - <sup>1</sup> LIPID Study Group *NEJM* 339:1349-57.
    - <sup>2</sup> 4S Study *Diabetes Care* 20(4):614-620.
    - <sup>3</sup> DCCT Research Group including *Diabetes* 46:271-86.

# Summary: Is there Evidence *care management* institute that Systems of Care Work?

- What can you get by leveraging scale to acquire systems?
  - Types of system support
  - Impact on performance

Are systems affordable?

# Kaiser Permanente's investment in the institute information enabled future...

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February 4, 2003 12:21 a.m. EST

HEALTH

#### HMO Kaiser Plans to Put Its Medical Records Online

#### By RHONDA L. RUNDLE Staff Reporter of THE WALL STREET JOURNAL

In what may be the most ambitious move yet toward electronic medical records, Kaiser Permanente, the nation's largest nonprofit health-maintenance organization, Tuesday will announce plans to spend \$1.8 billion to automate its patient files.

Kaiser, with 8.4 million members in nine states, said its goal is to have the automated records up and running in three years. With such a system, the HMO would make portions of each patient's records available online to members, who would be able to check recent medical-test results, see their complete immunization history and review their current medications, among other things.

To accomplish the electronic shift, Kaiser will purchase a system from Epic Systems Corp., a

care management institute

## The first people to cross the quality chasm will be individuals.

# The goal has to be to get entire populations across.

