Mini Summit 14:
STRUCTURING AN EFFICIENT DIGITAL COMPLIANCE FRAMEWORK

OVERCOME CROSS-JURISDICTIONAL BOUNDARIES, PROCESS COMPLEXITY AND DISPERSED RESOURCES

The Destination – Efficient Digital Compliance Framework

Having skilled people with the right tools that follow global standardized processes allowing for an efficient and effective compliance organization.

How do we get there:

- Having a viable Road Map
- Having the right people onboard
- Standardizing our processes
- Applying ‘Digital’ whenever possible

© caweco GmbH 2019

A Road Map – The Way To Our Global Digital Framework

The Road Map defines how we will transform the organization and what capabilities are required.

It must consider

- Scope of the processes to be improved
- Interdependencies between functions
- Resources available
- Quick wins : what urgent needs can be solved with simple solutions

The Road Map defines a viable implementation plan with priorities, communications and milestones

© caweco GmbH 2019

The Right People – The Digital Impact Team

To achieve Digital Compliance we require a team eager to accept challenges, drive digitalization and design creative solutions.

This will include

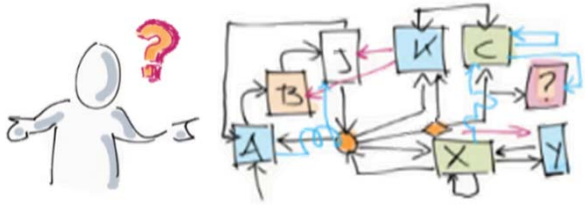
- Cross-functional (business) experts
- Compliance subject matter experts (SME)
- End-to-End process and business analyst
- Enablers translating business requirements into technical solutions

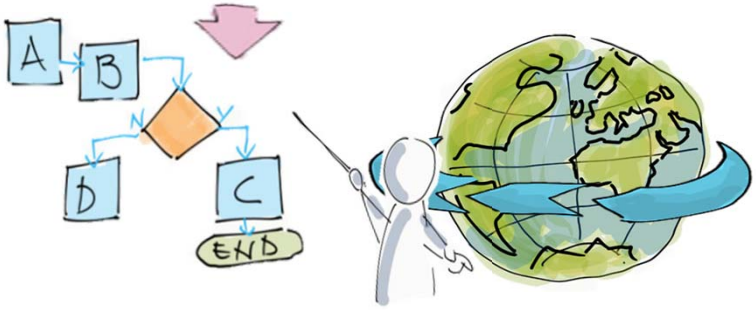
The Digital Impact Team will make you the champion of the transformation

© caweco GmbH 2019

Standardized Processes – Think Global Act Local

Our experience has taught us, that global process simplification is successful if centrally orchestrated and considerate of local regulations.





Not a magic formula, rather a proved approach:

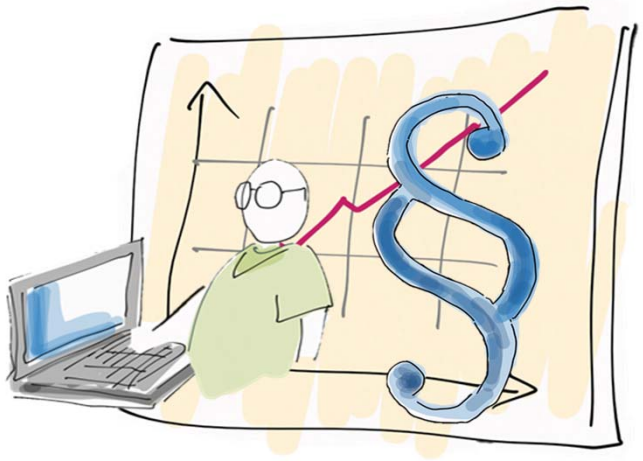
- Define global simplified process;
- Collect local requirements;
- Harmonize common denominators;
- Vet global blueprint with wider audience.

Global processes developed by local experts with a global mindset will be well accepted throughout the organization

© caweco GmbH 2019

Apply ‘Digital’ – Achieve Objectives In A More Efficient Way

Reaching new heights on your compliance processes
…from time consuming manual work to automated and efficient solutions.



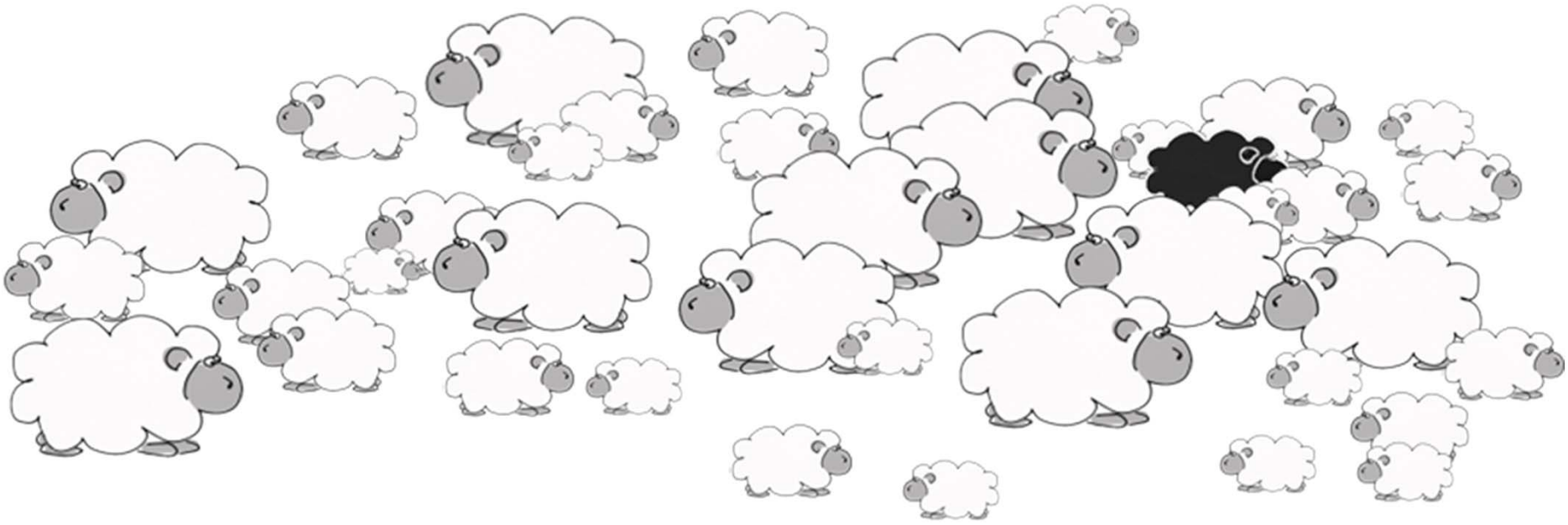
Consider:

- Automating repetitive tasks using digital tool
- Leveraging on new mobile technologies
- Managing the flood of information and data
- Integrating programs able to communicate across platforms
- Improving your IT landscape “one slice at a time”

Automating key processes will reduce manual intervention and minimize errors, giving the chance to the compliance professionals to focus on higher-order work.

© caweco GmbH 2019

Some Examples…



What would be better to identify the red flags in a business partnership?

© caweco GmbH 2019

(Partially-)Manual list review

Partially manual, time consuming, resource-intensive and error-prone processes or…

© caweco GmbH 2019

Automated, upon the click of a button – efficient and effective tool!

© caweco GmbH 2019

Going Digital as driver of Innovation

- Rebranding
- Innovation Culture and Digitalization.

Digitalization in Compliance

- Review of planned Interactions
- Trainings

STRUCTURING AN EFFICIENT DIGITAL COMPLIANCE FRAMEWORK

Participants to the Panel

Ioannis Talaslis
Compliance Excellence Manager at Biopharma Germany,
Business Intelligence & Customer Excellence Department
Merck Serono GmbH

Carlo Geninazza
Senior Manager, Ethics & Compliance
Practice Leader Business Development Pharma
caweco GmbH

Business Partner Screening Expert

Matthias Wenzl
Managing Partner
caweco GmbH

Disclaimer
All statements made during the presentation or during the following discussion represent the speakers' professional experience and personal opinion.
Such statements do not reflect the position of current or past companies.

© caweco GmbH 2019

caweco GmbH
Terminalstrasse Mitte 18
85356 Munich
Germany

Carlo Geninazza
T +49 152 34 34 17 59
E carlo.geninazza@caweco.com