# **SMARTCare**

Smarter Management And Resource Use for Today's Complex Care Delivery

#### Anthony DeFranco, MD, FACC

Governor, Wisconsin Chapter, American College of Cardiology Adjunct Clinical Associate Professor of Medicine, University of Wisconsin The project described was supported by Grant Number 1C1CMS331322 from the Department of Health and Human Services, Centers for Medicare & Medicaid Services.

The contents of this publication are solely the responsibility of the authors and do not necessarily represent the official views of the US Department of Health and Human Services or any of its agencies

#### The SMARTCare Team

SMARTCare National Leadership

American College of Cardiology

E4 Enterprise Project Management



Thomas J. Lewandowski, MD. FACC Project Director and WI Project Oversight Chair



Anthony DeFranco, MD, FACC WI Site Implementation Chair



Juan Aranda, MD, FACC FL Project Oversight Chair



Allan D. Seals, MD. FACC FL Site Implementation Chair



Ralph Brindis, MD, MPH, MACC, FSCAI Data Use & Reporting Chair



William J. Oetgen, MD, MBA, FACC Executive Vice President, Science, Education, and Quality

Deb Chromik



Joseph Allen, MA



ACC Project Oversight



Jen Hurley, CPHRM, CCMEP



Mark Fox, CHPC

Colleen McNally, RN, MSEd, CHPQ



Rhonda Stewart ACC Compliance & Integrity Officer ACC Grant Administrator

#### Aurora St. Luke's Medical Center, Milwaukee, Wisconsin



1,500 physicians PCPs: ~ 580

PCPs in Grafton / Milwaukee South Region ~250 Stress labs: 12 = 12,000 tests -> 5,000 Caths -> > ~2500 Stent procedures Interventional cardiologists: 25



## **SMARTCare**

#### I. Background:

Definitions: Stress Tests, Appropriate Use Criteria What Motivates Physician Behavior Change? Concept: To Reduce Low-Value Stents, Reduce low value Stress Tests

- II. Project Design
- III. Lessons Learned (Thus Far) and Implications for Payers
- IV. Conclusions

#### We Physicians Deal with Uncertainty: We Are Often Reluctant to Change Care Patterns Without Data To Prove Absence of Harm



#### Ordering and Interpreting a Stress Test is a Highly Complex Cognitive Task



Regadenoson Treadmill Only? Positron Emission Treadmill MPI? Tomography? Regadenoson Treadmill MPI? **Regadenoson MPI?** Treadmill CardioPulmonary Echocardiography? Stress with V02max? Treadmill MPI? **Dobutamine** Adenosine Treadmill Echocardiography? MPI? Adenosine MPI?

**Dobutamine MPI?** 

## **Definition: Appropriate Use Criteria (AUC)**

- Define "what to do," "when to do," and "how often to do" in the context of local care environments combined with patient and family preferences and values
- Connected to scientifically derived guidelines
- Imply a level of detail and complexity that extends beyond the current recommendations
- Address misuse, overuse - <u>and underuse</u>
- AUC are <u>not</u> Practice Guidelines

AUC are meant to be shared with other specialties. How do we best get stress test AUCs into the hands of PCPs at the point of care, who order ~half of the tests??

#### Appropriate Use Criteria: A Huge Investment by ACC to Improve Quality of Care



#### Clinical Scenarios Scored by Technical Panel

- Develop list of indications, assumptions, definitions
- Literature review and guideline mapping
- Review Panel of 30 members provides feedback
- Writing Group revises indications



#### Independent 1<sup>st</sup> round ratings

Ratings tabulated – agreement determined

Face-to-face meeting – ratings discussed

Independent 2<sup>nd</sup> and final round ratings

...Retrospective comparison with clinical records

## AUC: Rating of Diagnostic Test Indications

Committee Score 7-9: Appropriate test for indication

= Generally acceptable / a reasonable approach

- Committee Score 4-6: *May be Appropriate* (Formerly "Uncertain") for the specific indication
- Committee Score 1-3: Rarely Appropriate
   (Formerly "Inappropriate")
   = Not generally acceptable / not a reasonable approach

#### Appropriateness of Percutaneous Coronary Intervention JAMA, July, 2011



### SMARTCare Goal: Reducing Unnecessary Stress Tests Reduces Downstream Procedures



## **Selected SMARTCare Goals**

- Decrease the rate of "rarely appropriate" stress tests and other cardiac imaging from 12-15% to <8%</li>
- Decrease the rate of "rarely appropriate" coronary stenting from 9-20% to <6%</li>
- Achieve high levels of patient engagement as measured by patient decision quality surveys
- Maintain and Improve quality of life as measured by patient surveys



### What Makes Physician's Change? Payment is < 30%! ~70% = Training, Feedback, Communication Leadership



#### **SMARTCare**

I. Background:

Stress Tests, Appropriate Use CriteriaWhat Motivates Physician Behavior Change?Concept: To Reduce Low-Value Stents, Reduce low value Stress Tests

II. Project Design

III. Lessons Learned (Thus Far) and Implications for Payers

IV. Conclusions

### **SMARTCare Components**

**Tools: Registries:** FOCUS **FOCUS** Shared **e**Prism **Decision Making** NCDR **e**Prism **PINNACLE INDIGO** 

3 year project; \$15.8 million
5 sites in Wisconsin, 5 sites in Florida

## **SMARTCare:** Overview



#### Will A Stress Test Provide Value and Help



#### Prior To Invasive Testing, <u>Shared Decision Making:</u> More Patients Choose Non-Invasive Treatment

addi

HOS Personalizing Evidence Improving Outcomes

> **Angioplasty:** In this procedure an expandable balloon on a catheter is used to help unblock arteries. The balloon expands and presses the plaque blockage against the artery wall (Figure 2). The procedure opens the artery and allows better blood flow.

Patient Name: Dodson, Madge . Date of Birth: 3/9/1933 Medical Record Number: 20729-36607-41011

We are asking you to sign this form. It is very important that care. It is important to understand the procedure, its risk, ben with you about these. Be sure you get your questions answ Please initial and date here to show that you understand.

Patient's initials or authorized individual

I hereby authorize Dr. \_\_\_\_\_ perform the following procedure(s):

#### **Cardiac Catheterization with Possible Percutaneous Co**



Cardiac cath to diagnose long, thin, fle blood vessel and threade catheter, do treatments o

During the p catheter to p vessels sho coronary a can help you that supply y be giving you risk for a hea

Figure 1



**Stent Implant:** A catheter is used to deliver a small metal mesh tube (stent) to a blockage in an artery (Figure 3). A stent, which helps keep the artery open, is often implanted after angioplasty.

The doctor has explained the benefits of the procedure(s) to me. I understand there is no guarantee that I will achieve those benefits. I understand that unknown things may happen during this procedure. Because of that, a different procedure may be needed. Therefore, I authorize the doctor, associates, or assistants to perform any procedure(s) needed to best take care of me. I authorize sedation and/or anesthesia to be given to me for my comfort, well-being, and safety. This would be done by:

#### eLumen: Decision Aids In the Cath Lab Can Improve Safety and Substantially Reduce Costs

Is A Stress Test

Appropriate?

**FOCUS Tool** 



2011 9-58-02 AV

Patient-Provider

Procedure Risk Summary - Windows Internet Explorer			
🚱 🕗 🔹 https://locahoati-49173/Sview/Veb/Scheduleriewer/Regex/ProcedureGurmary.aspxNeverdid=35225		Hereit (1997) Complex	
gle gdt yew figvortes goole gelo			
😭 🐼 🖉 Procedure Rek Surretery		🙆 • 🖾 - 🖶 • 🕞 trer • 🔘 Te	
Name: Martha Johnson	Age: 51	Weight: 121 ll	
PCI Mortality Risk:		0.16%	
PCI Bleeding Risk:		1.08%	
Restenosis Risk (DES):		4.90%	
Restenosis Risk (BMS):		8.56%	
		_	
	'ost-procedure Restenosis Ris	K .	

	Le	ngth	
> 3	0mm	< 3	0mm
BMS:	9.25%	BMS:	7.21%
DES:	4.93%	DES:	3.84%
BMS:	6.36%	BMS:	4.93%
DES:	3.33%	DES:	2.52%
	> 3 BMS: DES: BMS: DES:	> 30mm         Le           BMS:         9.25%           DES:         4.93%           BMS:         6.36%           DES:         3.33%	Length         < 3           BMS:         9.25%         BMS:           DES:         4.93%         DES:           BMS:         6.36%         BMS:           DES:         3.33%         DES:

Is Angiography Appropriate? Shared Decision-Making Tool

#### Is Stenting Appropriate?

eLumen Tool Calculates Risk/Benefit: • Kidney Injury

"Plain" vs. "Coated" Stent
Heparin vs. Bivalirudin

Whether Stents, Bypass or Medical Therapy, Patients are counseled by providers using electronic tools, registries, And these are shared among specialists, PCPs, APPs



#### Specialists, PCPs, and APPs Use IndiGo, Pinnacle To Counsel<sup>2</sup> Patients and Benchmark Performance Against National Goals



IndiGO® (Individualized Guidelines and Outcomes) is intended solely for informational purposes only. It is not intended to replace or otherwise serve as advice from a medical professional. If you have any questions about the information or results presented, seek assistance from your medical professional.

## **SMARTCar**

e

## Wcacc.org



### **SMARTCare**

I. Background:

Definitions: Stress Tests, Appropriate Use Criteria What Motivates Physician Behavior Change? Concept: To Reduce Low-Value Stents, Reduce low value

II. Project Design

III. Lessons Learned (Thus Far) and Implications for Payers

IV Conclusions

### Why Physicians Participate:

In Multiple Practice types, High-value physicians eagerly joined SMARTCare!

- Tools Work and work *best* at the point of care.
   Critical information to both physician and patient, *when decisions made*
- 2. ~ Half of all stress tests are ordered by primary care physicians, with a higher rate of "rarely appropriate" tests: FOCUS will decrease this rate along with unnecessary downstream invasive studies
- 3. What is "Best Practice" changes rapidly
- 4. We will be <u>required</u> to use decision aids; MACRA: We will be paid based on "Value".
- 5. Convenience: Satisfy CMS' Bonuses, Metrics, etc.
- 6. Professionalism: Physicians, ACC determine AUC, metrics

### SMARTCare Goal: Reducing Unnecessary Stress Tests Reduces Downstream Procedures



#### Potential Utiilization Reduction and Savings

Reducing Rarely Appropriate Upstream Testing and Engaging Patients Decreases Testing and Downstream PCI – Decision Support, Payment, and Research



### Impact - Reduction in Stress Tests: Cardiovascular "Center of Excellence" (UHC, FL-Blue)



- Motivated Cardiology Specialists: AJC alone results in 43% reduction
- S MARTCare: incremental 8% reduction with specialists already practicing with A JC at the highest level!
  - Pre-AUC to 2015: 48% reduction overall



### When There is Uncertainty, FFS Rewards Choosing More



### Payment Reform Needed to Reward Cognitive, Conservative Care even for Specialist Care



## Conclusions (Thus Far, In Year 1.5)

- Incorporating Appropriate Use Criteria "at the bedside" with electronic tools is feasible and reduces testing deemed rarely appropriate
- Complex care changes *can* occur if incorporated into current workflow
- Physicians accept tools, registries and feedback when they know the data is accurate, reduces their data entry, and improves care
- Although interoperability is a challenge, integrating different EMRs and vendors is achievable when using a centralized dataset
- HIPAA requirements and data security are formidable, but are often used as reason "to control access to the data."
- Patients report favorably on shared decision making and educational tools
- Payment models that include specialists and promote sharing best practice among specialties will be needed to further incentivize SMARTCare-like care pathways

## SMARTCare: Not a "Project," Rather, *A Test of Concepts*

- 1. Physicians (and other providers) who have critical information at the time they make decisions/recommendations, will do so more effectively
- 2. When patients are empowered with knowledge and when patients participate in decision-making about their care, adherence and satisfaction will increase
- 3. "Best practices" and AUC advance at an ever increasing pace. Technology ("Tools") now exist to bring the best information "to the bedside" much more rapidly than conventional methods
- 4. When physicians, groups, and systems are provided with continuous feedback on outcomes, *outcomes will improve further*

These principles can be applied to other scenarios and other specialties

The project described was supported by Grant Number 1C1CMS331322 from the Department of Health and Human Services, Centers for Medicare & Medicaid Services.

The contents of this publication are solely the responsibility of the authors and do not necessarily represent the official views of the US Department of Health and Human Services or any of its agencies

## Wcacc.org/smartcare