(The Evaluation of the Impact of Physician Self-Reporting Administrative Data)

Dr. Allen Naidoo PhD **Director-Medical Informatics** BlueCross and BlueShield of Tennessee

Dr. Marc Loizeaux PhD **Manager-Provider Performance Assessment Analytics** BlueCross and BlueShield of Tennessee



#### BlueCross BlueShield of Tennessee

- For more than 60 years, BlueCross BlueShield of Tennessee has been centered on the health and well being of Tennesseans. BlueCross BlueShield of Tennessee is the largest health benefit plain in the state with more than 2.3 million people turning to us for health plan coverage and insurance products.
- BlueCross BlueShield of Tennessee is a not-for-profit, locally governed health plan company, and a part of the BlueCross BlueShield Association, a nationwide association of health care plans.



### **BCBST's Goals for Transparency**

- Transparency Initiative
  - Response to consumer demand for information related to health care quality and cost
  - Improve the quality and cost of care for our members
  - Process
    - Follow State law
    - Physician Advisory Panel
    - Consumer focus groups
    - Claim data extract (2003-2007) and validation
    - Data computation and summation
      - Quality HEDIS based HBI
      - Cost ETG based with risk adjustment BCBST
    - Validation Internal and External
    - Provider review period with feedback
    - Provider self-report function
    - Consumer release



### Gathering Input from Stakeholders

- 7 Member/consumer focus group meetings
- 3 Statewide Physician Advisory Panels
- 3 Physician practice conference calls
- 5 Multi-specialty group meetings
- 13 All-Blue Workshops
- 2 TDCI Meetings
- 3 TN Medical Association Meetings
- 7 Communications provided to physicians
- 11 Substantial changes made based on physician input



### **Our Process for Transparency**

#### **Members**

- Commercial only
- BCBST the sole insurance carrier for the measurement year

#### **Providers**

- Specialties providing board certification with American Board of Medical Specialties (AMBS) or American Osteopathic Association (AOA)
- Hospital Based and Urgent Care providers excluded

#### External Partner

- Unbiased results third party vendor (Health Benchmarks Inc.)
  - compiled administrative claims data
  - scored provider performance



### **Our Process for Transparency**

- Administrative claims data measurement year and 4 years prior.
- Member attribution a non facility face to face encounter.
- 30 member minimum per provider-measure before reporting.
- 30 provider minimum per specialty before reporting.
- Quality measures reported on 2,518 providers out of 13,011
- Provider rates compared to peers
  - categorized as "Below Average", "Average" or "Above Average"
  - based on the state-wide average rate for specialty.



### **Provider Review Period**

- In accordance to Tennessee State Law providers were given 45 days to review data that would be released to the public.
- Providers were able to utilize a self-report function at the website in order to supplement our administrative claims data with information from the patient's medical record.



### **Provider Review Website**

| Composite Quality Measure   |                          | Number of Blue       | eCross Patients | Treated    |        | Rating     |
|---|--------------------------|----------------------|-----------------|------------|--------|------------|
| - Diabetes Care Measures  |                          |                      |                 |            |        |            |
| Clinical Quality Indicator  | Admin Rate               | <u>Hybrid Rate</u>   | Statewide Avg.  | HEDIS Avq. | Rating | Repor      |
| Diabetic Retinal Exam     Members Who Did Not Receive the Service     Identified Members                          | <b>21.2 %</b><br>7 / 33  | No Data<br>Submitted | 42.8 %          | 54.7 %     | -      | <b>3</b>   |
| Glycosylated Hemoglobin (HbA1c) Tests For Diabetics  •Members Who Did Not Receive the Service •Identified Members | <b>87.9 %</b><br>29 / 33 | No Data<br>Submitted | 90.5 %          | 87.5 %     |        | <b>(1)</b> |
| Lipid Panel For Diabetics     Members Who Did Not Receive the Service     Identified Members                      | <b>97.0 %</b><br>32 / 33 | No Data<br>Submitted | 85.3 %          | 83.4 %     |        | <b>3</b>   |
| Monitoring For Diabetic Nephropathy  •Members Who Did Not Receive the Service •Identified Members                 | 39.4 %<br>13 / 33        | No Data<br>Submitted | 69.0 %          | 79.7 %     | 0      | <b>3</b>   |



#### Patient Level Detail

#### Members that did not receive the service - Diabetic Retinal Exam ■ Members who received the service | ■ Members that did not receive the service | ■ Total Members Eligible for Service ● 12 Date Of Birth First Name Last Name Member ID 05/09/1957 Add Self Report Data 4266293 Add Self Report Data 4640682 03/11/1960 07/17/1946 Add Self Report Data 4266418 1916598 10/02/1980 Add Self Report Data Add Self Report Data 4265735 04/13/1958 08/19/1950 Add Self Report Data 1341422 227803 10/11/1943 Add Self Report Data Add Self Report Data 02/27/1954 3775766 48044 Add Self Report Data 08/31/1943 Add Self Report Data 1346756 12/24/1950 12 Self Report Guide | Feedback | Powered by HBI Online(Patent Pending)



Quality Information | Fost Information | FAQ

### Self-Report – Verify DOB

| Provider Name:<br>Provider ID |            |           |
|-------------------------------|------------|-----------|
| ров                           | First Name | Last Name |
| 05/09/1957                    |            |           |
|                               |            |           |
|                               |            |           |



### Self-Report – Report Numerator Inclusion

| Confirm Numerator -   | Diabetic Retinal Exam  |  |       |
|---|--|--|-------|
| Provider Name:  |  |  |       |
| Provider ID   |  |  |       |
| ров   | First Name   | Last Name  |       |
| 05/09/1957  |  |  |       |
| O Yes, this member has ophthalmologist or opto Date of Service:  O Yes, this member has 12/31/2007.  Date of Service:  If member had more O Yes, this member re 12/31/2006) which show Date of Service: | ad at least one screening exam for metrist) on or between 1/1/2007 of at least one office visit with an at least one office visit with an at least one, enter information for the street of the street of the street one of the stre | ophthalmologist or optometrist on or between 1/1/2007 and  the most recent date of service.  by an ophthalmologist or optometrist in the year prior (1/1/2006) | (i.e. |
|   | receive any of the above service   |  | Per   |



### <u>Self-Report – Report Denominator Exclusion</u>

| Confirm Exclusion - Diabeti  | c Retinal Exam   |  |        |
|--|--|--|--------|
| Provider Name: Provider ID   |  |  |        |
| D08  | First Name   | Last Name  |        |
| 05/09/1957   |  |  |        |
| Date of Diagnosis: /  This member was diagnose Date of Diagnosis: /  If member had more than  This member does not hav  This member was deceased  Other: | / MM/DD/YYY<br>one, enter information for the most re<br>e diabetes, steroid induce diabetes, g<br>on or before 12/31/2007.  | diabetes between 1/1/2006 and 12/31/2007.                | ember' |
| Uest Con   | The state of the s | f Report Guide   Feedback   Powered by HBI Online(Patent | Band   |



### Results from the Provider Self-Report Period

- 232(9.2%) of reported providers utilized the self-report function
- 207 providers submitted data that directly impacted a measure rate.
- 197 providers submitted data that had no impact on a measure rate.
- 716 providers had rates impacted due to the self-report data.
- On average a member is attributed to 3.5 providers.
- The measure rate numerators were increased by 2,140 and denominators were reduced by 1,402.
- The composite rate for all individual quality measures increased by 3.5%.



## Impact of Self-Report at the Measure Level

|                                     | P             | re-Self-Report Da | nta             | Post-Self-Report Data |                  |                  |  |  |
|-------------------------------------|---------------|-------------------|-----------------|-----------------------|------------------|------------------|--|--|
| Quality Maggura                     | Total         | Total             |                 |                       | Total            |                  |  |  |
| Quality Measure                     | Numerator Pre | Denominator       | Composite Rate  | Total Numerator       | Denominator Post | Composite Rate   |  |  |
|                                     | Self-Report   | Pre Self-Report   | Pre Self-Report | Post Self-Report      | Self-Report      | Post Self-Report |  |  |
| Chlamydia Screening for Women       | 368           | 1,307             | 28.2%           | 410                   | 1,303            | 31.5%            |  |  |
| Colorectal Cancer Screening         | 20,813        | 38,412            | 54.2%           | 22,118                | 38,396           | 57.6%            |  |  |
| (HBA1C) test for Diabetes           | 1,791         | 1,993             | 89.9%           | 1,802                 | 1,964            | 91.8%            |  |  |
| LDL Monitoring for Diabetics        | 1,347         | 1,586             | 84.9%           | 1,365                 | 1,570            | 86.9%            |  |  |
| Mammography Screening               | 19,831        | 25,780            | 76.9%           | 20,325                | 25,710           | 79.1%            |  |  |
| Monitoring for Diabetic Nephropathy | 1,572         | 2,299             | 68.4%           | 1,637                 | 2,261            | 72.4%            |  |  |
| Cervical Cancer Screening           | 45,093        | 53,803            | 83.8%           | 45,257                | 52,625           | 86.0%            |  |  |
| Diabetic Retinal Exam               | 970           | 2,450             | 39.6%           | 1,011                 | 2,399            | 42.1%            |  |  |
| TOTAL                               | 91,785        | 127,630           | 71.9%           | 93,925                | 126,228          | 74.4%            |  |  |

|                                     | Count C   | hange in:   | Percent Change in: |             |                |  |  |
|-------------------------------------|-----------|-------------|--------------------|-------------|----------------|--|--|
| Quality Measure                     | Change    | Change      | % Change           | % Change    | % Change       |  |  |
|                                     | Numerator | Denominator | Numerator          | Denominator | Composite Rate |  |  |
| Chlamydia Screening for Women       | 42        | (4)         | 11.41%             | -0.31%      | 11.8%          |  |  |
| Colorectal Cancer Screening         | 1,305     | (16)        | 6.27%              | -0.04%      | 6.3%           |  |  |
| (HBA1C) test for Diabetes           | 11        | (29)        | 0.61%              | -1.46%      | 2.1%           |  |  |
| LDL Monitoring for Diabetics        | 18        | (16)        | 1.34%              | -1.01%      | 2.4%           |  |  |
| Mammography Screening               | 494       | (70)        | 2.49%              | -0.27%      | 2.8%           |  |  |
| Monitoring for Diabetic Nephropathy | 65        | (38)        | 4.13%              | -1.65%      | 5.9%           |  |  |
| Cervical Cancer Screening           | 164       | (1,178)     | 0.36%              | -2.19%      | 2.6%           |  |  |
| Diabetic Retinal Exam               | 41        | (51)        | 4.23%              | -2.08%      | 6.4%           |  |  |
| TOTAL                               | 2,140     | (1,402)     | 30.9%              | -9.0%       | 3.5%           |  |  |



### Impact of Self-Report on Provider Comparisons

- By submitting self-report data to impact their individual quality measure it was possible for the provider's comparison ranking to change also.
- This occurred most for measures where the look back period was greater than 5 years for the numerator and/or denominator.

|                                     | Original Rankings |      |       | Rankings w/ Self-Report |       |      | Percent Changes in: |       |        |        |        |        |
|-------------------------------------|-------------------|------|-------|-------------------------|-------|------|---------------------|-------|--------|--------|--------|--------|
| Quality Measure                     | Below             |      | Above |                         | Below |      | Above               |       | Below  |        | Above  |        |
|                                     | Avg               | Avg  | Avg   | Total                   | Avg   | Avg  | Avg                 | Total | Avg    | Avg    | Avg    | Total  |
| Chlamydia Screening for Women       | 1                 | 362  | 66    | 429                     | 1     | 361  | 67                  | 429   | 0.00%  | -0.28% | 1.52%  | 0.00%  |
| Colorectal Cancer Screening         | 36                | 1446 | 272   | 1754                    | 33    | 1409 | 312                 | 1754  | -8.33% | -2.56% | 14.71% | 0.00%  |
| (HBA1C) test for Diabetes           | 25                | 111  | 470   | 606                     | 25    | 108  | 472                 | 605   | 0.00%  | -2.70% | 0.43%  | -0.17% |
| LDL Monitoring for Diabetics        | 28                | 276  | 378   | 682                     | 28    | 272  | 381                 | 681   | 0.00%  | -1.45% | 0.79%  | -0.15% |
| Mammography Screening               | 73                | 1468 | 616   | 2157                    | 69    | 1444 | 644                 | 2157  | -5.48% | -1.63% | 4.55%  | 0.00%  |
| Monitoring for Diabetic Nephropathy | 14                | 462  | 129   | 605                     | 13    | 459  | 131                 | 603   | -7.14% | -0.65% | 1.55%  | -0.33% |
| Cervical Cancer Screening           | 69                | 1213 | 989   | 2271                    | 66    | 1154 | 1048                | 2268  | -4.35% | -4.86% | 5.97%  | -0.13% |
| Diabetic Retinal Exam               | 11                | 502  | 92    | 605                     | 11    | 495  | 96                  | 602   | 0.00%  | -1.39% | 4.35%  | -0.50% |
| TOTALS                              | 257               | 5840 | 3012  | 9109                    | 246   | 5702 | 3151                | 9099  | -4.28% | -2.36% | 4.61%  | -0.11% |



### Impact of Self-Report on Provider Inclusion

- Provider inclusion criteria is a minimum of 30 attributed patients for a given measure.
- The impact of the Self-Report and the Attribution Multiplier allowed for the reduction of the total number of providers who met the inclusion criteria.

|                                     | Or    | Original Rankings |       |       | Rankings w/ Self-Report Data |      |       | Count Changes from: |           |           |              |
|-------------------------------------|-------|-------------------|-------|-------|------------------------------|------|-------|---------------------|-----------|-----------|--------------|
| Quality Measure                     | Below |                   | Above |       | Below                        |      | Above |                     | Below Avg | Avg to    | Below Avg to |
|                                     | Avg   | Avg               | Avg   | Total | Avg                          | Avg  | Avg   | Total               | to Avg    | Above Avg | Above Avg    |
| Chlamydia Screening for Women       | 1     | 362               | 66    | 429   | 1                            | 361  | 67    | 429                 | 0         | 1         | 0            |
| Colorectal Cancer Screening         | 36    | 1446              | 272   | 1754  | 33                           | 1409 | 312   | 1754                | 3         | 40        | 0            |
| (HBA1C) test for Diabetes           | 25    | 111               | 470   | 606   | 25                           | 108  | 472   | 605                 | 0         | 3         | 0            |
| LDL Monitoring for Diabetics        | 28    | 276               | 378   | 682   | 28                           | 272  | 381   | 681                 | 0         | 3         | 0            |
| Mammography Screening               | 73    | 1468              | 616   | 2157  | 69                           | 1444 | 644   | 2157                | 4         | 28        | 0            |
| Monitoring for Diabetic Nephropathy | 14    | 462               | 129   | 605   | 13                           | 459  | 131   | 603                 | 1         | 2         | 0            |
| Cervical Cancer Screening           | 69    | 1213              | 989   | 2271  | 66                           | 1154 | 1048  | 2268                | 2         | 57        | 1            |
| Diabetic Retinal Exam               | 11    | 502               | 92    | 605   | 11                           | 495  | 96    | 602                 | 0         | 4         | 0            |
| TOTALS                              | 257   | 5840              | 3012  | 9109  | 246                          | 5702 | 3151  | 9099                | 10        | 138       | 1            |



### Impact of Self-Report on Provider Rankings

Provider specialties where rankings were impacted by self-report data directly or through attribution.

|               | 1 8 1                                  |                                      | •                                  | 8                                |  |
|---------------|--|--------------------------------------|------------------------------------|----------------------------------|--|
| Update Reason | Specialty                              | Provider Changes by<br>Update Reason | Percent Change by<br>Update Reason | Provider Changes by<br>Specialty |  |
| Attribution   | Endocrinology, Diabetes And Metabolism | 1                                    | 50.0%                              | 2                                |  |
| Self-Reported | Endocrinology, Diabetes And Metabolism | 1                                    | 50.0%                              | 2                                |  |
| Attribution   | Family Medicine                        | 18                                   | 40.0%                              | 45                               |  |
| Self-Reported | Family Medicine                        | 27                                   | 60.0%                              | 40                               |  |
| Attribution   | Internal Medicine                      | 18                                   | 26.9%                              | 67                               |  |
| Self-Reported | Internal Medicine                      | 49                                   | 73.1%                              | ٥ <i>7</i>                       |  |
| Self-Reported | Obstetrics And Gynecology              | 15                                   | 100.0%                             | 15                               |  |

| Provider Specialty                  | Number of Quality<br>Measure Rank Changes |
|-------------------------------------|---|
| Endocrinology Diabetes & Metabolism | 2   |
| Family Medicine                     | 54  |
| Internal Medicine                   | 76  |
| Obstetrics & Gynecology             | 17  |

The attribution methodology used allowed patients to be assigned to more than one provider.

When a provider submits self-report data it can change the rates and subsequent rankings of a provider that did not submit self-report data.



### Conclusions and Recommendations

- The self reporting capability is a critical component of Transparency
- Small number of providers taking advantage of the self-report function (232 Distinct Providers)
- Self-report function provides a feedback mechanism for the provider community
- Approximately 7.9% (197) of the 2,518 providers submitted data via the self-reporting function that did not result in a change to the member record
- Rankings for 1.6% (149) of the 9,099 reported quality measures increased due to self-report
- Self-Report impacted the ranking of 5.1% (129) of the 2,518 reported providers
- •Resulting hybrid rates (self report and administrative data) for the affected providers were at a composite level only 3.4% higher than those based on administrative data only
- Meets the requirements as part of the PHQ Certification

