

Helping Cardiovascular Professionals Learn. Advance. Heal.



# Aligning Payment, Accountability and Opportunity in Specialty Care: Part I

Pay for Performance Summit Integrated Healthcare Association March 11, 2009

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Helping Cardiovascular Professionals Learn. Advance. Heal.



# The Present and Future of the NCDR<sup>®</sup> &

Appropriateness Use Criteria in Assessing Quality and Variation in CV Care



Helping Cardiovascular Professionals Learn. Advance. Heal.

# What is the American College of Cardiology (ACC)?

Chartered as a teaching institution in 1949 now serves more than 37,000 cardiologists, nurses, and PAs.

> ACC headquarters 2400 N. Street, NW Washington D.C.



### Bill Weintraub: NCDR Founding Father, CV Epidemiologist, Clinical Trialist and Outcomes Thought Leader





### "Science tells us what we can do;

### Guidelines what we should do;

## Registries what we are actually doing."

### **The Cycle of Clinical Effectiveness**





# What is the NCDR?

- Suite of Hospital and Office-Based Quality Improvement Programs focused on CV disease
  - measure and quantify outcomes
  - Identify gaps in the delivery of quality cardiovascular patient care
- Our Mission is to:
  - improve patient care
  - Provide knowledge and tools
  - Implement quality initiatives
  - Support research



# How is NCDR Used

ACC	<ul> <li>Educational Needs Assessment</li> <li>Scientific Insights</li> <li>Research and Publications</li> </ul>
Health Plans	<ul> <li>Participation requirements for preferred provider programs.</li> <li>Performance Tracking Tool</li> </ul>
Researchers	<ul><li>Outcomes Research</li><li>Post Market Surveillance</li></ul>
Hospitals & Physician Practices	<ul> <li>Quality Improvement</li> <li>Performance Measurement Reporting</li> <li>Utilization Review</li> </ul>

ACTION Registry-GWTG CARE Registry CathPCI Registry ICD Registry IC3 Program IMPACT Registry

### What is the National Cardiovascular Data Registry?



ACTION Registry-GWTG CARE Registry CathPCI Registry ICD Registry IC3 Program IMPACT Registry











# **Data Quality Program**

- Online field checks for completeness and consistency
- Electronic Data Quality Reports
- National On-Site Audit Program
  - Annual
  - Nurse abstractors go on-site to audit charts



# CathPCI Registry

#### **Registry/QI**

- 1100 participants
- 8.2 million patient records
- 2.91 million PCI records

#### **Analytic & Reporting Services**

- States MA, WV, MI
- Payers United, BCBSA, WellPoint

#### **Research and Publications**

- DCRI analytic center
- Manuscripts
  - -30 published
  - -4 in press
  - -16 in development
- 17 abstracts '08

#### CathPCI Registry Enrollment



Perticipanto



### **ACTION** Registry-GWTG<sup>®</sup>

### Registry

- 100,000 Patient Records
- Merger with American Heart Association GWTG-CAD
- Certified Vendor Outcome Inc.,
- Pending Vendors Quantros, Lumedx
- Linked to CathPCI v.4 (launch mid 2009)

### **Data Sharing**

ACTION Registry-GWTG

• Early discussions with payers

### **Research and Publications**

- DCRI analytic center
- 9 Abstracts accepted ACC'09

#### Founding Sponsors Bristol Myore Squibb and Sanofi Borthorship, and Sahoring Dlough Corporation

Bristol-Myers Squibb and Sanofi Partnership and Schering Plough Corporation

**AR-G Registry Enrollment** 



CARE Registry" CathPCI Registry" ICD Registry" IC<sup>3</sup> Program" IMPACT Registry"





# ICD Registry™

### Registry

- 1,507 enrolled
- 330,00 patient records
- 76% of participants submit all ICD patients
- Version 2.0 Peds and Leads (2010)

### **Analytic & Reporting Services**

Provide data to CMS for reimbursement

### Research

- ICD Longitudinal Study
- Atrial Fibrillation Ablation Registry ?
- Perform analysis for FDA

#### ICD Registry Enrollment



### **NCDR**<sup>®</sup> Executive Summary Performance Metrics





Percenta

#### Percentage of Primary PCI with D2B <= 90 minutes NCDR CathPCI v3



Timeframe

# NCDR - Elective PCI PCI Volume with Mortality

### NCDR Centers (n= 403) 2001 - 2004

Annual PCI Volume	# of Sites	Number of Patients (%)	Mortality (%)	Odds Ratio (95% CI) (vs. volume ≥801)
0-200	43	6,305 (1.3)	0.49	1.17 (0.81 - 1.71)
201-400	85	42,039 (8.7)	0.49	1.12 (0.96 - 1.31)
401-800	132	116,116 (24.0)	0.45	1.10 (0.99 - 1.22)
≥801	139	318,500 (65.9)	0.39	ref.

**ACTION** Registry<sup>\*\*</sup>

CathPCI Registry

ICD Registry

CARE Registry<sup>\*</sup>



# Percutaneous Coronary Interventions in Facilities without On-Site Cardiac Surgery: A Report from the National Cardiovascular Data Registry (NCDR)

ACC/SCAI – i2 Summit Late Breaking Clinical Trials March 29, 2008



# **Risk Adjusted Outcomes**

Outcome	Total N	Favors On-Site F	avors Off-Site	Odds Ratio (95	% Cl) p-value
Mortality - overall	308,105			1.08 (0.86 - 1	.35) 0.507
Mortality - primary PCI pts	33,002	-		1.02 (0.79 - 1	.31) 0.881
Mortality - non-primary PCI pts	275,089			1.12 (0.84 - 1	.50) 0.444
Emergency CABG	308,124	-	<b>B</b>	1.59 (1.00 - 2	.52) 0.049
Mortality - pts not requiring emergency CABG	306,961	••• 0.1 1	<u> </u>	1.05 (0.84 - 1	.32) 0.671

Odds Ratio (OR): outcomes for patients at On-Site (vs. Off-Site) facilities adjusting for site correlations and potential confounding variables







### Outcomes of Patients > 85 years undergoing PCI ACC-NCDR® 2001-2004

		<u>Mortality</u>	Emerg. CABC
•	Chronic CAD (n=14,077)	1.4%	0.2%
•	STEMI (n=2,941)	15.6%	0.3%
•	Non-STEMI (4,316)	5.1%	0.2%

- Total PCI procedures= 666,415 from 409 institutions
- %>85 years old = 2.9% CAD, 3.2% STEMI, 4.7% NSTEMI

**ACTION** Registry<sup>\*\*</sup>

CathPCI Registry

CARE Registry

ICD Registry



# Risk of Local Adverse Effects Following Cardiac Catheterization by Hemostasis Device and Gender

A Report from the NCDR in Partnership with the FDA

Dale Tavris, Syamal Dey, Albrecht Gallauresi, Richard Shaw, William Weintraub, Kristi Mitchell, Ralph Brindis

Grant from Office of Women's Health, Food and Drug Administration

### Rate per 10,000 of Local Vascular Complications by Type Hemostasis (Univariate Analysis) - Year 2003 N=13,878





### Trends in DES vs. BMS Use for PCI for NSTEMI









## **Key Principles of National Clinical Registries**

### A. Patient-Centric

- A. Seamless
- B. EHR Integrated
- C. Patient-focused
- B. Interoperable
- C. Transparent
- D. Efficient- operate in real time
- E. High Data Quality



# **Registry Standards**

- A. Standardized Data Elements and Definitions
- B. Evidence-based Performance Measures
- C. Quality and Performance Key Metrics
- D. Risk-adjusted Outcomes, Process and Structural Measures
- E. Appropriateness & Effectiveness Measures
- F. Financial Data



# **Uses of Registry Data**

- Quality Improvement
  - Effectiveness of P4P
  - Guideline adherence
  - Performance measure development, implementation, validation
- Post Market Surveillance
  - Adverse/sentinel events
  - Identify device performance trends
  - Inappropriate off-label use
  - Hypotheses for follow up studies



# **Uses of Registry Data**

- Informed Decision Making in Real Time
- Maintenance of Certification & Privileging
- Meet Regulatory Needs
- Pay for Participation, Reporting, and Performance
- Clinical Research
- Effectiveness and Translational Research
  - Role for Planned National Institute of CER
  - Diffusion of New Technologies (CED)



# Principles of National Clinical Registries Coordination of Key Players

- Medical Professional Societies
- IHA, IHI, Hospital Organizations and Leaders
- Payers (CMS and Private)
- AMA Consortium
- NQF
- AQA, SQA
- FDA
- NHLBI, NIH
- AHRQ, CDC
- And more



# **Present Focus for National Registries**

- Achieve data standardization
- Streamline data collection-100% EHR integration
- Unique Patient identifier Legislative Approach
- Linkage of relevant Registries
- Longitudinal strategies develop viable business cases

### **GOAL:**

Convert procedural or episodic hospital based Registries to "disease state" patient-centric registries



# CMS- Yale- NCDR- ACC Public Performance Measure Development

- Initial effort NCDR CathPCI outcomes measures
  - 30 day mortality following PCI
  - 30 day readmission following PCI
- Linkage with CMS claims data for 30 day longitudinal assessment
  - Probabilistic Matching –unique patient admission by hospital, admission date, age, gender
  - HIPAA Compliant



# Legal/Regulatory Implications

- A. Unique patient identifiersB. HIPAA challenges
  - Stimulus Package, IT legislation
  - active lobbying needed!!
- C. IRB issues (QI vs Research)
- D. Longitudinal data
- E. Linkage of databases





### **Threats to Quality in Procedure Utilization**

- Misuse
  - Applying treatment to the right patient in a manner that results in harm
  - Overuse
    - Applying treatment to patients in whom risks > benefit
- Underuse
  - Failure to apply treatment in those likely to benefit



# What are Appropriateness Criteria?

- Define "what to do", "when to do", and "how often to do" in the context of local care environments combined with patient and family preferences and values
- Address misuse, overuse and underuse
- Connected to guideline content
- Imply a level of detail and complexity that extends beyond the current recommendations

### GUIDELINES, PERFORMANCE MEASURES, AND APPROPRIATENESS USE CRITERIA What are the Differences?

### Clinical Guidelines

- Exhaustive review of literature
- Virtually all-inclusive
- Best practice
- "Should do, should not do"
  - Class I, Class III, Class IIa, IIb

### Performance Measures

- Selective, focused, measurable
- Based on guidelines
- "Must do" High impact Class I's
- Tools for quality measurement

Klocke FJ, Baird MG, Lorell BH, et al. ACC/AHA/ASNC guidelines for the clinical use of cardiac radionuclide imaging. Circulation 2003; 106: 1883-92

Krumholtz HM, Anderson JL, Brooks, et al. ACC/AHA clinical performance measures for adults with STelevation and non-ST-elevation myocardial infarction. J Am Coll Cardiol 2006; 47: 236-65.

### Appropriateness Use Criteria

- Selective indications
- Largely guideline based
- Clinical scenarios/frequency
- "Reasonable to do"

Brindis RG, Douglas PS, Hendel RC et al. ACCF/ASNC appropriateness criteria for singlephoton emission computed tomography myocardial perfusion imaging. J Am Coll Cardiol 2005; 46: 1587-605.

# **Appropriateness Use Criteria**

- SPECT-MPI
- CCT/MRI
- TTE/TEEchocardiography
- Stress Echocardiography
- Coronary Revascularization: PCI/CABG
- Implementation of AC Pilot(s)
- SPECT-MPI Update
- CV imaging Cross Modality Appropriateness











