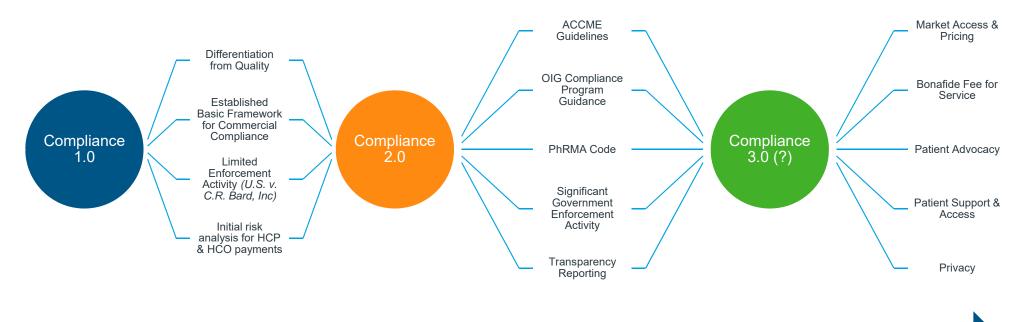
Evolution of the Compliance Office

The commercial compliance profession is now over 25 years old. While the demands have changed as the commercial model has become more complex, many of the concerns have stayed the same.



~1992 - 2002 ~ 2002 - 2016 ~ ~2016 - ...



Will Compliance 3.0 require a different kind of compliance office?

How will this transition change the skill needs for compliance professionals?



The confluence of activities that create kickback and false claims is becoming more complex – Compliance must be a partner in assessing and advising on commercial and medical models



- Compliance must avoid "being the control"
- · Commercial and Medical business executive must be more risk aware



As accountability and controls move towards the business, compliance must be focused on consultatively supporting control development and maturity

- Teach business owners the "why" of compliance not just how and what
- Verify control effectiveness and risk awareness through auditing & monitoring



Leverage

- data to measure key risk indicators (KRIs) to be gain broader risk coverage in monitoring through trend analysis and more targeted detailed audits
- the science of human behavior to communicate messages more effectively