

Evolution of Compliance Issues

1960s & 1970s

- Price, fixing, bid rigging and market sharing scandals
- Anti-trust compliance programs
- Increased SEC reporting of material facts
- Foreign Corrupt Practices Act (1977)
- Creation of Federal Offices of Inspector General

1980s

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1990s

- Sentencing Guidelines for Organizations (1992)
- Health care replaces defense industry as number 1 fraud enforcement priority
- Mandated compliance programs for health care industry (1993 – present)

2000s

- Sarbanes Oxley enacted as a result of Enron scandals