

Applied Statistics and Data Analysis Tools

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Pre-conference Patient Safety Symposium

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Alleged Research: P.A.R.C. Analysis

- **P**ractical
- **A**ccumulated
- **R**ecords
- **C**ompilation
- **P**assive
- **A**nalysis
- **R**egressions
- **C**orrelations
- **P**rofound
- **A**nalysis
- **R**elying (on)
- **C**omputers
- **P**lanning
- **A**fter
- **R**esearch
- **C**ompleted

Everytown, USA

Established: 1892

Population: 15,330

Elevation: 1,583'

Why physicians get mad...

“The target is for 90% of the bottom quartile to perform at the 2004 average by the end of 2008.”

??

A tailor takes measurements...a
doctor takes measurements...

- Is the purpose quantitative information...
- ...or a causal explanation?

“Data Torturing”

- Data not designed & collected *specifically* for the current purpose can generally be “tortured” to confess to a “hidden agenda” [NEJM October 14, 1993]

Causal analysis on “suit” data

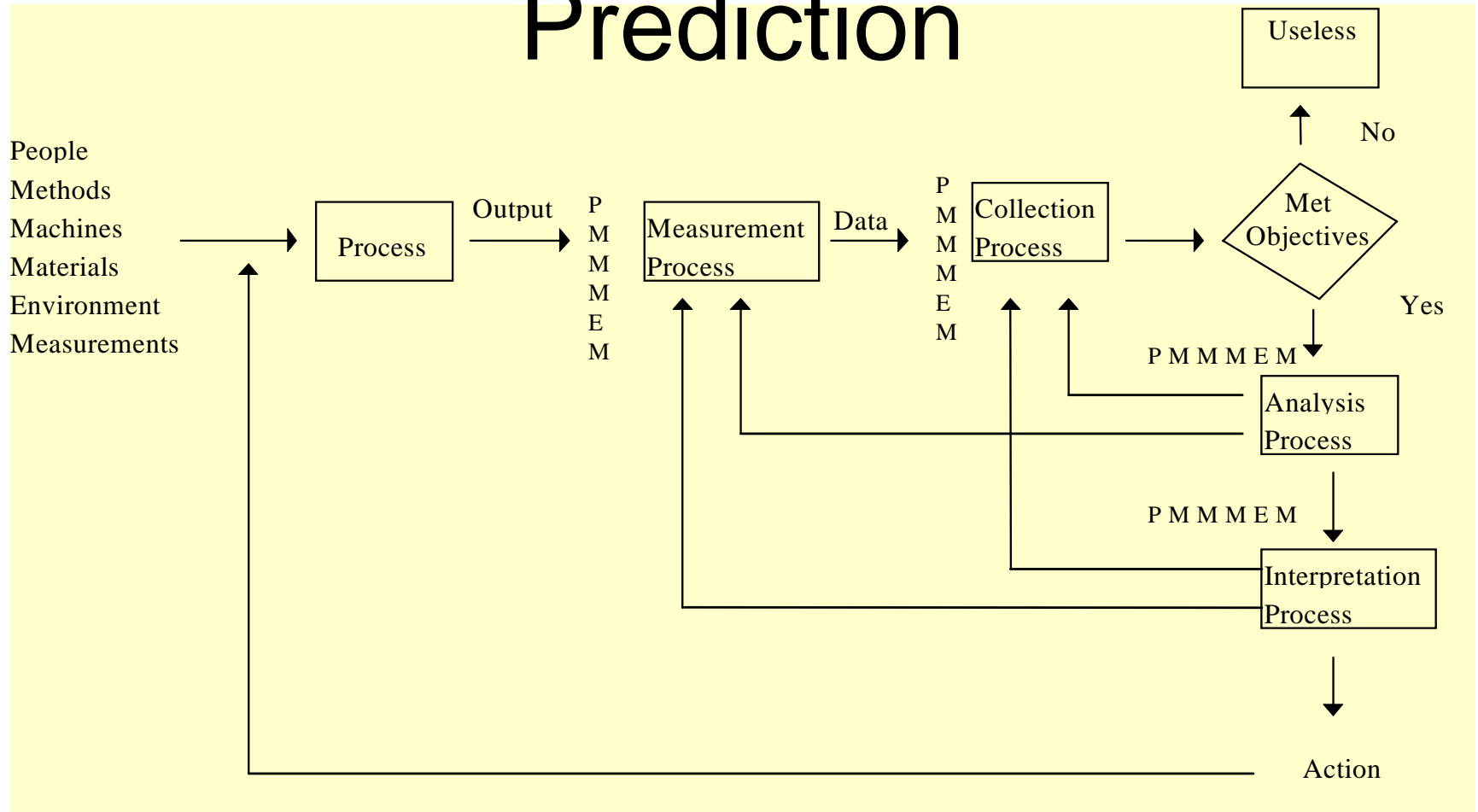
Vague data
collected in response to a...

Vague problem
will yield a...

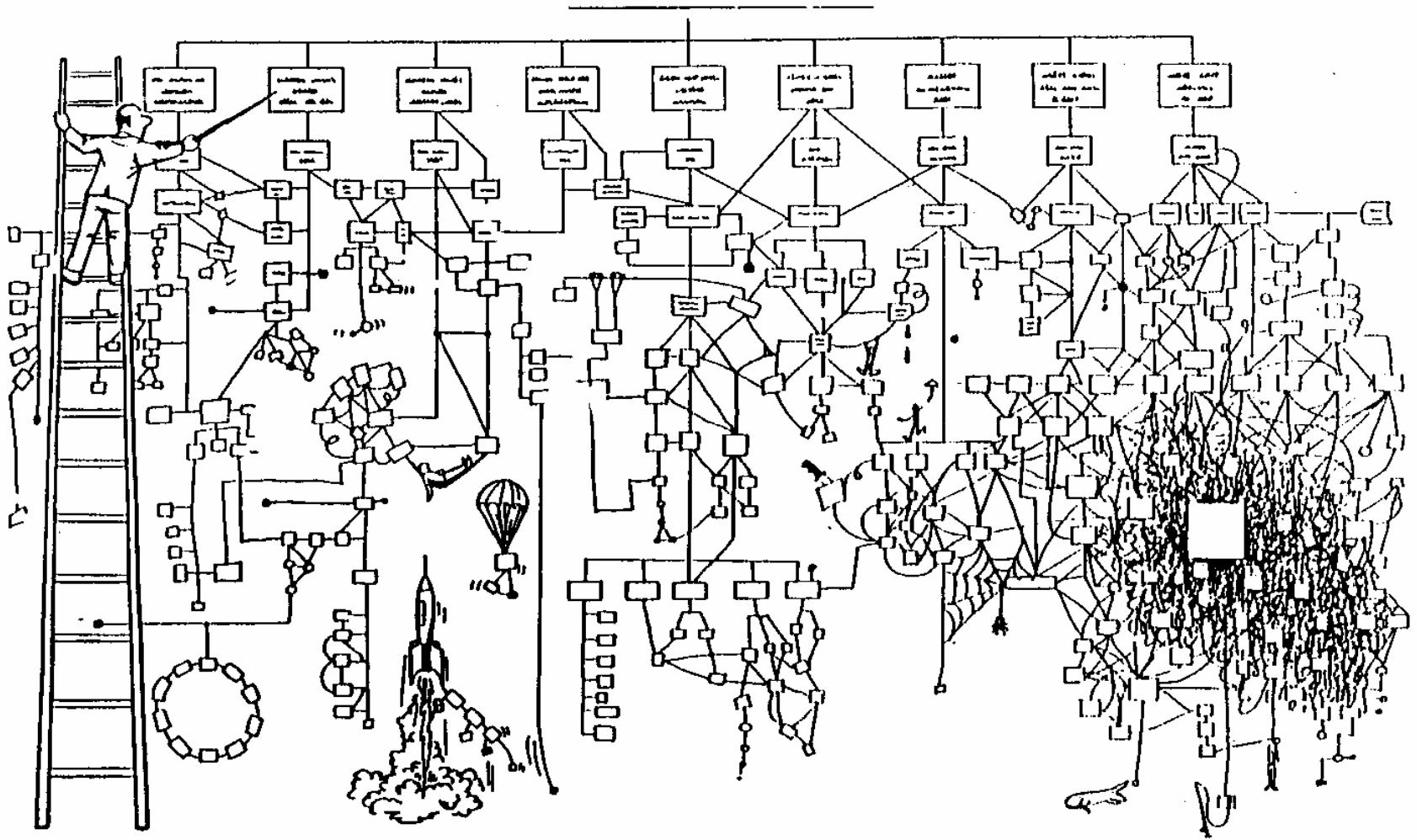
Vague solution,
which, in turn, will yield a

Vague result.

“Process”: Estimation vs. Prediction



Clinical trial thinking: **Control** of “variation” vs. ...



***...Manifestation* of variation**

Déjà vu? How many meetings?

Health System Milestones
KONOPARTYCASB/WV/VEISER/KAL/SKAC

Medicare Cases

Attachment B

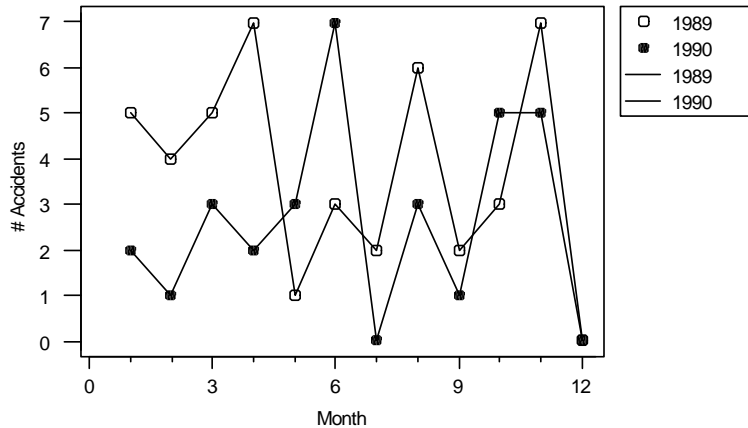
Comparison of MDC between 1996 and 1997

| MDC | MDC Description | 96 Cases | 97 TTYWk | Avail. month | Wgt for Avg Cases | 96 MDC | 97 Cases | 97 TTYWk | 97 MDC | Scot | Class Cases | Wgt. Chrg. Cases | Wgt. Chrg. | Total Wgt. Chrg. |
|-----|-------------------------------------|----------|------------|--------------|-------------------|--------|----------|------------|--------|----------|-------------|------------------|------------|------------------|
| a | b | d | e | f | g | h | i | j | k | l | m | n | o | p |
| 24 | Multiple Trauma | 6 | 15,0885 | 2 | 5.2288 | 2,6144 | - | - | - | (2,8144) | (2) | (5,2288) | - | (5,2288) |
| 25 | Human Immunodeficiency | 2 | 6,2293 | 1 | 1,7431 | 2,6147 | 1 | 18,141 | 1,8141 | (0,0000) | 0 | 0,8716 | (0,8008) | 0,0710 |
| 14 | Pregnancy & Childbirth | 5 | 2,0883 | 2 | 0,6954 | 0,4178 | - | - | - | (0,4178) | (2) | (0,6954) | - | (0,6954) |
| 21 | Poisoning/Toxic Drug | 33 | 40,0429 | 11 | 13,6159 | 1,2378 | 10 | 10,2079 | 1,0208 | (0,2080) | (1) | (1,2378) | (2,0790) | (3,3177) |
| 7 | Diabetes Mellitus & Pancreas | 188 | 284,1856 | 66 | 68,0319 | 1,5726 | 84 | 85,0373 | 1,4075 | (0,1850) | 0 | 7,8977 | (10,0672) | (2,2046) |
| 23 | Health Services | 37 | 23,8232 | 3 | 7,9411 | 0,8825 | 28 | 21,1248 | 0,7384 | (0,1829) | 20 | 17,6668 | (4,4520) | 13,1836 |
| 17 | Myeloproliferative & Neoplasms | 205 | 279,9100 | 69 | 91,3036 | 1,3362 | 75 | 91,8402 | 1,3245 | (0,1118) | 7 | 8,9077 | (8,3718) | 0,5359 |
| 13 | Female Reproductive | 128 | 142,4120 | 43 | 47,4710 | 1,1129 | 32 | 32,3849 | 1,0165 | (0,1021) | (11) | (11,8570) | (3,2586) | (15,1252) |
| 5 | Circulatory | 1,438 | 3,180,9188 | 0,13 | 1,060,3083 | 1,7306 | 577 | 1,305,4540 | 1,6329 | (0,0974) | 64 | 11,1377 | (84,1002) | 46,1477 |
| 20 | Alcoholizing Use | 16 | 1,4380 | 5 | 3,8127 | 0,7140 | 4 | 2,3507 | 0,6957 | (0,0752) | (1) | (0,9552) | (0,3000) | (1,2540) |
| 9 | Digestive | 703 | 1,017,2700 | 284 | 349,0393 | 1,4807 | 244 | 346,3624 | 1,4165 | (0,0702) | 10 | 14,4003 | (17,1385) | (2,7378) |
| 16 | Blood | 41 | 43,8888 | 11 | 14,4829 | 1,0583 | 34 | 34,1050 | 1,0078 | (0,0003) | 0 | 0,3328 | (0,7107) | (0,3579) |
| 3 | Ear, Nose, Mouth & Throat | 60 | 48,5987 | 22 | 15,5329 | 0,7188 | 50 | 28,8953 | 0,6845 | (0,0324) | 17 | 12,4283 | (1,2838) | 11,1445 |
| 10 | Endocrine, Nutritional & Metabolic | 127 | 178,7186 | 62 | 50,3062 | 0,9450 | 60 | 73,6778 | 0,9210 | (0,0240) | 18 | 18,8863 | (1,9237) | 14,7716 |
| 1 | Nervous System | 507 | 882,1833 | 178 | 217,3938 | 1,2145 | 158 | 238,7077 | 1,1955 | (0,0180) | 19 | 23,0760 | (3,7860) | 18,2900 |
| 2 | Eye | 4 | 2,9584 | 1 | 0,9895 | 0,7891 | 3 | 2,1637 | 0,7279 | (0,0119) | 2 | 4,2348 | (0,8335) | 1,1952 |
| 11 | Kidney & Urinary | 258 | 330,8283 | 88 | 110,2764 | 1,2823 | 60 | 112,0693 | 1,3734 | (0,0069) | 2 | 2,5640 | (0,7847) | 1,7793 |
| 4 | Respiratory | 710 | 1,009,9691 | 283 | 136,8664 | 1,3826 | 280 | 388,8540 | 1,3816 | (0,0020) | 37 | 60,7280 | (0,5144) | 59,1778 |
| 8 | Musculoskeletal | 594 | 4,118,5035 | 288 | 472,8343 | 1,6887 | 328 | 523,3800 | 1,5963 | 0,0000 | 30 | 47,6006 | 3,1847 | 50,7555 |
| 12 | Male Reproductive | 39 | 82,0519 | 20 | 30,6840 | 1,4450 | 38 | 41,1927 | 1,0562 | 0,0102 | 10 | 10,1118 | 0,3970 | 10,0087 |
| 22 | Burns | 1 | 0,3360 | 0 | 0,3123 | 0,9358 | 1 | 0,8347 | 0,9547 | 0,0174 | 1 | 0,8218 | 0,0178 | 0,8421 |
| 19 | Mental Health | 28 | 22,3857 | 9 | 7,4458 | 0,7677 | 17 | 14,0079 | 0,8240 | 0,0260 | 8 | 8,1160 | 0,4963 | 0,5623 |
| 9 | Skin, Subcutaneous Tissues & Breast | 152 | 129,1139 | 51 | 43,0360 | 0,8494 | 50 | 52,1840 | 0,8845 | 0,0350 | 8 | 7,0788 | 2,0374 | 5,1480 |
| 18 | Infectious & Parasitic | 131 | 201,4336 | 44 | 67,1445 | 1,5377 | 58 | 80,1017 | 1,6118 | 0,0742 | 15 | 23,6775 | 4,3787 | 27,8572 |
| 25 | Associated w/ All MDCs | 66 | 425,8208 | 22 | 141,8403 | 0,4518 | 18 | 144,8814 | 0,6461 | 1,6082 | (4) | (35,8073) | (8,8554) | 3,0511 |
| | Grand Total | 6,313 | 9,560,6696 | 2,404 | 3,180,8899 | 1,5144 | 2,386 | 3,421,9195 | 1,4524 | (0,0020) | 257 | 317,4210 | (62,3914) | 236,0286 |

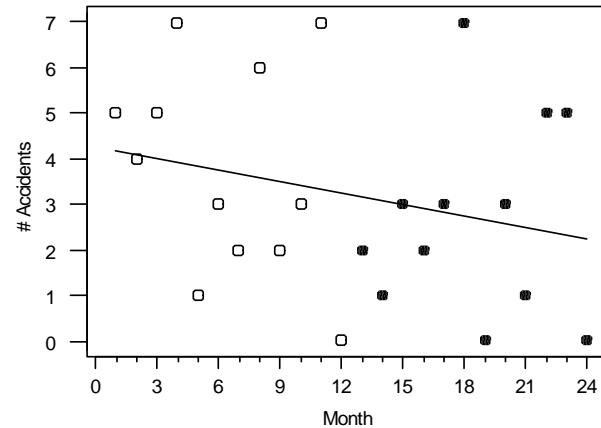
Pages & pages...

Safety Data: Goal—reduce accidents by 25% 45 vs. 32

"Year-Over-Year" Plot of Accident Data



"Trend" Analysis for Accident Data
1/89 - 12/90
Not Valid!



("Trend" of 4.173 to 2.243)

8 months are lower than previous year

Reduction is 46.2% !

Every month—Safety review of each incident...

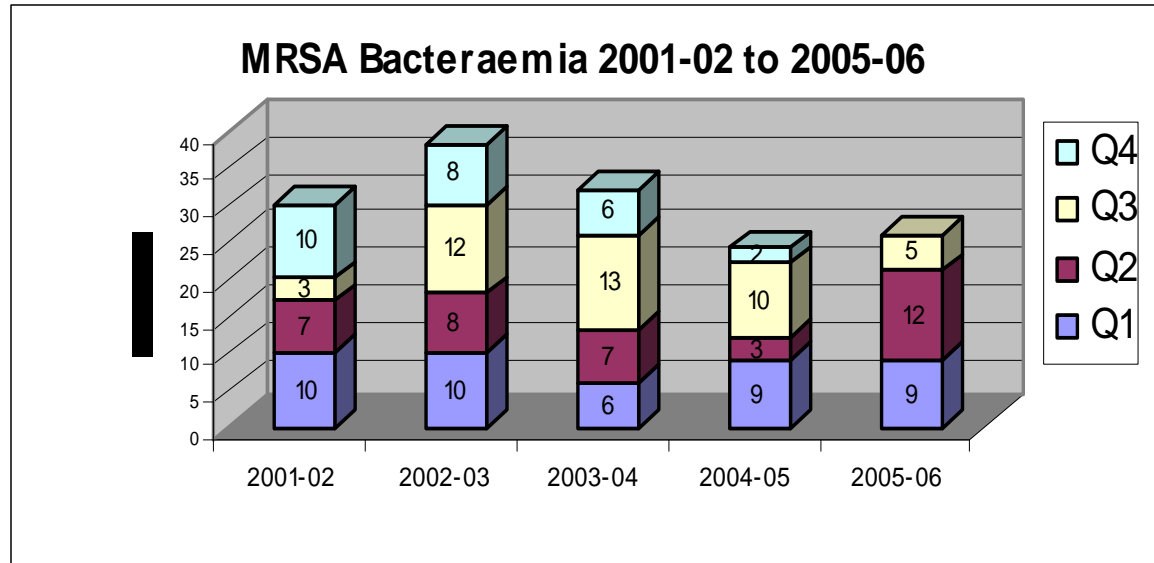
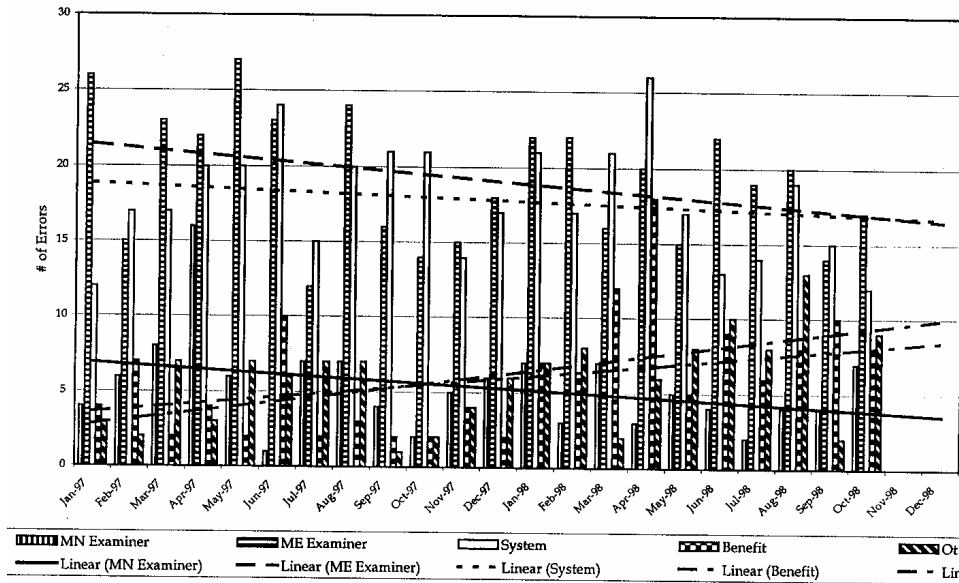
Goals a la Dilbert

- Boss:
 - Our goal this year is ZERO disabling injuries.
 - Last year our goal was 25 disabling injuries; however, in retrospect, that was a mistake...

“Process-oriented” definition of accident

- “A hazardous situation that was unsuccessfully avoided.”
- “But, Davis, these things *shouldn't* happen!”
- I know...but are you *perfectly designed* to have them happen?

Source of NMIS Claims Errors



I *HATE* bar graphs & trend lines...

| SHA name | 30-Mar-03 | 6-Apr-03 | 13-Apr-03 | 20-Apr-03 | 27-Apr-03 | 4-May-03 | 11-May-03 | 18-May-03 | 25-May-03 | 1-Jun-03 |
|--|-----------|----------|-----------|-----------|-----------|----------|-----------|-----------|-----------|----------|
| Avon, Gloucestershire & Wiltshire | 89.7% | 85.1% | 83.9% | 85.1% | 85.2% | 84.9% | 85.7% | 85.5% | 85.3% | 85.2% |
| Bedfordshire and Hertfordshire | 93.1% | 89.1% | 88.0% | 84.9% | 84.3% | 85.4% | 85.7% | 86.8% | 87.8% | 85.3% |
| Birmingham and the Black Country | 91.8% | 85.4% | 84.9% | 85.8% | 85.6% | 84.8% | 88.7% | 88.3% | 86.7% | 87.5% |
| Cheshire & Merseyside | 95.2% | 90.1% | 88.5% | 87.3% | 87.0% | 85.7% | 88.1% | 88.0% | 87.9% | 87.6% |
| County Durham & Tees Valley | 94.8% | 96.4% | 94.7% | 94.6% | 92.2% | 93.9% | 95.5% | 94.3% | 92.0% | 94.2% |
| Cumbria & Lancashire | 91.7% | 91.6% | 92.1% | 93.0% | 92.0% | 92.7% | 93.6% | 93.5% | 92.6% | 91.9% |
| Dorset & Somerset | 93.8% | 91.2% | 89.7% | 92.3% | 91.5% | 91.5% | 89.7% | 93.2% | 90.9% | 92.8% |
| Essex | 93.8% | 90.8% | 91.2% | 91.1% | 91.5% | 90.3% | 92.3% | 89.9% | 91.1% | 90.5% |
| Greater Manchester | 94.7% | 91.0% | 90.7% | 88.8% | 89.5% | 90.0% | 90.8% | 92.6% | 91.1% | 89.8% |
| Hampshire and Isle of Wight | 90.6% | 83.5% | 84.3% | 81.4% | 84.0% | 82.3% | 81.7% | 82.8% | 80.8% | 79.7% |
| Kent and Medway | 88.1% | 90.1% | 89.5% | 89.6% | 87.6% | 86.0% | 91.0% | 92.2% | 89.3% | 87.4% |
| Leicestershire, Northamptonshire & Rutland | 86.1% | 73.3% | 72.2% | 74.7% | 74.0% | 72.8% | 77.4% | 75.9% | 78.4% | 73.0% |
| Norfolk, Suffolk and Cambridgeshire | 93.6% | 88.4% | 86.6% | 86.0% | 85.8% | 87.9% | 87.6% | 87.3% | 85.9% | 86.0% |
| North and East Yorkshire and Northern Lincolnshire | 94.2% | 92.7% | 93.3% | 92.4% | 91.7% | 90.0% | 91.5% | 91.7% | 90.7% | 92.0% |
| North Central London | 93.7% | 83.7% | 86.6% | 84.4% | 86.1% | 84.9% | 84.9% | 85.4% | 85.1% | 83.9% |
| North East London | 93.7% | 84.4% | 80.8% | 79.7% | 78.8% | 78.8% | 81.9% | 81.6% | 79.6% | 80.1% |
| North West London | 94.6% | 86.7% | 86.2% | 86.4% | 83.7% | 83.1% | 81.9% | 82.2% | 81.4% | 82.6% |
| Northumberland, Tyne and Wear | 94.1% | 92.5% | 91.5% | 92.0% | 90.0% | 90.1% | 92.4% | 92.7% | 92.6% | 92.1% |
| Shropshire and Staffordshire | 95.4% | 88.0% | 89.0% | 84.0% | 85.6% | 83.9% | 84.2% | 85.8% | 87.4% | 83.0% |
| South East London | 96.1% | 89.7% | 90.9% | 91.5% | 89.0% | 88.7% | 89.2% | 90.0% | 88.9% | 89.2% |
| South West London | 95.5% | 80.5% | 83.4% | 81.4% | 80.6% | 81.9% | 82.0% | 81.0% | 80.1% | 80.3% |
| South West Peninsula | 95.7% | 90.9% | 90.1% | 89.5% | 89.4% | 89.1% | 92.5% | 92.8% | 88.6% | 90.1% |
| South Yorkshire | 95.1% | 86.6% | 85.4% | 86.0% | 84.8% | 86.0% | 87.9% | 91.0% | 89.9% | 87.7% |
| Surrey and Sussex | 88.4% | 84.4% | 85.0% | 85.7% | 84.3% | 83.7% | 83.4% | 85.0% | 83.7% | 83.5% |
| Thames Valley | 83.2% | 80.3% | 80.0% | 79.2% | 81.4% | 78.4% | 80.4% | 83.7% | 81.7% | 79.6% |
| Trent | 93.1% | 87.7% | 88.6% | 88.1% | 88.1% | 87.4% | 89.2% | 90.0% | 87.4% | 86.2% |
| West Midlands South | 93.7% | 89.1% | 92.0% | 91.6% | 88.5% | 87.9% | 89.3% | 89.5% | 91.8% | 94.3% |
| West Yorkshire | 93.6% | 90.8% | 90.2% | 90.1% | 91.8% | 90.7% | 90.1% | 91.7% | 89.7% | 89.8% |
| England total | 92.9% | 87.8% | 87.6% | 87.1% | 86.7% | 86.3% | 87.5% | 88.1% | 87.1% | 86.7% |

...and the traffic light plague...AND...

| Indicator | Trust Status | A&E | Cancer | Crit Care | Medicine | O&G | Paeds | SR&T | Surgery | T&O |
|---------------|--------------|-----|--------|-----------|----------|-----|-------|------|---------|-----|
| IP Activity | | | | | | | | | | |
| OP Activity | | | | | | | | | | |
| A&E 4 hr Wait | | | | | | | | | | |
| IP >6 months | | | | | | | | | | |
| Op > 13 weeks | | | | | | | | | | |

Status Key

| |
|--|
| |
| |
| |

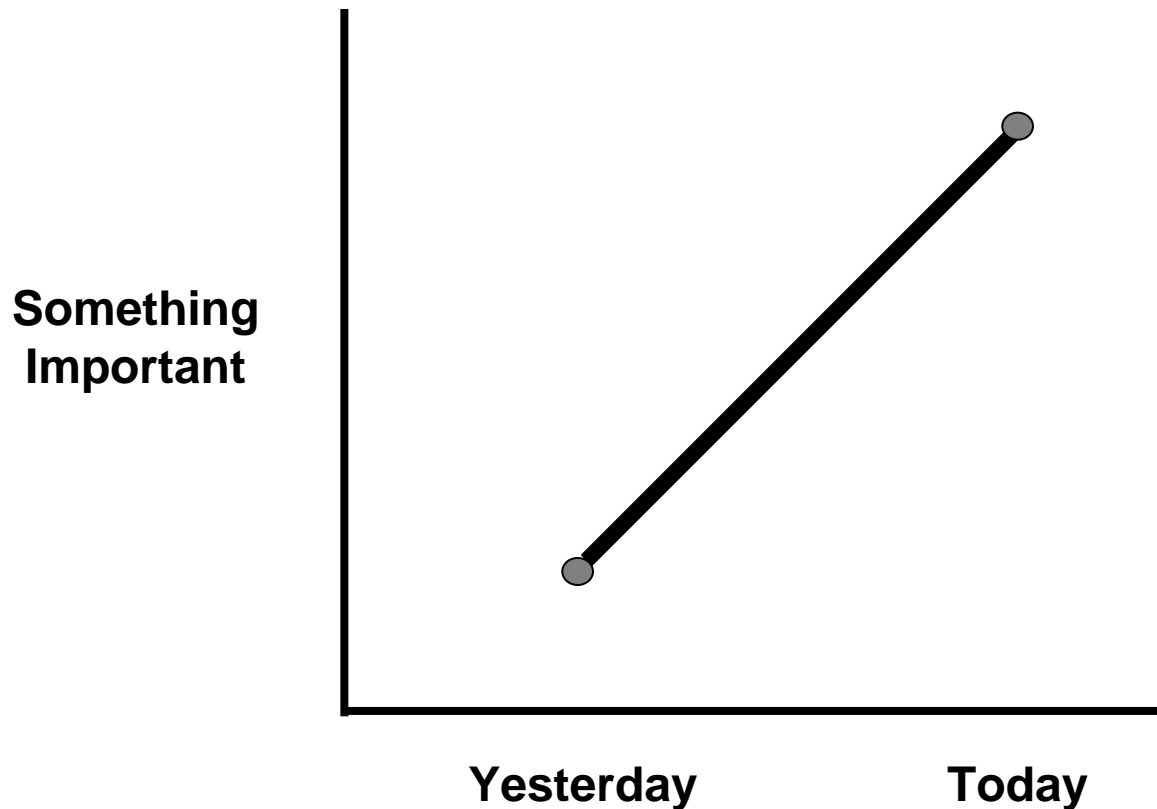
On Target or Achieved

Below or Worse than Target

Significantly Below or worse than Target

What the...?!

Given two numbers...

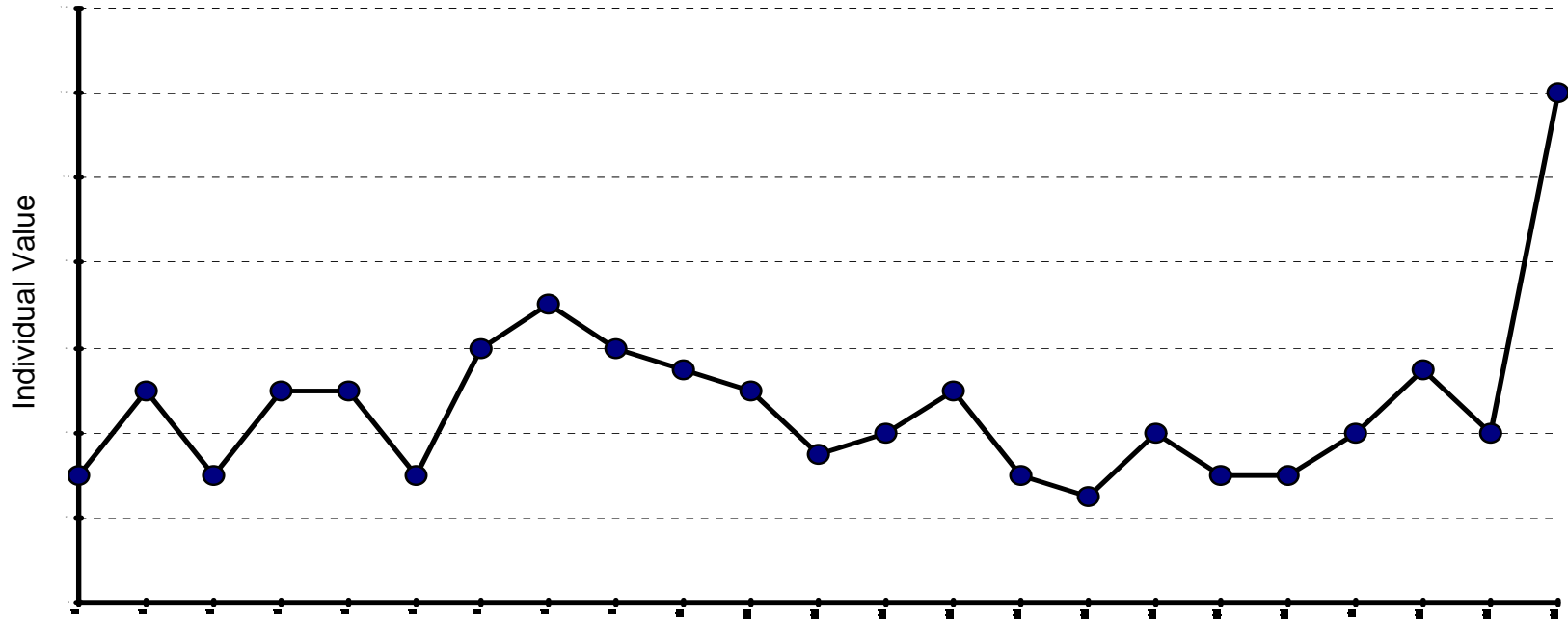


...one will be bigger!

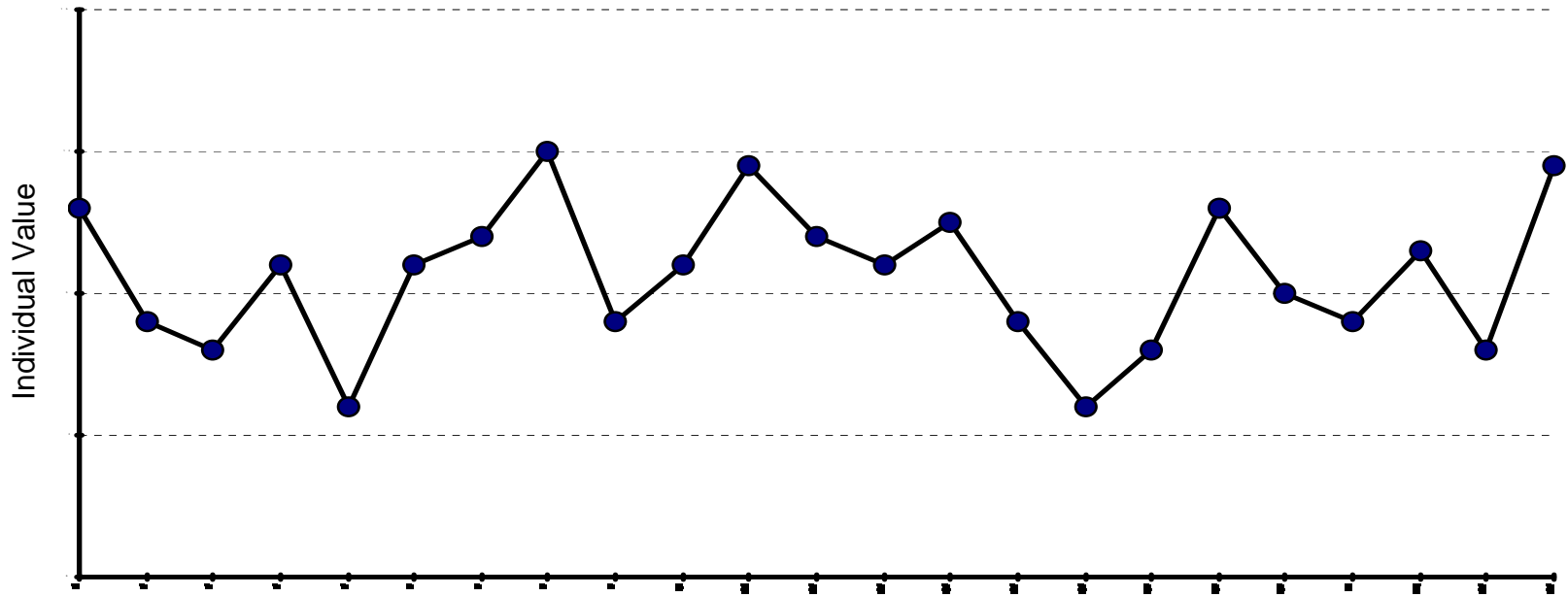
⇒ **Processes “speak” to us through data**

--Is the **process that produced the current number the same as the **process** that produced the previous number?**

Does it look like this...?

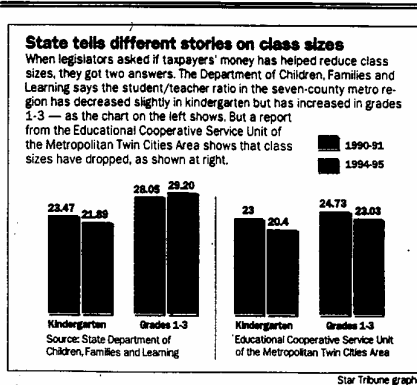


...or this?



State reports say class sizes are down – and up

Spins on stats befuddle legislators

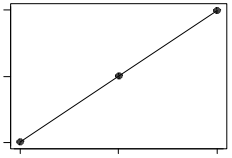


Weekend's 13 traffic deaths surpassed last year's total of 9

Officials seek reasons for rise in overall road deaths

(600 vs. 576)

More Bad Habits: The Myth of Trends

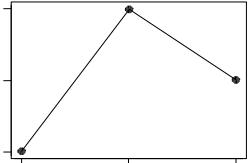


“Upward Trend” (?)

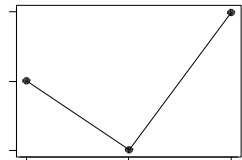
This month...

vs. last month...

vs. 12 months ago

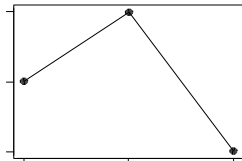


“Downturn” (?)



“Rebound” (?)

3 Months of Quarterly results...

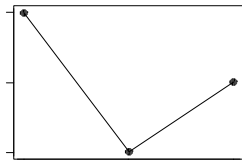


“Setback” (?)

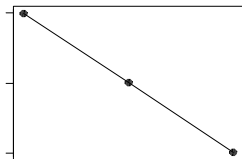
This quarter...

vs. last quarter...

vs. same quarter last year



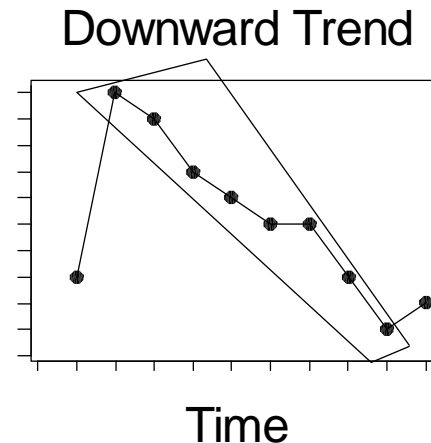
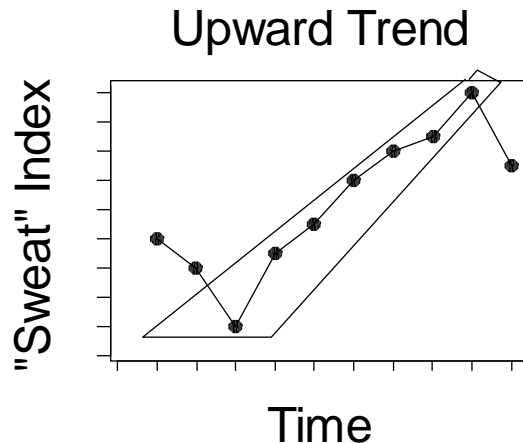
“Turnaround” (?)



“Downward Trend” (?)

***Whether or not you
understand statistics, you are
already using statistics!***

“Statistical” definition of “trend”



Special Cause – A sequence of SEVEN or more points continuously increasing or continuously decreasing.

Note: If the total number of observations is 20 or less, SIX continuously increasing or decreasing points can be used to declare a trend.

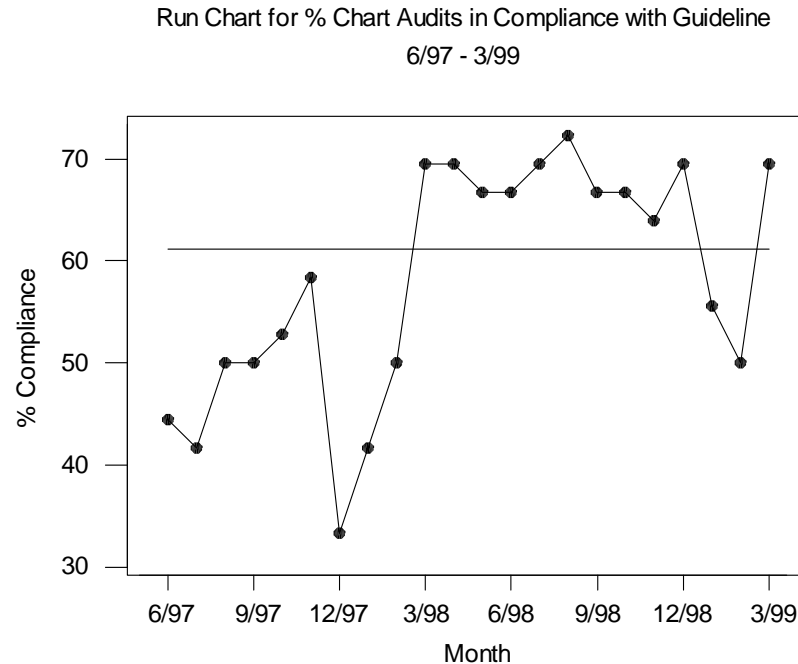
This rule is to be used only when people are making conclusions from a tabulated set of data *without any context of variation* for interpretation.

Statistics = Understanding Variation

- There are TWO kinds of variation
 - Special cause (Unique occurrence, “One off”)
 - Common cause (Inherent, “Systemic”)
- Treating one as the other **MAKES THINGS WORSE**
 - The human tendency is to treat ALL variation as “one off”
 - Even if things “shouldn’t” happen, you might be “perfectly designed” to have them happen
 - If something doesn’t “go right” or “isn’t supposed to happen,” it is a process breakdown

How are they doing with guideline implementation? GOAL: 75%

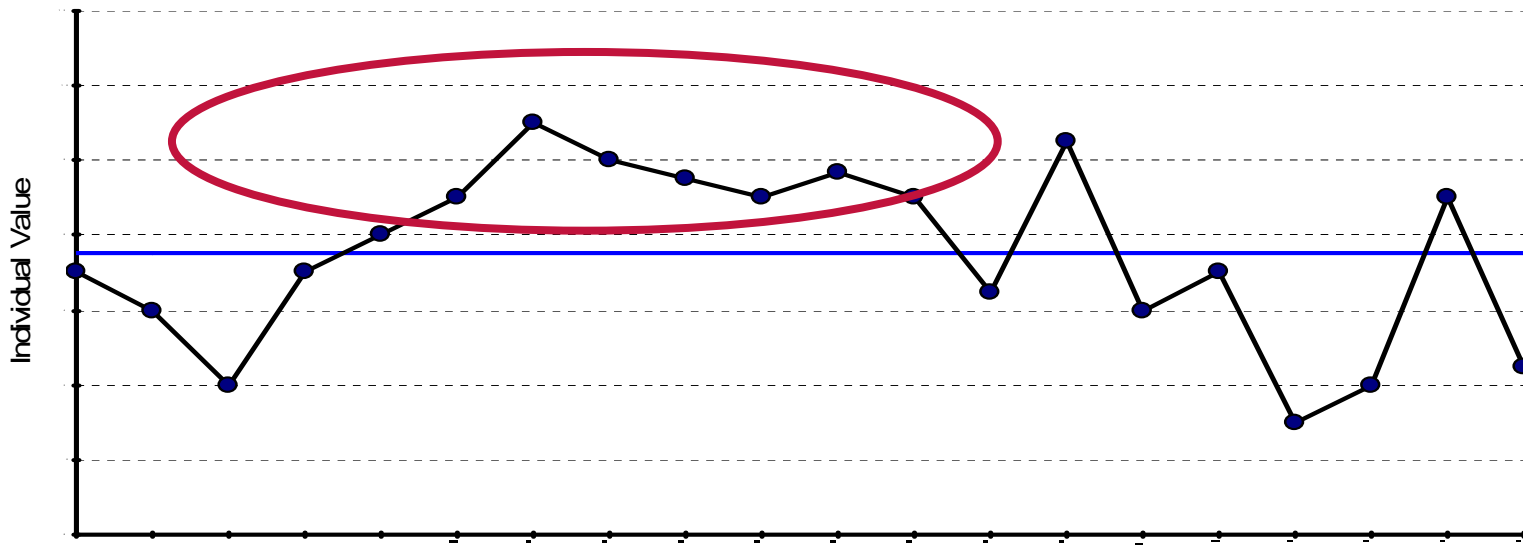
| <u>% Compliance</u> | | |
|---------------------|-------|---|
| 6/97 | 44.44 | % |
| | 41.67 | |
| | 50.00 | |
| 9/97 | 50.00 | |
| | 52.78 | |
| | 58.33 | |
| 12/97 | 33.33 | |
| | 41.67 | |
| | 50.00 | |
| 3/98 | 69.44 | |
| | 69.44 | |
| | 66.67 | |
| 6/98 | 66.67 | |
| | 69.44 | |
| | 72.22 | |
| 9/98 | 66.67 | |
| | 66.67 | |
| | 63.89 | |
| 12/98 | 69.44 | |
| | 55.56 | |
| | 50.00 | |
| 3/99 | 69.44 | |



No "trend"

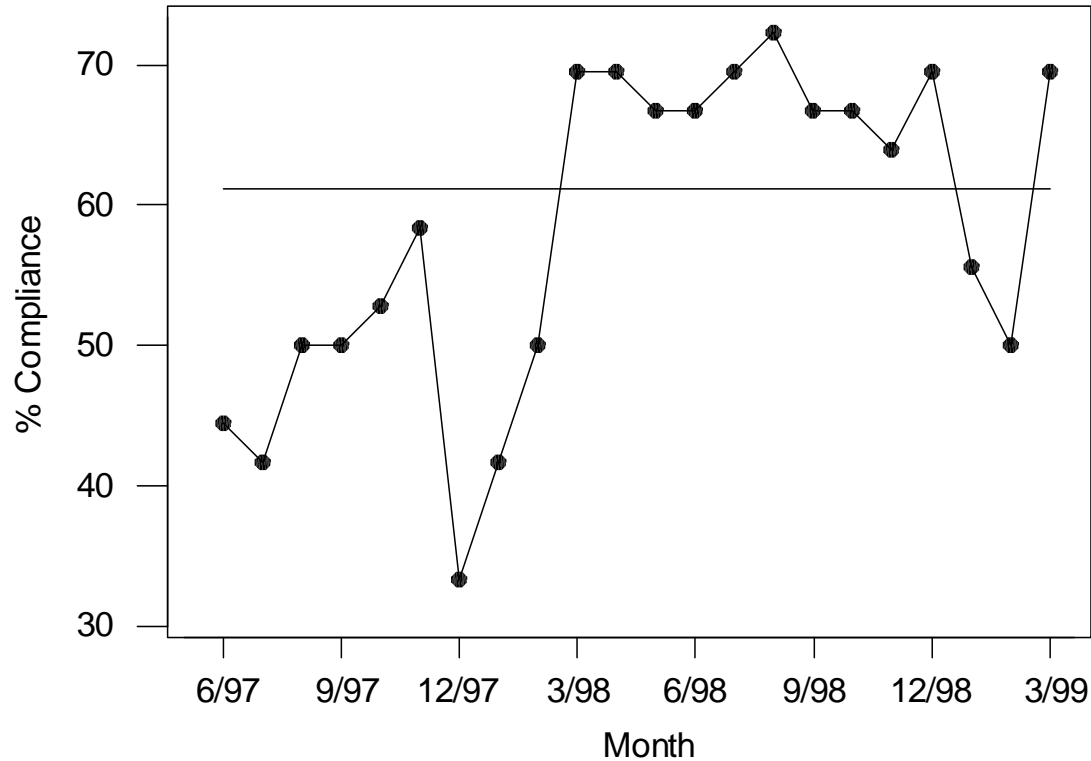
Special Cause: A consecutive sequence of 8 or more points on one side of the median

Data



Note: Omit entirely any data points literally on the median—They neither add to nor break the current run.

Run Chart for % Chart Audits in Compliance with Guideline
6/97 - 3/99



Process changed “too fast”

Note effect of feedback

Wisdom from Jim Clemmer

"Weighing myself ten times a day won't reduce my weight. No matter how sophisticated our measurements are, they're only indicators. What the indicators say are much less important than what's being done with the information.

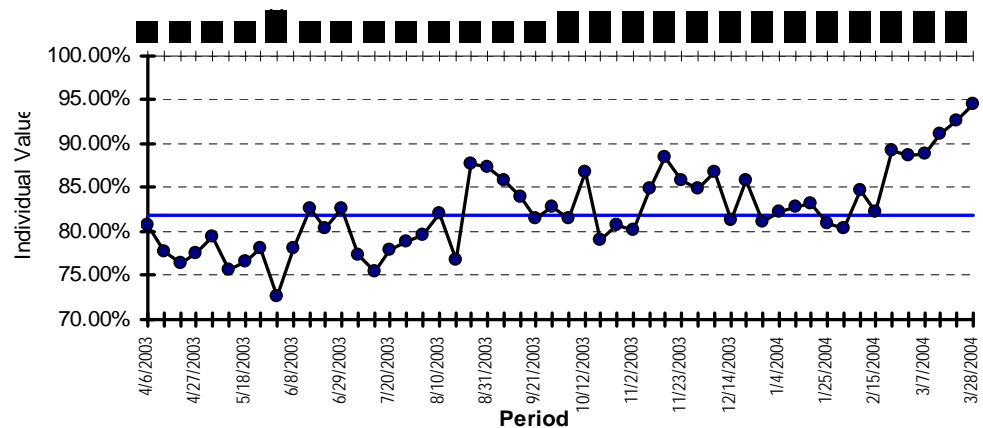
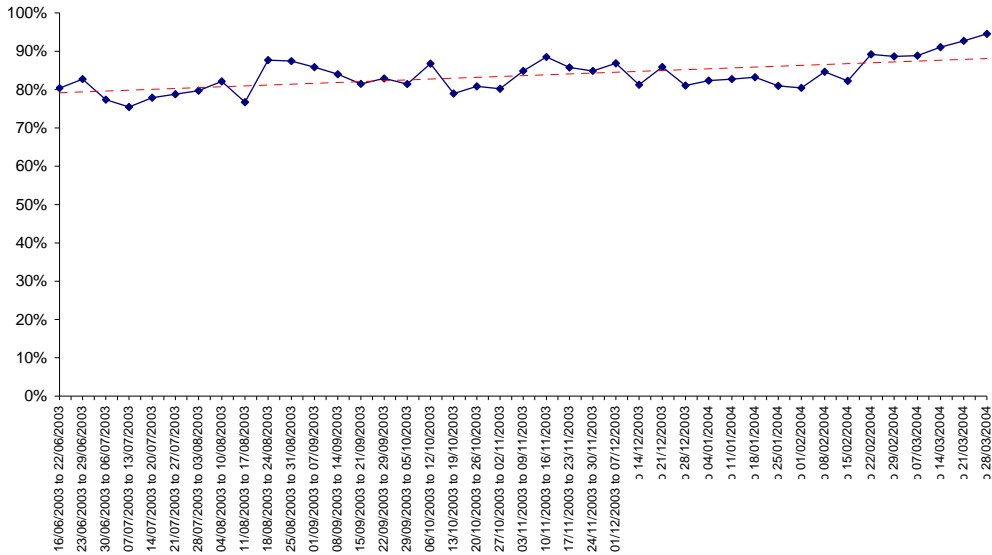
Measurements that don't lead to meaningful action aren't just useless; they are wasteful."

“Crude measures of the right things are better than precise measures of the wrong things.”

Improvement strategy: *More frequent samples* (over time) of “good enough” measures

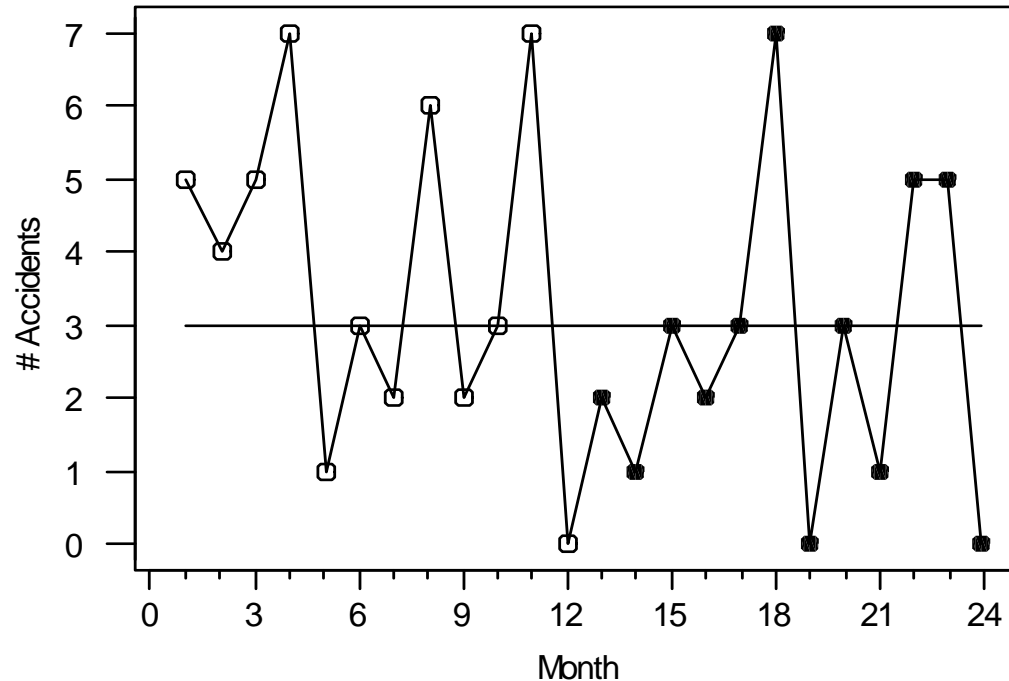
TREND?! I think NOT!!!

Percentage discharged, admitted or transferred within 4 hours - A&E Type 1+2



Safety Data Run Chart

Run Chart for Accident Data
1/89 - 12/90



(Median = 3)

- 1. Has it truly improved?**
- 2. What about the monthly meeting going over every incident?**

Need “common cause” strategy

- Statistics on the number of accidents does not improve the number of accidents
- You cannot treat data points individually
- You cannot “dissect” an accident individually
 - “Root cause” analysis
 - “Near miss” analysis
- You cannot compare two points
 - % change, “too big” a change...

“Common cause” strategy

- **So...how do we go about improving the Accident and guideline compliance “processes”?**
- ***We need a common cause strategy.***
- **There is a misconception that if something is common cause, you need to “accept” the current level of performance.**
- ***NOTHING COULD BE FURTHER FROM THE TRUTH!***

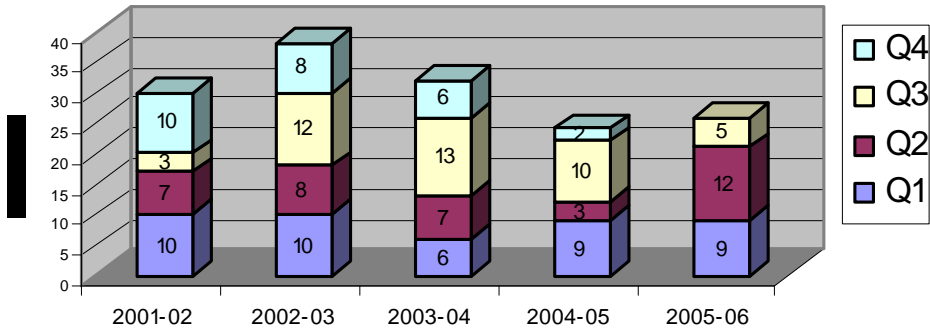
Myth of Common Cause Helplessness

Matrix of Adverse Events

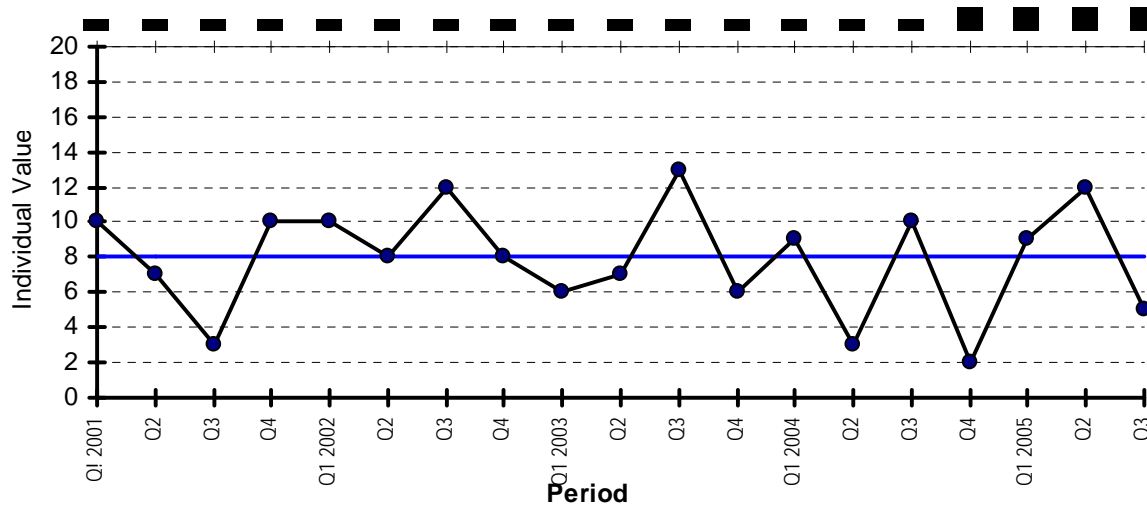
| Event Type | Unit | | | | | | Total |
|------------|------|-----------|---|---|--------------------|---|-----------|
| | A | B | C | D | E | F | |
| 1 | 0 | 0 | 1 | 0 | 2 | 1 | 4 |
| 2 | 1 | 0 | 0 | 0 | 1 | 0 | 2 |
| 3 | 0 | 16 | 1 | 0 | 2 | 0 | 19 |
| 4 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 5 | 2 | 1 | 3 | 1 | 4 | 2 | 13 |
| 6 | 0 | 0 | 0 | 0 | 3 | 0 | 3 |
| | | | | | | | |
| 27 | | | | | | | |
| 28 | | | | | (less than 6 each) | | |
| 29 | | | | | | | |
| Totals | 6 | 19 | 7 | 3 | 35 | 7 | 77 |

Remember this?

MRSA Bacteraemia 2001-02 to 2005-06



Quarterly MRSA Bacteraemias



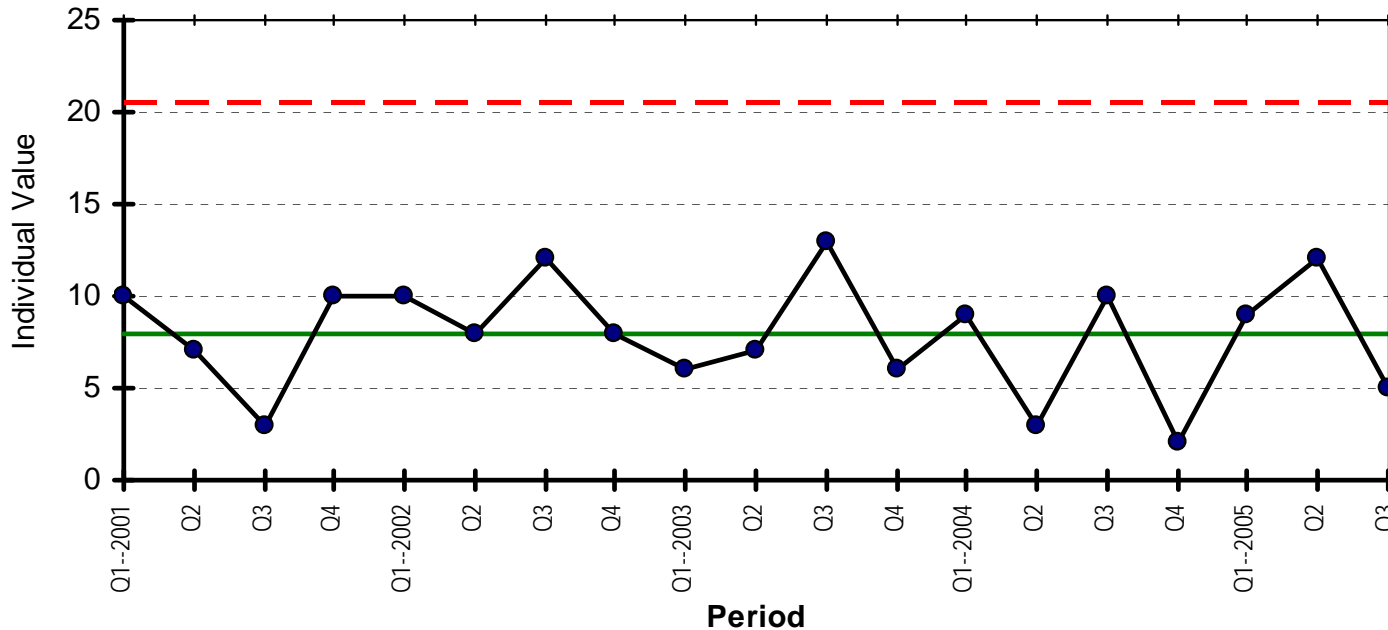
| Period | # Bacterae mias | Moving Range | Sorted Moving Ranges |
|----------|-----------------|--------------|----------------------|
| Q1--2001 | 10 | * | 0 |
| Q2 | 7 | 3 | 1 |
| Q3 | 3 | 4 | 2 |
| Q4 | 10 | 7 | 2 |
| Q1--2002 | 10 | 0 | 3 |
| Q2 | 8 | 2 | 3 |
| Q3 | 12 | 4 | 3 |
| Q4 | 8 | 4 | 4 |
| Q1--2003 | 6 | 2 | 4 |
| Q2 | 7 | 1 | 4 |
| Q3 | 13 | 6 | 6 |
| Q4 | 6 | 7 | 6 |
| Q1--2004 | 9 | 3 | 7 |
| Q2 | 3 | 6 | 7 |
| Q3 | 10 | 7 | 7 |
| Q4 | 2 | 8 | 7 |
| Q1--2005 | 9 | 7 | 7 |
| Q2 | 12 | 3 | 8 |
| Q3 | 5 | 7 | |

Median moving range = 4: KEY number

FYI: (And the math is so simple, it would astound you)

Bacteraemias

Special Cause Flag



Quarter-to-quarter

difference: ≤ 15

What's changed in 5 years?

How about a "matrix analysis" of the 150 bacteraemias?

Medication Error Meeting—Constructed from 24 reports of “This month...last month...12 months ago...”

2000

2001

2002

| | <u>Errors</u> |
|--------|---------------|
| Jan 00 | 74 |
| | 70 |
| | 67 |
| | 65 |
| | 63 |
| | <u>82</u> |
| Jul 00 | 110 |
| | 61 |
| | 75 |
| | 78 |
| | 76 |
| | 78 |

| | <u>Errors</u> |
|--------|---------------|
| Jan 01 | 75 |
| | 63 |
| | 71 |
| | 59 |
| | 70 |
| | <u>66</u> |
| Jul 01 | 97 |
| | 71 |
| | 84 |
| | 85 |
| | 57 |
| | 60 |

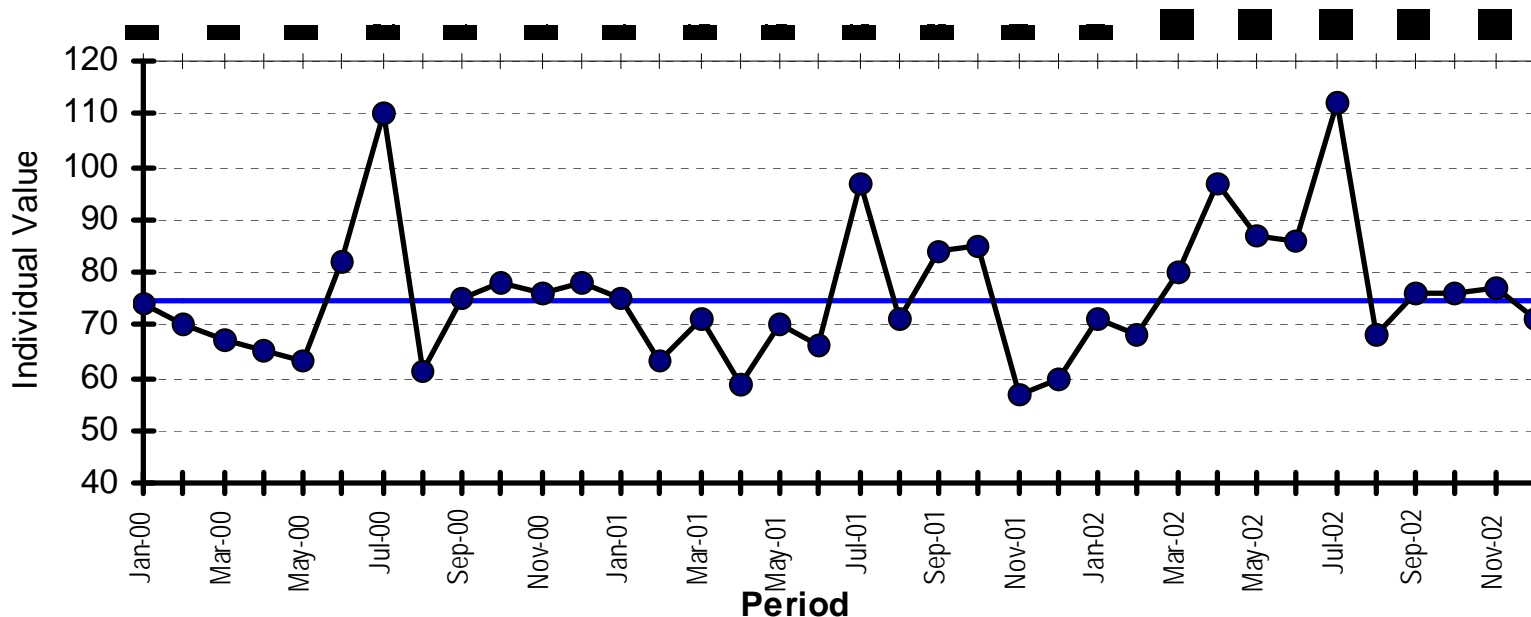
| | <u>Errors</u> |
|--------|---------------|
| Jan 02 | 71 |
| | 68 |
| | 80 |
| | 97 |
| | 87 |
| | <u>86</u> |
| Jul 02 | 112 |
| | 68 |
| | 76 |
| | 76 |
| | 77 |
| | 71 |

Descriptive Statistics

| N | Mean | Median | TrMean | StDev | SE Mean | Minimum | Maximum | Q1 | Q3 |
|----|-------|--------|--------|-------|---------|---------|---------|-------|-------|
| 36 | 75.72 | 74.50 | 74.63 | 12.91 | 2.15 | 57.00 | 112.00 | 67.25 | 81.50 |

VERY common misconception

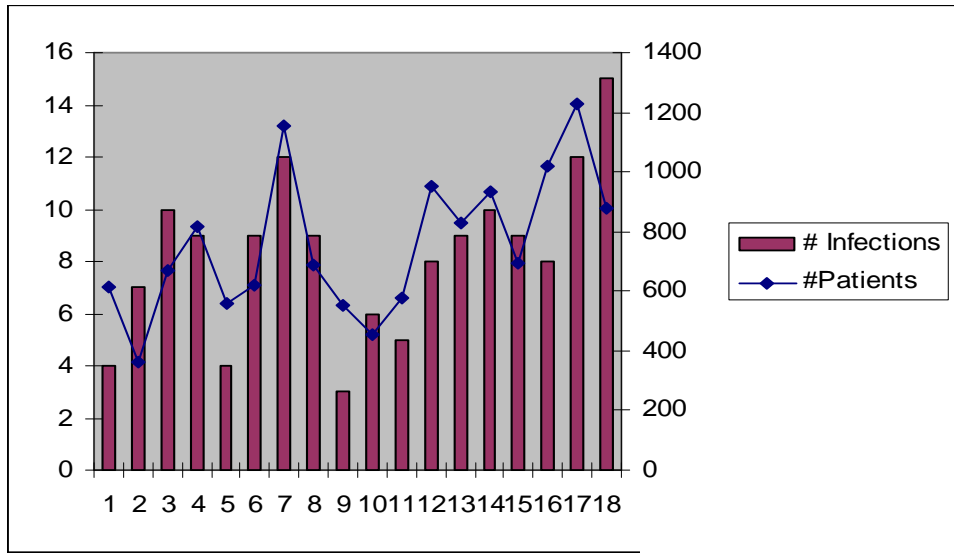
Medication Errors



“Matrix” analysis of *July* errors vs.

“Matrix” analysis of *other 11 months*

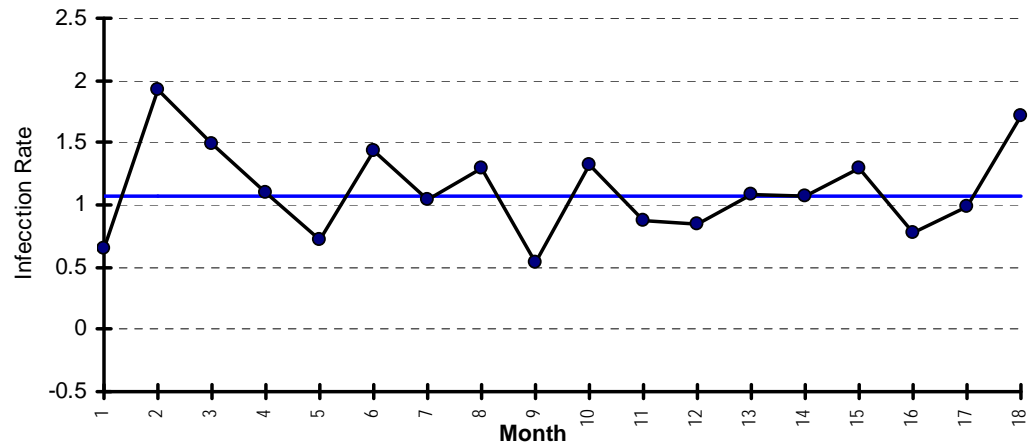
“We made a difference!”—Reduced NICU Infections



Infection Rate

Really?

Matrix the sum of the numerators



Exhaust in-house data

- Get a **BASELINE** of the extent of the problem
- Does everyone agree on definitions of key terms and how to assess a situation?
 - Get a “number”
 - Decide that something “did” or “did not” occur
- **MAYBE** do some high level stratification
 - Try to **LOCALIZE** the “20%” of the process causing “80%” of the problem
 - Proceed to “Study Current Process”
- Stop collecting useless data

Operational Definition a la Dilbert

- Dilbert (to date): I'm so lucky to be dating you, Liz. You're at least an "8."
- Liz: You're a "10."
- Dilbert: (Pause)...Are we using the same scale?
- Liz: Ten is the number of seconds it would take to replace you.

“Confucian” Operational Definition

- “Person with one clock knows what time it is...”
- “...person with two clocks not so sure!”

Study Current Process

- **Better traceability to process inputs with current data collection methods**
 - Sometimes called “Stratification”
- **Capture and record potentially available data that is virtually there for the taking**
- **Data definitions that are agreed-upon and better-suited to objectives**

****Reduce data contamination due to “human” variation**

****Establish extent of problem(s)**

****Pareto analysis to localize**

****Establish baseline for measuring improvement efforts**

- **(Tolerable “jerkaround”)**

“Cut New Windows”—Process Dissection (Also called “Disaggregation”)

- Collecting data not needed for routine process operation**
- Process is split into sub-processes, which are individually studied**
- Data collection process may be awkward and disruptive to routine operation**
- **Intense focus on a major isolated source of localized variation (Isolated “20%”)**
- (Uncomfortable “jerkaround”)**

Designed Experimentation

- **Test of a process redesign suggested by first three levels of data collection**
- **Use of run / control chart to assess success**
- (MAJOR “jerkaround”...and vulnerable to HUMAN variation!)

Rare events

Another data set

| Date of death | Day of year | Days Between Deaths for Large Babies (over 1500g) | Deaths per day | Mortality Rate for Large Babies (over 1501g) |
|---------------|-------------|---|----------------|--|
| 2/25/1998 | 56 | | | |
| 2/28/1998 | 59 | 3 | 0.3333 | 121.67 |
| 7/21/1998 | 202 | 143 | 0.0070 | 2.55 |
| 8/5/1998 | 217 | 15 | 0.0667 | 24.33 |
| 9/22/1998 | 265 | 48 | 0.0208 | 7.60 |
| 11/12/1998 | 316 | 51 | 0.0196 | 7.16 |
| 1/1/1999 | 1 | 50 | 0.0200 | 7.30 |
| 1/17/1999 | 17 | 16 | 0.0625 | 22.81 |
| 8/4/1999 | 216 | 199 | 0.0050 | 1.83 |
| 9/10/1999 | 253 | 37 | 0.0270 | 9.86 |
| 11/3/1999 | 317 | 64 | 0.0156 | 5.70 |
| 5/21/2000 | 142 | 200 | 0.0050 | 1.83 |
| 6/1/2000 | 153 | 11 | 0.0909 | 33.18 |
| 6/16/2000 | 168 | 15 | 0.0667 | 24.33 |
| 10/9/2000 | 283 | 115 | 0.0087 | 3.17 |
| 1/4/2001 | 4 | 87 | 0.0115 | 4.20 |
| 3/8/2001 | 67 | 63 | 0.0159 | 5.79 |
| 5/10/2001 | 130 | 44 | 0.0227 | 8.30 |
| 10/29/2001 | 302 | 172 | 0.0058 | 2.12 |
| 3/18/2002 | 77 | 140 | 0.0071 | 2.61 |
| 6/3/2002 | 154 | 77 | 0.0130 | 4.74 |

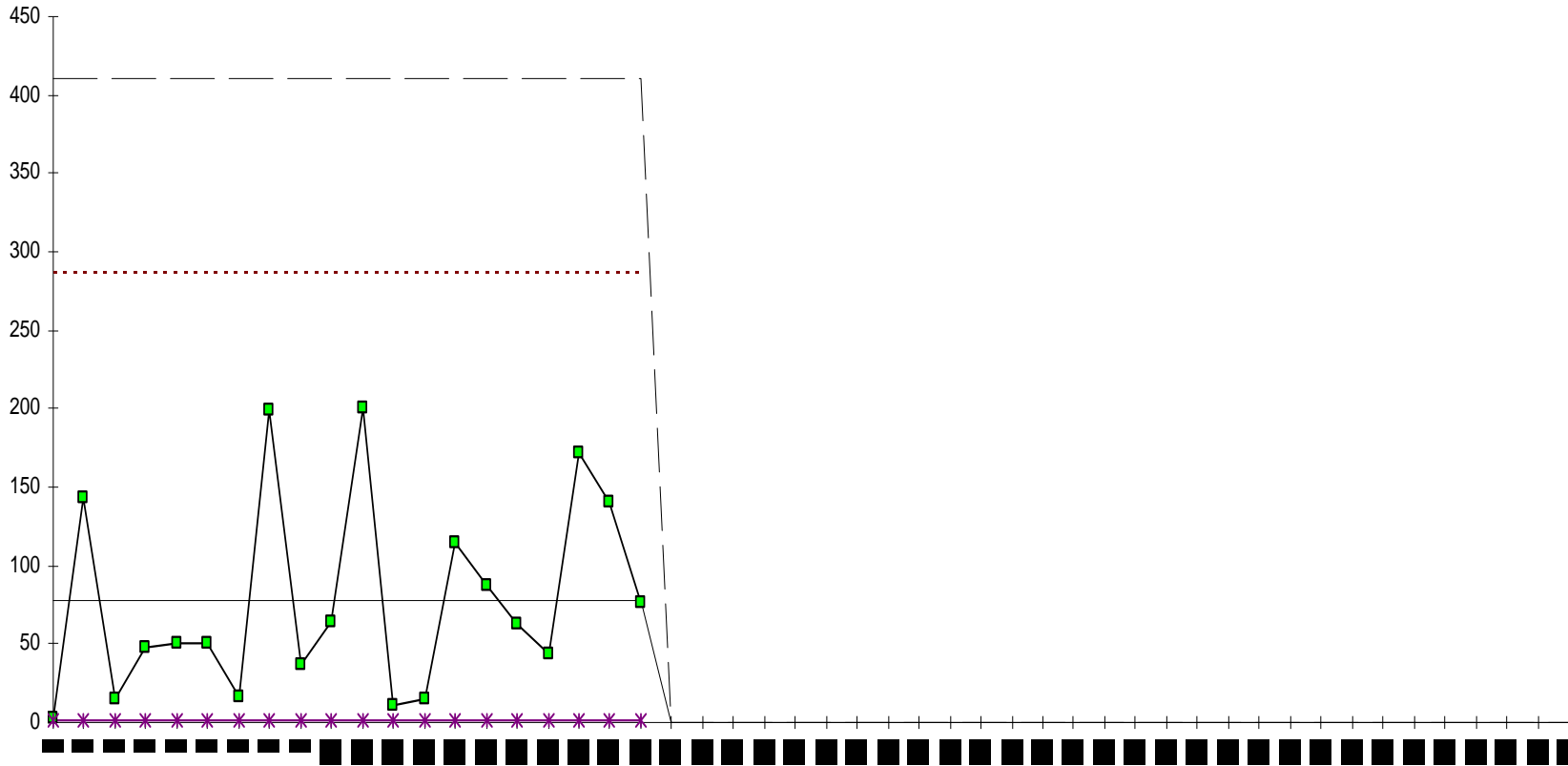
Average time between deaths: 77.5 days

“Time between events” theory

- Exponential distribution
- Data in table above: Average = 77.5
- 99% limits
 - Lower limit: $0.005 \times \text{Average}$ (0.4)
 - Upper limit: $5.30 \times \text{Average}$ (411)
- Special cause signals ($p < 0.01$):
 - 5-in-a-row above the average (Improvement)
 - 10-in-a-row below the average (Worsening)
 - 2-out-of-3 consecutive events between 95% and 99% limits (Improvement)
 - 95% point = $3.69 \times \text{Average}$ (286)

First data point of "3" has a p = 0.04

TBE Chart of Data Set 2



An alternate, simpler method?

Find a period where the average occurrence is “1”

Special cause: 7 zeroes in-a-row

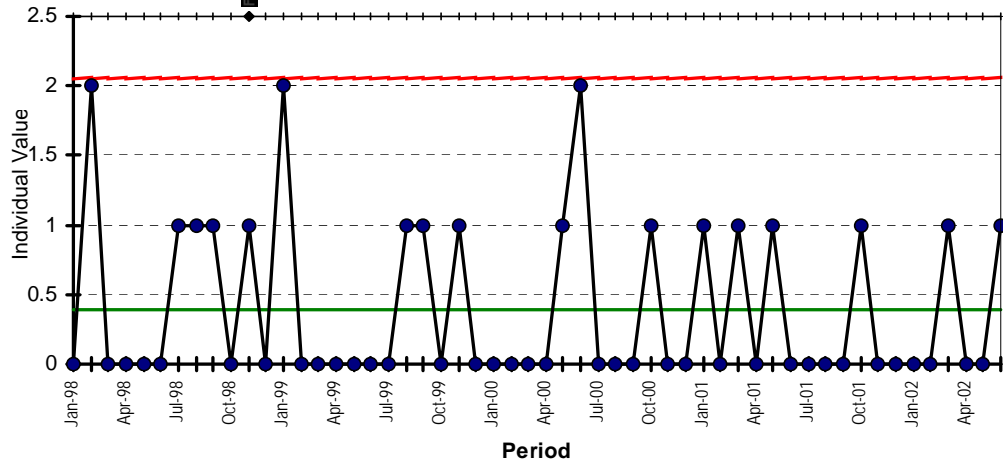
Poisson counts: Average count = 1,

7 “zeroes” in-a-row: $p = (0.368)^7 = 0.0009$

$[(0.368)^6 = 0.0025]$.

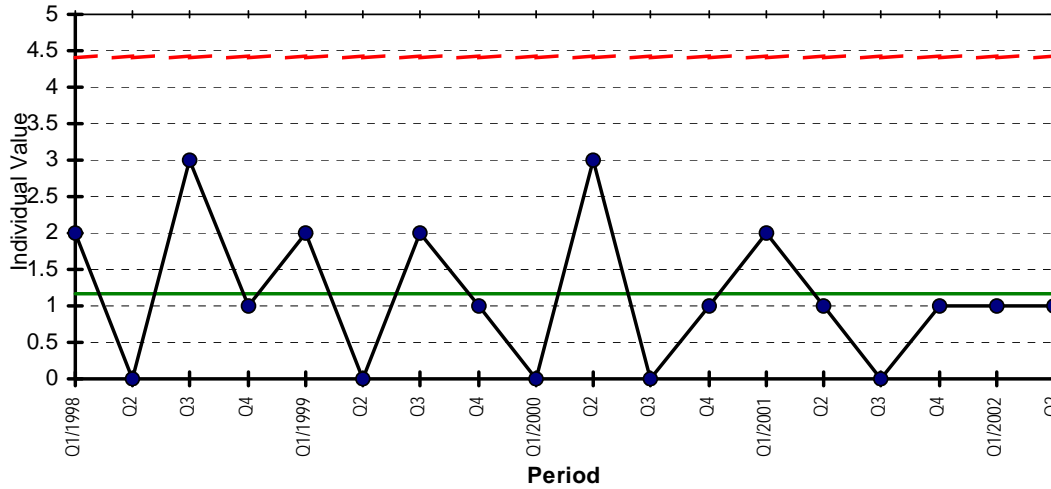
Large Baby Monthly Deaths

Special Cause Flag



Large Baby Deaths -- Quarterly

Special Cause Flag



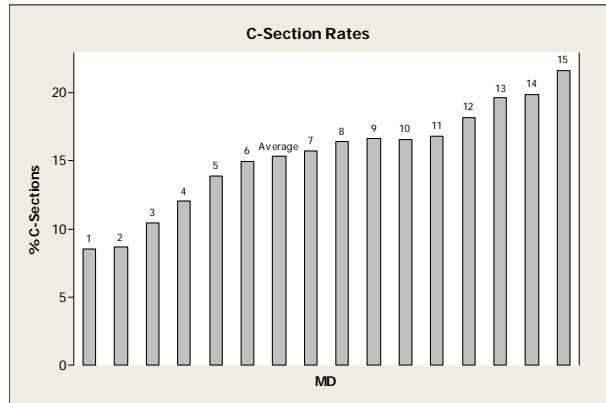
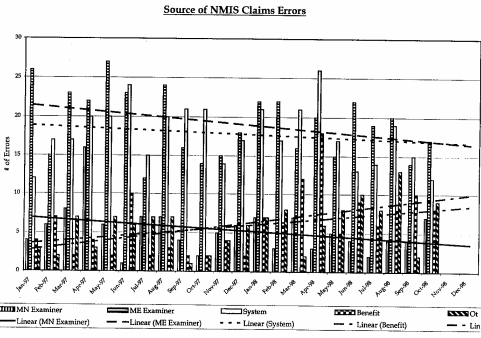
Transition to More “Advanced” Skills

- From:
 - Colors & Faces & Drawing circles
- To:
 - Counting up to “8”
 - Subtracting two numbers
 - Sorting a list of numbers
 - *Asking better questions!*
 - *Reacting appropriately to variation*
 - Common cause vs. special cause strategy
 - Reducing inappropriate & unintended variation
 - Better prediction

This...?

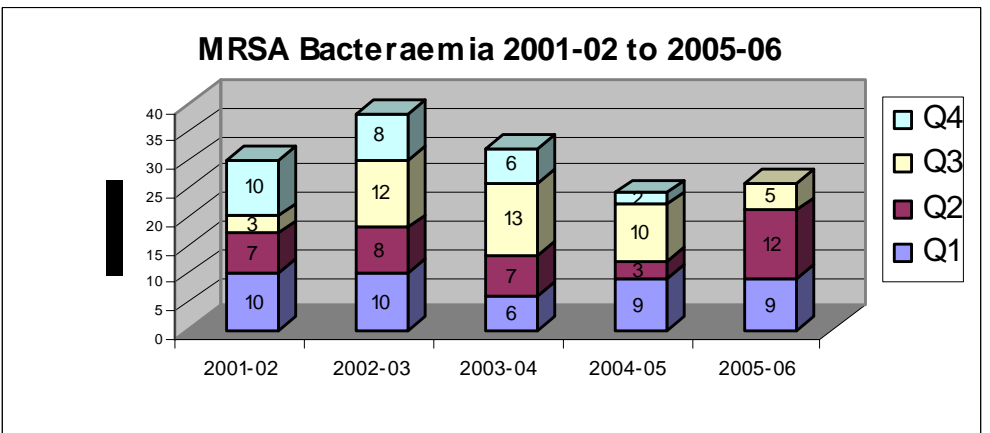
Health System Memorandum
 a subsidiary of Health Services Corporation
 Comparison of MDC Activities 1964 and 1997

| Category | 1964 | 1997 | Change |
|---------------------|-----------|-----------|--------|
| 1. Health Services | 1,000,000 | 1,000,000 | 0 |
| 2. Health Services | 1,000,000 | 1,000,000 | 0 |
| 3. Health Services | 1,000,000 | 1,000,000 | 0 |
| 4. Health Services | 1,000,000 | 1,000,000 | 0 |
| 5. Health Services | 1,000,000 | 1,000,000 | 0 |
| 6. Health Services | 1,000,000 | 1,000,000 | 0 |
| 7. Health Services | 1,000,000 | 1,000,000 | 0 |
| 8. Health Services | 1,000,000 | 1,000,000 | 0 |
| 9. Health Services | 1,000,000 | 1,000,000 | 0 |
| 10. Health Services | 1,000,000 | 1,000,000 | 0 |
| 11. Health Services | 1,000,000 | 1,000,000 | 0 |
| 12. Health Services | 1,000,000 | 1,000,000 | 0 |
| 13. Health Services | 1,000,000 | 1,000,000 | 0 |
| 14. Health Services | 1,000,000 | 1,000,000 | 0 |
| 15. Health Services | 1,000,000 | 1,000,000 | 0 |
| 16. Health Services | 1,000,000 | 1,000,000 | 0 |
| 17. Health Services | 1,000,000 | 1,000,000 | 0 |
| 18. Health Services | 1,000,000 | 1,000,000 | 0 |
| 19. Health Services | 1,000,000 | 1,000,000 | 0 |
| 20. Health Services | 1,000,000 | 1,000,000 | 0 |
| 21. Health Services | 1,000,000 | 1,000,000 | 0 |
| 22. Health Services | 1,000,000 | 1,000,000 | 0 |
| 23. Health Services | 1,000,000 | 1,000,000 | 0 |
| 24. Health Services | 1,000,000 | 1,000,000 | 0 |
| 25. Health Services | 1,000,000 | 1,000,000 | 0 |
| 26. Health Services | 1,000,000 | 1,000,000 | 0 |
| 27. Health Services | 1,000,000 | 1,000,000 | 0 |
| 28. Health Services | 1,000,000 | 1,000,000 | 0 |
| 29. Health Services | 1,000,000 | 1,000,000 | 0 |
| 30. Health Services | 1,000,000 | 1,000,000 | 0 |
| 31. Health Services | 1,000,000 | 1,000,000 | 0 |
| 32. Health Services | 1,000,000 | 1,000,000 | 0 |
| 33. Health Services | 1,000,000 | 1,000,000 | 0 |
| 34. Health Services | 1,000,000 | 1,000,000 | 0 |
| 35. Health Services | 1,000,000 | 1,000,000 | 0 |
| 36. Health Services | 1,000,000 | 1,000,000 | 0 |
| 37. Health Services | 1,000,000 | 1,000,000 | 0 |
| 38. Health Services | 1,000,000 | 1,000,000 | 0 |
| 39. Health Services | 1,000,000 | 1,000,000 | 0 |
| 40. Health Services | 1,000,000 | 1,000,000 | 0 |
| 41. Health Services | 1,000,000 | 1,000,000 | 0 |
| 42. Health Services | 1,000,000 | 1,000,000 | 0 |
| 43. Health Services | 1,000,000 | 1,000,000 | 0 |
| 44. Health Services | 1,000,000 | 1,000,000 | 0 |
| 45. Health Services | 1,000,000 | 1,000,000 | 0 |
| 46. Health Services | 1,000,000 | 1,000,000 | 0 |
| 47. Health Services | 1,000,000 | 1,000,000 | 0 |
| 48. Health Services | 1,000,000 | 1,000,000 | 0 |
| 49. Health Services | 1,000,000 | 1,000,000 | 0 |
| 50. Health Services | 1,000,000 | 1,000,000 | 0 |



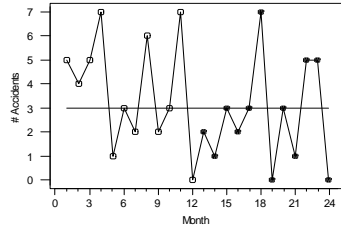
| Indicator | Trust Status | A&E | Cancer | Crit Care | Medicine | O&G | Paeds | SR&T | Surgery | T&O |
|---------------|--------------|-----|--------|-----------|----------|-----|-------|------|---------|-----|
| IP Activity | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 |
| OP Activity | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 |
| A&E 4 hr Wait | 😞 | 😞 | 😞 | 😞 | 😞 | 😞 | 😞 | 😞 | 😞 | 😞 |
| IP >6 months | 😞 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😞 |
| Op > 13 weeks | 😞 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😊 | 😞 |

| Region | Indicator | Value 1 | Value 2 | Value 3 | Value 4 | Value 5 | Value 6 |
|-----------------------------------|---|---------|---------|---------|---------|---------|---------|
| Kent and Medway | K&M | 98.4% | 96.7% | 96.4% | 97.3% | 96.9% | 96.4% |
| | CDTV | 96.7% | 95.6% | 96.5% | 96.3% | 94.7% | 96.4% |
| County Durham & Tees Valley | Trent | 96.7% | 95.3% | 96.7% | 95.5% | 94.0% | 96.6% |
| | SASHA | 97.9% | 97.1% | 98.1% | 97.3% | 97.5% | 96.6% |
| Trent | H&IOW | 96.6% | 95.9% | 96.0% | 96.7% | 95.1% | 96.7% |
| | WMS | 97.0% | 96.4% | 97.6% | 97.4% | 96.1% | 96.7% |
| Shropshire & Staffordshire | Bedfs&Herts | 96.6% | 96.0% | 96.7% | 96.4% | 95.2% | 96.8% |
| | Leicestershire, Northamptonshire & Rutlan | 96.3% | 96.2% | 97.3% | 96.9% | 95.6% | 96.8% |
| Hampshire & the Isle of Wight | BBC | 96.8% | 95.8% | 96.4% | 96.6% | 94.7% | 96.9% |
| | Surrey & Sussex | 96.7% | 96.7% | 96.7% | 97.0% | 95.9% | 97.5% |
| West Midlands South | GM | 96.7% | 96.7% | 96.5% | 96.6% | 95.9% | 97.6% |
| | C&L | 98.0% | 97.7% | 98.1% | 97.9% | 97.7% | 97.8% |
| Bedfordshire & Hertfordshire | SWP | 97.2% | 97.8% | 97.2% | 98.0% | 97.8% | 97.8% |
| | Avon, Gloucestershire & Wiltshire | 96.8% | 97.0% | 96.4% | 97.2% | 96.2% | 97.8% |
| Greater Manchester | NSC | 97.2% | 97.7% | 97.6% | 98.0% | 97.4% | 98.1% |
| | Cumbria & Lancashire | | | | | | |
| South West Peninsula | | | | | | | |
| | | | | | | | |
| Norfolk, Suffolk & Cambridgeshire | | | | | | | |
| | | | | | | | |



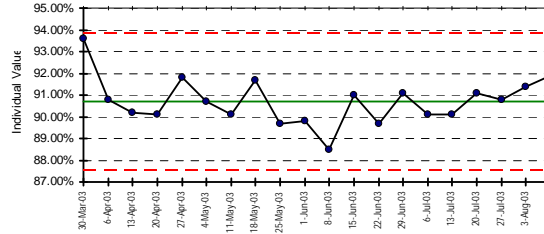
...or this?

Run Chart for Accident Data
1/89 - 12/90

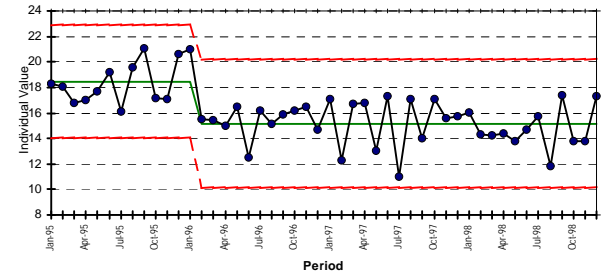


(Median = 3)

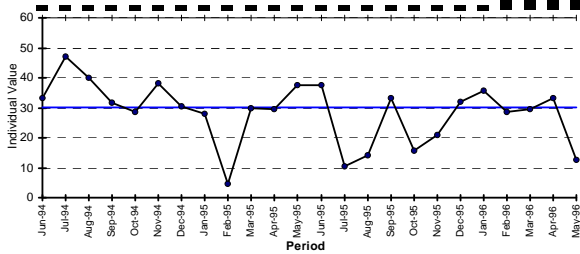
Region 28
Special Cause Flag



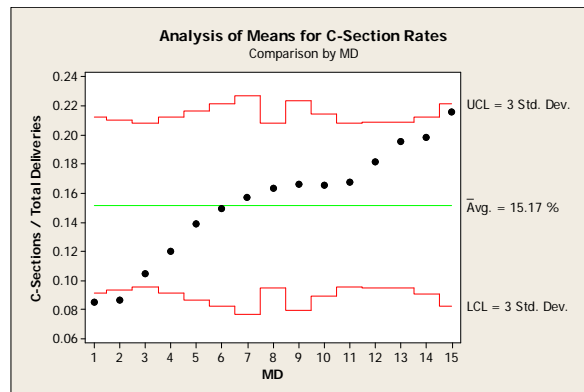
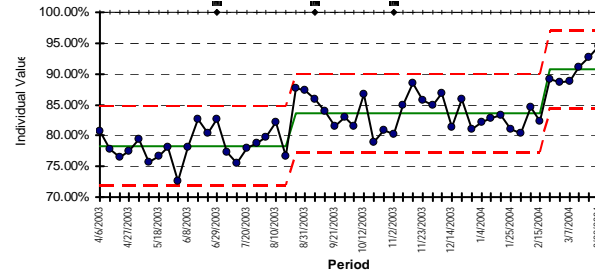
% C-Sections -- Adjusted for "Shift"
Special Cause Flag



%Vfib

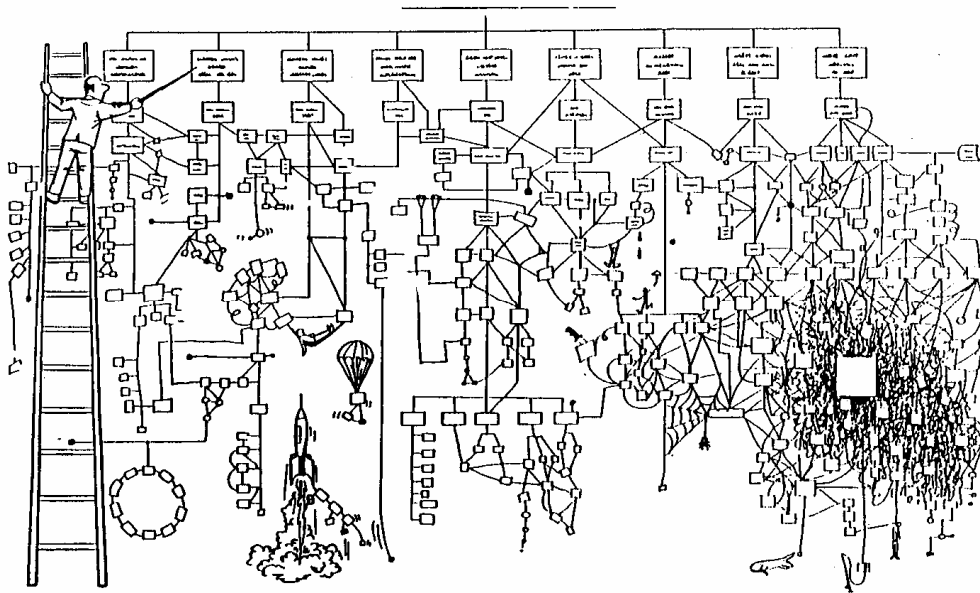


% Total seen < 4 Hours
Special Cause Flag



It's not the problems that march into your office...

- ...It's the problems no one is aware of that you are **perfectly designed** to get
- Reducing *inappropriate & unintended* variation for purposes of **better prediction**



Six Statistical Traps

1. Treating **all** observed variation in a time series data sequence as special cause.
2. Fitting inappropriate “trend” lines to a time series data sequence.
3. Unnecessary obsession with and incorrect application of the Normal distribution.
4. Incorrect calculation of standard deviation and “sigma” limits. [Note: NO “spreadsheet calculations of Std. Dev.]
5. Choosing **arbitrary** cutoffs for “above” average and “below” average.
6. Improving processes through the use of arbitrary numerical goals and standards.

**“For every problem, there is a solution:
simple...obvious...and wrong!”**

--W. Edwards Deming

**“If we’re actually trying to do
the wrong thing, the only
reason we may be saved from
disaster is because we are
doing it badly.”**

--David Kerridge