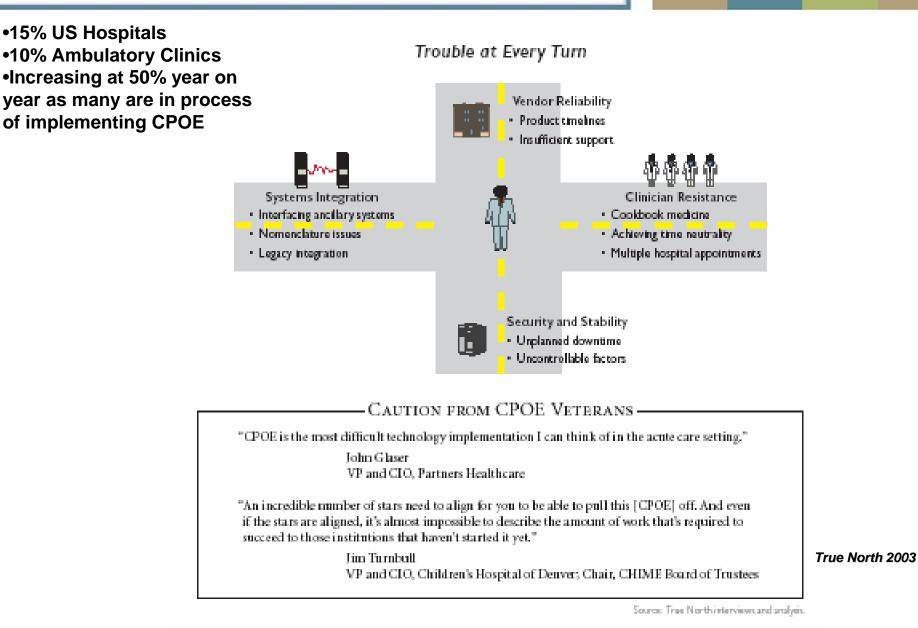


Assessing Medical Technology-Are We Being Told the Truth. The Case of CPOE



August 21, 2007

CPOE Adoption Growing Despite Barriers



Can CPOE Cause Errors?

JOBNAMH: JAMA XML PAGE: 1 SESS 22 OUTPUT: Mon Feb 21 08:12:20 2005 /jama/05jobs/weekly/09mar05/joc42133 DATE: 024806 TME: 16:20 USER: miken

ORIGINAL CONTRIBUTION

Role of Computerized Physician Order Entry Systems in Facilitating Medication Errors

Rose Koppel, PhD Joshus P. Metlay, MD, PhD Abigail Cohan, PhD Brian Abaluck, BS A. Roseell Localio, JD, MPH, MS	Conteast Hospital computerized physician order entry (CPOE) systems are widely re- garded as the technical solution to medicalion ordering errors, the largest identified source of preventable hospital medical error. Published studies report that CPOE re- cluces medication errors up to 81%. New researchers, however, have focused on the existence or types of medication errors taditated by CPOE. Objective: To identify and quantify the role of CPOE in taditating prescription error risks
Stephen E. Kimmel, MD, MSCE	Design, Setting, and Participants. We performed a qualitative and qualitative
Brian L. Strom, MD, MPH	study of house shaft interaction with a CPOE system at a bart any-cure teaching hos-
A DVDESI DECC DVDATS (ADES)	pital (2002-2004). We surveyed house staff (N=261; 88% of CPOE users); con-
are estimated to injure or kill	clucted 5 focus groups and 32 intensive one-on-one interviews with house staff, in-
more than 770000 people in	formation technology leaders, pharmacy leaders, attending physicians, and nurses;
horpital annually. ² Preseris-	shadowed house shaff and nurses; and observed them using CPOE. Participants in-
ing errors are the most frequent	cluded house staff, nurses, and hospital leaders.
source. ²⁴ Computerized physician or-	Main Outcome Measure. Examples of medication errors caused or exacerbated
der entry (CPOE) asystems are widely	by the CPOE system.

PEDIATRICS®

Unexpected Increased Mortality After Implementation of a Commercially Sold Computerized Physician Order Entry System

Scott Watson, Trung C. Nguyen, Hülya Bayir and Richard A. Orr

Yong Y. Han, Joseph A. Carcillo, Shekhar T. Venkataraman, Robert S.B. Clark, Richard A Orr.

Pediatrics 2005;116;1506-1512

IOM Medication Safety Report 2006

- Industry and government should collaborate to establish standards, affecting drug-related health information technologies, specifically:
- AHRQ should take the lead in organizing safety alert mechanics by severity, frequency, and clinical importance to improve clinical value and acceptance.
- AHRQ should take the lead in developing intelligent prompting mechanisms specific to a patient's unique characteristics and needs; provider prescribing ordering, and error patterns; and evidencebased best practice guidelines.
 - AHRQ should support additional research to determine specifications for alert mechanisms and intelligent prompting, and optimum designs for user interfaces

CCHIT ("on the shelf")

- Certification of vendor EHR products
 - Ambulatory, Inpatient, Network

Pay-for-Performance Initiatives ("outcomes of IT and QI")

- ✓ IHA, BTE, Others
- ✓ Ambulatory clinic site-specific reporting of select EHR functionality

National Quality Forum ("after implementation")

- Hospital safe practices survey
 - ✓ Voluntary hospital site-specific certification
 - ✓ Includes several aspects of EHR including CPOE
 - Now directly linked to Leapfrog CPOE Standard

Leapfrog Group ("how *implemented* software is contributing")

- Voluntary reporting with site-specific scoring
 - Hospital evaluation
 - Physician practice evaluation

The Leapfrog Group: Background

- IOM I: To Err is Human recommended that purchasers provide market incentives for improved patient safety
- The Leapfrog Group: Launched in November, 2000 by the Business Roundtable
- Over 100 of the largest public and private corporations in America
- Purchase benefits for 31 million Americans (1 in 9!)
- Goal: safer care for employees through "Giant Leaps" in patient safety
- Approaches:
 - Reward hospitals for improving patient safety
 - Educate employees, retirees, families about hospital efforts



The Leapfrog Group

Leapfrog is an initiative driven by organizations that purchase healthcare to improve safety, quality, and affordability.

Its initiatives have been influencing the entire healthcare market

Focus has been on hospital-based care to date

- Intensivist coverage in ICUs
- Computerized physician order entry (CPOE) to reduce serious medication ordering errors
- Evidence-based hospital referrals
- NQF Safe Practices

Next focus area is Ambulatory IT standards:

- Call for
 - An electronic health record (EHR)
 - Prescription checking to avoid preventable medicationrelated adverse events
 - Basic disease and wellness management prompting

- Are being coordinated with
 - Commission for Certification of Healthcare Information Technology
 - Measures for large-scale P4P initiatives
 - NCQA Physician Practice Connection v.2





Clinical decision support testing for physician medication ordering and e-prescribing in *implemented* systems

Hospitals that fulfill this standard will:

- Require physicians of patients in hospitals to enter medication orders via a computer system that is linked to prescribing error prevention software
- Demonstrate that their CPOE system can intercept at least 50% of common serious prescribing errors, utilizing test cases and a testing protocol specified by The Leapfrog Group
- Require documented acknowledgment by the prescribing physician of the interception prior to any override post the test case interception rate on a Leapfrog-designated web site



Physician practices that fulfill this standard will use an EHR with:

- Information on age/gender diagnoses, medications, allergies, weight, and laboratory test results
- Clinical decision support based on drug reference information that can intercept at least 50 percent of common prescribing errors
- Reminders to aid clinicians in basic health maintenance guidelines of the U.S. Preventive Services Task Force and other widelyadopted sources



Leapfrog Software Standard

The Leapfrog Group needed a way to evaluate how software is actually being used from two perspectives.

Purchasers The Public	How far along is this organization in using CPOE or ambulatory EHR to help improve medication safety and quality?
Hospital and Medical Practice Leadership	Now that we have implemented CPOE or ambulatory EHR, how well are we doing in using it to help avoid harm and improve quality?



Development of the Evaluation Methodology

- Leapfrog engaged First Consulting Group and a panel of experts (David Bates, Marc Overhage, ISMP) to develop the tool
- Phase 1 funding from CHCF and RWJF
- Phase 2 funding from AHRQ
- Completed
 - Evaluation Method
 - Evaluation Content (test patients, test orders)
 - Pre-testing in implementation sites with every major vendor solution
 - Reliability and validity testing
 - ✓ Web application



Principles Behind the Evaluation Methodology



Principle #1: Target the Harm

- Common sources of ADE's (not errors)
- Sources of severe harm (existing literature and expert consensus)

Principle #2: Encourage Quality Improvement

- Categorize test set by type of error
- Provide feedback to the provider organization for each category
- Provide advice about nuisance alerting

Principle #3: Accentuate the positive

- Encourage care quality, as well as ADE reduction
 - Address errors of commission and omission
 - Include corollary orders and duplicate interventions



The Test Order Categories

Category	Example
Therapeutic duplication	Codeine AND Tylenol #3
Single and cumulative dose limits	10-fold excess dose of Methotrexate
Allergies, cross-allergies	Penicillin for patient with documented PCN allergy
Contraindicated route of administration	Tylenol to be administered intravenously
Drug-drug, drug-food interactions	Digoxin AND quinidine
Contraindication based on patient dx	Nonspecific beta blocker for an asthmatic
Contraind/dose limit based on pt age, wt	Adult dose of antibiotic in a newborn
Contraind/dose limit based on laboratory study	Normal dose regimen of gentamicin in patient with elevated creatinine
Contraind/dose limit based on radiology study	lodine interacting med. in pt to receive CT with contrast
Over Alerting/ Nuisance Reminders	Use of orders with little potential for harm
Test Gaming	Use of Deception analysis and test time clock

The Evaluation Tool

- Self-administered testing managed by a Web application
- Separate tests for pediatric and adult, inpatient and outpatient

Test order set

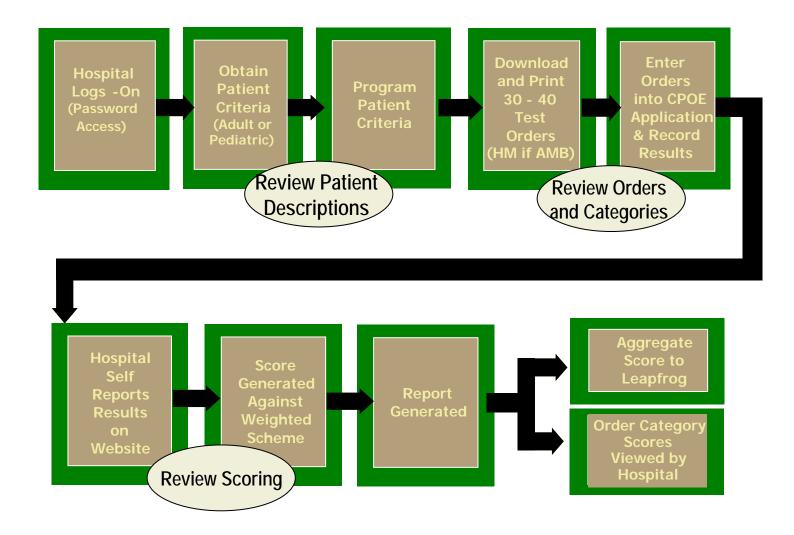
- To be entered into the site's CPOE system or EHR, against Leapfrog-supplied "test patients"
- System responses recorded and reported back to Leapfrog (Overall score) and to the organization taking the test (detailed feedback)
- Test Orders representing nine categories of potentially dangerous errors developed by FCG and ISMP
- Three additional order categories developed based on literature and advisor experience
 - Corollary
 - Cost of care
 - Nuisance (important feedback)
- For ambulatory test: additional capability to test basic health maintenance prompting
- Output
 - Individual Site feedback report
 - Overall score for Leapfrog Web site



Web-based Evaluation Tool



Web-based Evaluation Methodology





15	Welcome to the Leapfrog Computerized Physician Order Entry (CPOE) Web-based evaluation. The CPOE Evaluation is a remote test for use by hospitals and ambulatory care offices to assess their compliance with The Leapfrog Group's CPOE and ambulatory standards The overarching goal is to assist The Leapfrog Group in its nationwide effort to improve patient safety .	Hospital ID: Security Code: User Name: Password: Login Forgot password?

Only member hospitals and ambulatory care offices can take the CPOE evaluation test. To become a member, please contact the Administrator at ###-#### to obtain an ID and security code. You should receive a security code via e-mail within two business days. If you have any questions, please call The MedStat Group at (734) 913-3333.

- · You must use your security code to gain access to the CPOE Test instructions, content, and to submit your responses to the CPOE questions and test.
- For multi-hospital systems, each hospital will need to obtain its own unique ID and security code.

Note: This application loads properly only in Internet Explorer.

Powered by First Consulting Group - The leader in healthcare and life sciences

CPOE Evaluation Application - Microsoft Interest	ernet Explorer			
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Getting health Care kight.				
CPOE applic list, program	ations as appropriate. Once you downlo	ad the patient descriptions, you will ha	t be programmed into your ADT, Laborator we up to four hours to print the patient o ction or mirrored production environment ,	description
	Please select CP This field will determi	ine the type of CPOE Test that you will receive. 🍵	Select one	
	You can only select o	F	ediatric inpatient dult ambulatory	
	Powered by Firs	st Consulting Group - The leader in healthcare www.fcg.com	and life sciences	



Print Instructions

Please read all directions carefully.**Once you begin the download and testing process, you are committing your health care organization to the public record regarding its ability to complete the LeapFrog CPOE evaluation.** Failing to complete the testing process once download of the test material has been initiated will result in your health care organization being denied the opportunity to retake the test for **six months.** During this time your health care organization will be listed on a public Website as having not completed the LeapFrog CPOE test. Therefore, you should not proceed with downloading the test unless you are prepared to complete the testing process and submit your results to the Leapfrog Group.

The CPOE and evaluation process contains three steps:

- 1. Obtain and Program Patient Descriptions;
- 2. Obtain (download) Orders and Complete Order Entry; and
- 3. Submit Results.

The first step has a time limit of four hours for you to program the patient descriptions (e.g., demographics, allergies, laboratory values) into the appropriate applications in your test environments. If you do not complete this step and process to Step 2 (downloading orders) within 4 hours, the system will "time out,"and you will be blocked from retaking the test for six months. During this time your health care organization will be listed on the Leapfrog Website as having not completed the LeapFrog CPOE evaluation.

You will then have two hours to complete the second and third steps (Obtain Orders and Complete Order Entry; and Submit Results). During this time you will download and print adult or pediatric orders, enter the orders into the CPOE application, record the results initially on the printout; and return to this web site to submit your results. If you are taking the ambulatory evaluation process you may also apply the same process as described above to the ambulatory adult or pediatric health maintenance evaluation.

Again, failure to complete this process within two hours following download of the test orders will result in a "time out" and you will be blocked from retaking the test for six months. Please read and review the detailed instructions below before you precede any further

Please read all directions carefully. You can take four different types of evaluations:

- 1. Adult Inpatient
- 2. Pediatric Inpatient
- 3. Adult Ambulatory
- 4. Pediatric Ambulatory

Both inpatient evaluations are testing the clinical decision support implemented in your CPOE system. The adult and pediatric evaluation also test for clinical decision support in a provider's office electronic medical record in supporting improved safety in medication prescribing, but also tests for delivery of recommended care through health maintenance test patient scenarios. Only in the ambulatory adult and pediatric evaluation is a second component that evaluates the use of health maintenance care reminders. This requires a patient-specific database, which includes age, gender, allergies, diagnoses, treatment codes, lab test results, patient history, and medications; and tools that incorporate rules for generating reminders. The adult and pediatric scenarios that are created for evaluation of health maintenance are based upon a comprehensive review of AHRQ Preventive Guidelines / US Preventive Services task Force, HEDIS, and Bridges to Excellence / DOQ-IT guidelines and in accordance with The Leapfrog Group recommendations of recommended targets. They also been checked against the recently released consensus standards for ambulatory care released by the National Quality Foundation.

DURING THE TEST

Once you have obtained the CPOE Evaluation orders, you will have **two hours** to complete order entry into your production environment and enter your results back to the web site. The evaluation process is 'complete' after you have entered the results onto the web site.





Print Patient Descri	iptions
Patient Id	Adult Inpatient Description
1	Age: 51 years Sex:Female Weight: 48 kg Allergies: Pencillin,Egg Diagnosis/Problem: Seizure Disorder Lab Values: Potassium = 2.4 mEq/L
2	Age: 43 years Sex:Male Weight: 70 kg Allergies: Aspirin,ShellFish Lab Values: Vancomycin trough = 17 mcg/ml,Clostridium Difficile Toxin Assay = Negative
3	Age: 41 years Sex:Male Weight: 70 kg Allergies: No Known Drug Allergies Lab Values: Platelets = 15,000/cc.mm Patient Specifics: Last Fibrin Split Product (FSP) 3 hours ago
4	Age: 52 years Sex:Female Weight: 60 kg Allergies: Morphine Lab Values: :International Normalized Ratio (INR) = 7,Digoxin level = 0.8 ng/m
5	Age: 49 years Sex:Female Weight: 60 kg Allergies: No Known Drug Allergies Lab Values: Serum Creatinine = 1.2 mg/dl
6	Age: 28 years Sex:Female Allergies: No Known Drug Allergies Diagnosis/Problem: Pregnant Lab Values: Urinalysis (U/A) = Normal,Urine Culture & Sensitivity (Urine C&S) = No Growth
7	Age: 75 years Allergies: No Known Drug Allergies Diagnosis/Problem: Hemorrhagic stroke
8	Age: 43 years Weight: 75 kg Height: 175 cm Allergies: No Known Drug Allergies Lab Values: Theophylline level = 15 mg/L,Potassium = 4.0 mEq/L Patient Specifics:Had iodinated contrast study 3 hours ago

Once you print the Patient Descriptions, please **log out** and enter the descriptions into your production or mirrored production environment. When you are finished, return to this site, log in and download the orders.

Sign out

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Obtain Patient Descriptions

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	an Asarz			Alleriges No Known Drug	Chronic Obstructive	Theophylline level = 15			
10	49 years	Female	75Kg,175 cm	Allergies Peanut Allergry	Pulmonary Disease	mg/L Potassium = 4.0 mEq/L	none		
11	17 years	Female	45Kg	No Known Drug Alleriges	Acne				
			Health Maintenar	ice Component					
Patient Id	Age	Sex	Weight	Allergies	Diagnosis/Problems	Lab Values	Specifics		
нм1	68 years	Female	90 Kg	No Known Drug Allergies	Coronary Artery Disease(CAD), S/P Myocardial Infarction	Last LDL-C = 120, HDL- C = 30;18 months ago	medications on Medication		
HM2	67 years	Mala	100 Ka	No Known Drug	Adult Onset	HDLC =	documented, Last dilted		
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нмз	81 years			ж		none	No Medication on Medication List		
HM4	67 years	Male	60 Kg	No Known Drug Allergies	Chronic Obstructive Pulmonary Disease	Last Influenza Vaccine 3 years ago, Last tetanus Vaccine 25 years ago	No History of Pneemococcal vaccine		
НМ5	55 years	Female	100 Kg	No Known Drug Allergies	Obesity	Last Mammogram 5 years ago,Last Pap Smear 5 years ago	No History of Flexible sigmoidoscopy or colonoscopy		

Download Orders and Worksheet

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	Dieace p	rint the orders shown below and enter the orders into your	CROE applic	ation for the appropriate patients. Record the results of each	
		luding the alert message then return to this site to submit		ation for the appropriate patients, record the results of each	
	Print O	rders			
	Number	Order	Patient	Result (Check One)	
	1	Levothroid 200 mcg po twice daily	1	Alert or Information Received or Order Blocked.DisPlayed Message:	
				Order Accepted, No Alert or Information Received Medication Not on Formulary	
	2	Cephalexin 250 mg po four times a day	1	Alert or Information Received or Order Blocked.DisPlayed	
				Message: Order Accepted, No Alert or Information Received	
				Medication Not on Formulary	
	3	Lovenox 80 mg subcutaneous every 12 hours	1	Alert or Information Received or Order Blocked.DisPlayed	
				Message: Order Accepted, No Alert or Information Received	
				Medication Not on Formulary	
	4	Demerol 50 mg po every 4 to 6 hours as needed	1	Alert or Information Received or Order Blocked.DisPlayed	
				Message: Order Accepted, No Alert or Information Received	
				Medication Not on Formulary	
	5	1) Metoprolol 50 mg po twice daily,2) Toprol XL 100 mg po daily	2	Alert or Information Received or Order Blocked.DisPlayed	
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				Order Accepted, No Alert of Information Received Medication Not on Formulary	
	6		2		
	D	1) Lotrel 5 mg/10 mg po daily,2) Enalapril 5 mg po daily	2	Alert or Information Received or Order Blocked.DisPlayed Message:	
				Order Accepted, No Alert or Information Received Medication Not on Formulary	
	7	Hydrocodone/Acetaminophen 5 mg/500 mg (Vicodin) 2 tablets po every four hours	2	Alert or Information Received or Order Blocked.DisPlayed Message:	
				Order Accepted, No Alert or Information Received Medication Not on Formulary	

Download Health Maintenance Worksheet

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HM4	Papanicolaou (Pap) Screening	
	Mammography Screening	
	Colorectal Screening	
	Lipid Measurement / Management	
	Scheduling of periodic INRs	
	HbA1C Measurement / Management	
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	You will have TWO hours to return to this site and submit the results.	
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	Tetanus (Td)	
	MMR	
	DTaP	
HM5	🗌 Papanicolaou (Pap) Screening	
	🔲 Mammography Screening	
	Colorectal Screening	
	Syphilis Screening	
	🗌 Lipid Measurement / Management	
	Scheduling of periodic INRs	
	HbA1C Measurement / Management Ophthalmology Exam	
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Submit Responses

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	Only one result p	y to submit your responses for the medication order entry portion. F er order can be entered. Once you have completed entering all n will be taken to the health maintenance component to record those	esults, click on the bottom of this page to record your	
	Number	Order	Result	
			 Received advice or information on medication dose limits 	
	1	Levothroid 200 mcg po twice daily	 Placed order and did not receive advice or information on medication dose limits 	
			O Medication Not on Formulary	
			 Received advice or information on allergies to medication 	
	2	Cephalexin 250 mg po four times a day	 Placed order and did not receive advice or information on allergies to medication 	
			Medication Not on Formulary	
			Received advice or information on patient age or medication dose adjustment	
	3	Lovenox 80 mg subcutaneous every 12 hours	 Placed order and did not receive advice or information on patient age or medication dose adjustment 	
			O Medication Not on Formulary	
			Received advice or information on drug: diagnosis interactions	
	4	Demerol 50 mg po every 4 to 6 hours as needed	O Placed order and did not receive advice or information drug:diagnosis interactions	
			Medication Not on Formulary	
			Received advice or information on	

Submit HM Responses

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Getting Health Care Right.			
	You are now ready to submit your resp	ponses for the healh maintenance component. Please take your time and carefully	
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View Results

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rming Choices. Rewardi ting Health Care Righ	ing Excellence. st.				
	Medication Checking	Score (in percent)	Health Maintenance Checking	Score (in percent)	
	Therapeutic Duplication	88.00	Sensitivity	24 %	
	Single and Cumulative Dose Limi		* Specificity	85 %	
	Allergies and Cross Allergies	67.00	Accuracy	73 %	
	Drug:Drug interactions	50.00			
	Drug:Diagnosis interactions Contraindication / Dose Limits	100.00			
	Based on Age and Weight	64.00			
	Contraindication / Dose Limits	33.00			
	Based on Laboratory Studies Corollary Orders	50.00			
	Deception Analysis	33.00			
	Nuisance Orders	100.00			
	Your TOTAL score reflects: Medication Checking:0				
			recommended safety practice		
	Note: Medication checking lota	score does not include Nuisa	nce and Deception Analysis categories		
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	*The order entry system accept	ad the following order(c) that	and have equired reversion		
	*The order entry system accept	ed the following order(s) that	: could have caused severe harm:		
		Order Descript	ion		
	Category				

How the Leapfrog Evaluation Can Be Used---Case Example



Grading on CDS in place in CPOE

Therapeutic Duplication	B-
Drug-Allergy	C+
Drug-Drug Interactions	C (no drug-food)
Normal Order Alerts	A-

Grading on test categories not adequatley addressed.

Corollary Orders	F
Duplicate Test	F
Dose Limits	F
Drug-disease	F
Drug-Lab	F
Wrong route	D-

Initial thoughts of the organization in response to the test

 What I knew we would do poorly on: Drug-lab, drug-disease, dose limits 	 What I had not begun to think about yet: Wrong route, corollary orders, duplicate test
What I was surprised at:	 Where I thought the test
— Drug-drug and drug-allergy	missed a problem Duplicate therapies

Organization's Plan to improve grades and build an effective CDS strategy

- First: Cut down on alert messages that appear to be less effective.
 - Reduce duplicate messages by excluding some messages that pertain to PRN drugs.
 - Reduce the overall number of drug interaction messages by building them from the "ground up" as opposed to "top down."
- Next: Implement the most highly useful drug dosing messages.
 - Create a partnership with a content company to help build a highly customized and useful knowledge base.
- Follow with more work on surrogate outcomes and actual outcome measurements.
- Continue to roll through the drug-disease and corollary areas based on the findings as we move along.

What they did with the results.

- Pharmacy review of pre-configured allergy and drug-drug alerts.
 Review of important food allergies (not so easy as you might think...)
- Pharmacy/physician review of important corollary orders.
- Incorporate new functions into our next big re-build of the CPOE system
- Create a CDS Dashboard

Organizations conclusions

- Benefits of the test:
 - Makes very transparent the quality of reactive alerts for errors of commission
 - Provides a very nice impetus to get started on fixing up your CDS
 - When linked to public reporting that impetus will be that much stronger
 - Provides a clear set of categories to help plan your CDS improvement strategy

What We Learned About the State of the Practice with CDS



State of Medication Checking CDS

Current capabilities do not cover the order categories our project advisors feel are important.

Generally available Generally used	 Drug-drug interaction checking Drug-allergy checking
Generally available Often not used	 Therapeutic overlap checking Dose range checking Corollary orders (e.g., blood levels)
Not available	 Contraindication based on age, pregnancy, Dx, route of administration Patient-specific dosing (age/wt, renal dosing) Combination drugs





Questions?

