Medical Research Summit Washington, DC March 2003 Gary L. Yingling, Esq. Kirkpatrick & Lockhart LLP 1800 Massachusetts Ave., NW Washington, DC 20036 (202) 778-9273 gyingling@kl.com

INDEPENDENT IRBs

I. WHAT IS AN INDEPENDENT IRB?

- A. Not part of a research institution
- B. Organized to meet HHS and/or FDA IRB requirements
- C. Reviews clinical research for the purpose of "protection of human subjects"

II. BASIS OF PROTECTION OF HUMAN SUBJECTS

- A. Belmont Report
 - 1. Respect for persons
 - 2. Beneficence
 - 3. Justice
- B. Informed consent process
 - 1. Information
 - 2. Comprehension
 - 3. Voluntariness
- C. HHS Regulations
 - 1. Protection of human subjects (Part 46)

- 2. Definitions (46.102)
 - a. IRB
 - b. IRB approval
- 3. IRB membership (46.107)
 - a. At least five members
 - b. Sufficiently qualified
- 4. IRB review of research (46.109)
- 5. General requirements for informed consent (46.116)
- D. FDA Regulations
 - 1. Institutional Review Board (Part 56)
 - 2. Definitions (56.102)
 - a. IRB (56.102(g))
 - b. IRB approval (56.102(m))
 - 3. IRB membership (56.107)
 - a. At least five members
 - b. Sufficiently qualified
 - 4. IRB review of research (56.109)
 - 5. Criteria for IRB approval of research (56.111)

III. CHANGES IN PHARMACEUTICAL RESEARCH

- A. More research done outside of institutional setting
- B. Greater involvement of practicing physicians
- C. More research studies
- D. Larger number of patients in studies

E. Multi-site research

IV. INSTITUTIONAL IRBs

- A. Significant workload from institution
- B. Reluctant to accept responsibility for research outside of institution

V. CREATION OF INDEPENDENT IRBs

- A. Originally organized to meet FDA regulations
- B. Sole focus of IRB Board is review of research
- C. Focus of staff is complying with and obtaining information for initial and continuing review

VI. CONGRESSIONAL REVIEW OF IRB

- A. Congressional hearing. "Institutional Review Boards: A System in Jeopardy?" Hearing before the Subcommittee on Human Resources, Committee on Government Review and Oversight, United States House of Representatives (June 11, 1998) Chairman: Rep. Christopher Shea
- B. Dept. of Health and Human Services, Office of Inspector General's Report "Institutional Review Boards: A Time for Reform" June 1998 (OEI-01-97-00193)
- C. Proposed legislation
 - 1. Research Revitalization Act of 2002, S. 3060, 107th Cong., 2d Sess. (2002)
 - Human Research Subject Protections Act of 2002, H.R. 4697, 107th Cong., 2d Sess. (2002)

VII. ENFORCEMENT ISSUES

- A. Office for Human Research Protections
- B. Food and Drug Administration

VIII. ACCREDITATION PROGRAMS

- A. Association for the Accreditation of Human Research Protection Programs, Inc. (AAHRP)
- B. National Committee for Quality Assurance (NCQA)

IX. THE FUTURE