

# Twenty Years of Administrative Simplification



## Advancing Administrative Simplification

Denesechia Green, Deputy Director  
National Standards Group  
Centers for Medicare & Medicaid Services

# Where Technology and Efficiency Meet

- Now 93.8% of all health care claims transactions today are conducted in standard form
- Less time on routine manual processes to ease everyday functions
- Improves the way health care data is exchanged electronically
- Paves the way for the interoperability of health data

# Outreach and Education

- **Comprehensive Outreach and Education Strategy**
  - **Now Here!** Website Refresh and New Resources
  - Administrative Simplification Email Updates

The screenshot shows a website navigation menu with tabs for Medicare, Medicaid/CHIP, Medicare-Medicaid Coordination, Private Insurance, Innovation Center, Regulations & Guidance (selected), Research, Statistics, Data & Systems, and Outreach & Education. The breadcrumb trail is: Home > Regulations and Guidance > HIPAA and ACA > Administrative Simplification Overview. The left sidebar contains links for HIPAA and ACA, Events and Latest News, Statutes and Regulations, Adopted Standards and Operating Rules, Are You a Covered Entity?, Privacy and Security Information, Standards Adoption Process, Standards-Setting and Related Organizations, and HIPAA, Administrative Simplification, and ACA FAQs. The main content area is titled 'Administrative Simplification Overview' and contains the following text:

To reduce paperwork and streamline business processes across the health care system, the Health Insurance Portability and Accountability Act (HIPAA) of 1996 and the Patient Protection and Affordable Care Act (ACA) set national standards for:

- [Electronic transactions](#)
- [Code sets](#)
- [Unique identifiers](#)

HIPAA includes Administrative Simplification provisions that the [ACA](#) (Affordable Care Act) expanded in 2010. ACA introduced [operating rules](#) to standardize business practices.

**It's the Law**

Health care providers, health plans, payers, and other [HIPAA-covered entities](#) must [comply](#) with Administrative Simplification.

The **requirements apply to all providers who conduct electronic transactions**, not just providers who accept Medicare or Medicaid.

**Save Time and Costs While Helping Patients**

Standard [transactions](#), [operating rules](#), [code sets](#), and [unique identifiers](#) allow information to be shared electronically in consistent ways.

# New Website

- **Enhanced Features**

- Revised content
- FAQs featured in each section
- Updated by Topic
- Covered entity chart
- Regulations Timeline

To visit the new website, go to:

[go.cms.gov/AdminSimp](https://go.cms.gov/AdminSimp)

# Covered Entity Chart

## Covered Entity Guidance: Providers, Clearinghouses, Health Plans



Click on the box that reflects the question you want to answer

# Regulations Timeline



Timeline of Key Statutes and Regulations

Year	Date	Law or Reg	Topic of Law/Reg	Description
1996	Aug 21	Law	<a href="#">HIPAA, Health Insurance Portability and Accountability Act</a>	<p>The law requires HHS to adopt national standards and code sets for electronic transactions. The provisions become known as Administrative Simplification.</p> <p>HIPAA adds a new Part C – Administrative Simplification to the <a href="#">Social Security Act of 1935</a>.</p>
2000	Aug 17	Reg	<a href="#">Standards and Code Sets for Electronic Transactions and DSMO Process</a>	<p>HHS adopts code sets (ICD-9, CPT-4, National Drug Codes, Code on Dental Procedures and Nomenclature, and HCPCS) and standards for electronic transactions: ASC X12 Version 4010 and NCPDP Version 5.1.</p> <p>HHS publishes a regulation outlining the process for standards development organizations to collaborate on the review of proposed modifications to standards and code sets, including the execution of a Memorandum of Understanding on which HHS is a signatory. The mandatory collaboration is called the Designated Standards Maintenance Organization (DSMO).</p> <p>Adoption of the standards and code sets is required by Oct 16, 2002, for all HIPAA-covered entities, except small health plans, which were required to comply on Oct 16, 2003.</p>
2000	Aug 17	Reg	<a href="#">Health Insurance Reform: Announcement of Designated Standard Maintenance Organizations</a>	HHS announces the organizations that the Secretary designates as Designated Standard Maintenance Organizations (DSMOs).
2001	Jan 3	Law	<a href="#">ASCA, Administrative Simplification Compliance Act</a>	Congress requires electronic submission of Medicare claims.
2002	May 31	Reg	<a href="#">Employer Identification Number (EIN)</a>	HHS adopts standard for Employer Identifier Standard (EIN) which becomes mandatory for use on July 30, 2002.
2003	Feb 20	Reg	<a href="#">Transaction Standards and Code Sets</a>	HHS adopts modified specifications for 1) transaction standards, and 2) how HIPAA-covered entities use standards, effective Mar 24, 2003.

# Compliance and Enforcement

- Comprehensive Audit and Enforcement Strategy
  - **Now Here!** Deployed updated tool to report complaints
  - Enhancing complaint-based enforcement process



# Administrative Simplification Enforcement and Testing Tool

The screenshot displays the ASETT website interface. At the top left is the CMS logo. A navigation menu on the left includes links for ASETT Home, About ASETT, FAQ, Glossary, Contact Us, Enforcement Video, User Guide, and Privacy Complaint. The Privacy Complaint section contains text about filing a complaint and a link to the Office for Civil Rights (OCR). At the top right are 'Login' and 'Create an Account' buttons. The main banner features an illustration of people walking on a path towards a 'COMPLIANCE' sign, with the ASETT title and a description of the tool. Below the banner are two main sections: 'File HIPAA Complaint' and 'Test HIPAA Transactions'. The 'File HIPAA Complaint' section includes a 'Submit complaints for the following violations:' section with buttons for Transactions, Code Sets, Unique Identifiers, and Operating Rules. The 'Test HIPAA Transactions' section describes the tool's capabilities and lists supported code sets.

**CMS**  
CENTERS FOR MEDICARE & MEDICAID SERVICES

ASETT Home  
About ASETT  
FAQ  
Glossary  
Contact Us  
Enforcement Video  
User Guide  
Privacy Complaint

If you believe that a covered entity or business associate violated your health information privacy rights or committed another violation of the Privacy, Security or Breach Notification Rules, you may file a complaint with the [Office for Civil Rights \(OCR\)](#).

Login Create an Account

**COMPLIANCE**

**ASETT**  
**Administrative Simplification Enforcement and Testing Tool**  
ASETT is a web-based application which enables individuals or organizations to file a Health Insurance Portability and Accountability Act (HIPAA) and/or Affordable Care Act (ACA) complaint against a HIPAA covered entity for potential non-compliance with the non-Privacy/Security provisions of HIPAA.  
[Learn More...](#)

**File HIPAA Complaint**

Click [here](#) to learn more about how to file a complaint.  
Submit complaints for the following violations:

Transactions Code Sets  
Unique Identifiers Operating Rules

To file a complaint, you must login by clicking the login button. If you do not have an account, create one now through the secure CMS Enterprise Portal by clicking on the Create Account button.

**Test HIPAA Transactions**

Test Transactions tool allows all transactions to be checked consistently for compliance, syntax, and business rules. Validate transactions across various formats including the following:

- HIPAA 5010
- CAQH CORE Operating Rules
- ICD-10 Diagnostic
- Unique Identifiers

Validate code values against 60-plus clinical and non-clinical code sets, including ICD-9 and ICD 10 diagnostic procedures and code sets. This tool provides intuitive error reports and acknowledgments to help identify and resolve error.

Home Privacy Policy Security Policy



# Priorities Ahead

- **Regulations**
  - Health Care Claim Attachments
  - Certification of Compliance
  - Health Plan Identifier
- **NCVHS Recommendations**
- **Review Committee Recommendations**

# Priorities Ahead (cont.)

- **Industry Engagement**
  - Listening sessions
  - Targeted outreach
  - Technical assistance
- **Compliance & Enforcement**

# Resources

- **Newly Redesigned Website**
  - [go.cms.gov/AdminSimp](https://go.cms.gov/AdminSimp)
- **Administrative Simplification Enforcement and Testing Tool (ASETT)**
  - <https://asettval.cms.gov/asett/public/home.act>
- **ICD-10 Resources**
  - [www.cms.gov/ICD10](http://www.cms.gov/ICD10)
- **National Standards Group Email Inbox**
  - [NationalStandardsGroup\\_AdminSimp@cms.hhs.gov](mailto:NationalStandardsGroup_AdminSimp@cms.hhs.gov)

# Thank You

