

# 19th Annual Pharmaceutical and Medical Device Compliance Congress

MANDARIN ORIENTAL • WASHINGTON, DC  
NOVEMBER 7 - 9, 2018



## Chief Compliance Officer Round Table

▶ November 7, 2018, 3:45 p.m.

# Panel Introductions



**Moderator: Paul Silver**  
Principal, Regulatory & Compliance  
Life Sciences Leader, Deloitte & Touche LLP  
Atlanta, Georgia



**Jill Fallows-Macaluso, BSN, JD**  
Vice President and Chief Compliance Officer  
Novo Nordisk Inc.  
Plainsboro, NJ



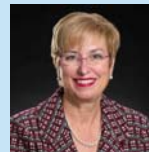
**Jonathon L. Kellerman**  
Executive Vice President and Global  
Chief Compliance Officer  
Allergan PLC  
New York, NY



**Robert A. Ladd, JD**  
Vice President, Global Head Integrity &  
Compliance, Oncology  
Novartis  
East Hanover, NJ



**Puja Leekha, JD**  
Vice President, Chief Compliance Officer and  
Corporate Counsel, Corporate Compliance  
Lundbeck Pharmaceuticals LLC  
Deerfield, IL

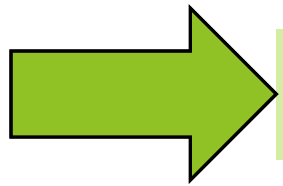


**Lori Queisser**  
Vice President, Chief Senior Vice President  
and Global Chief Compliance Officer  
Teva  
Horsham, PA

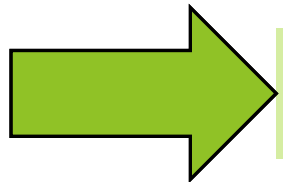


**Eric Siegel, Esq.**  
Chief Compliance Officer  
Jazz Pharmaceuticals, Inc.  
Philadelphia, PA

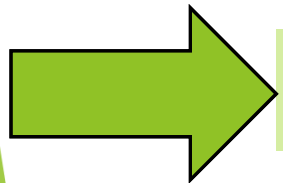
## ► Today's Topics



Compliance Philosophy



Measuring Compliance Effectiveness



Culture of Ethics and Compliance

# Getting to Know You

- Type of Company - pharma, med device, biotech...
- Size of Company - Small, Medium, or Large
- How many people are in your Compliance Department

# Instructions for Accessing the Live Polling

In the Conference App, swipe to the **Ask a Question** button.  
Enter the code: **Pharma2018**. At the top of the screen  
choose **Polls**.

Or open your Browser and go to **Sli.do**  
Enter the code: **Pharma2018**  
Tap **Polls** at the top of the screen. The poll will be  
on the screen.

# Audience Polling #1

► Your company is...

- A. Pharmaceutical
- B. Medical Device
- C. Biotech
- D. A & B
- E. A&C
- F. B&C
- G. A, B, and C
- H. None of the above



## Audience Polling #2

► Your company's revenue is...

- A. Less than \$1B
- B. \$1B to \$5B
- C. \$6B to \$10B
- D. \$11B to \$20B
- E. More than \$20B



## Audience Polling #3

► How many people are in your Compliance Department?

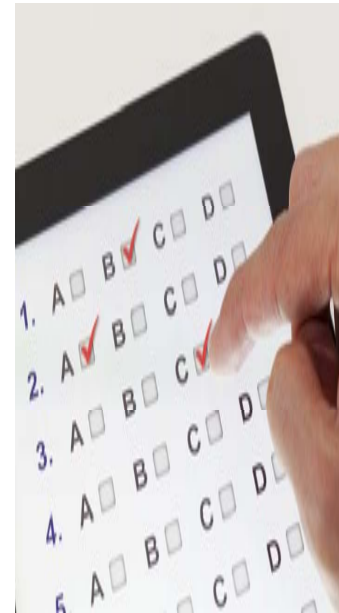
- A. 1 to 5
- B. 6 to 10
- C. 11 to 15
- D. 16 to 25
- E. 26 to 35
- F. 36 to 50
- G. Over 50



## Audience Polling #4

► Where does your company's Compliance Department reside?

- A. Stand alone department
- B. Legal
- C. Finance
- D. Other
- E. None of the above



## Audience Polling #5

► Is your company currently operating under a CIA, DPA, or other court-ordered decree?

A. Yes

B. No

► If yes, has it changed where your department resides?

A. Yes

B. No



# Topic 1: What is your Compliance Philosophy?

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- Principles-based vs. Rules-based Compliance
  - What does this mean to you?
  - What does this mean to your department?
  - What does this mean to your company?

## Audience Polling #6

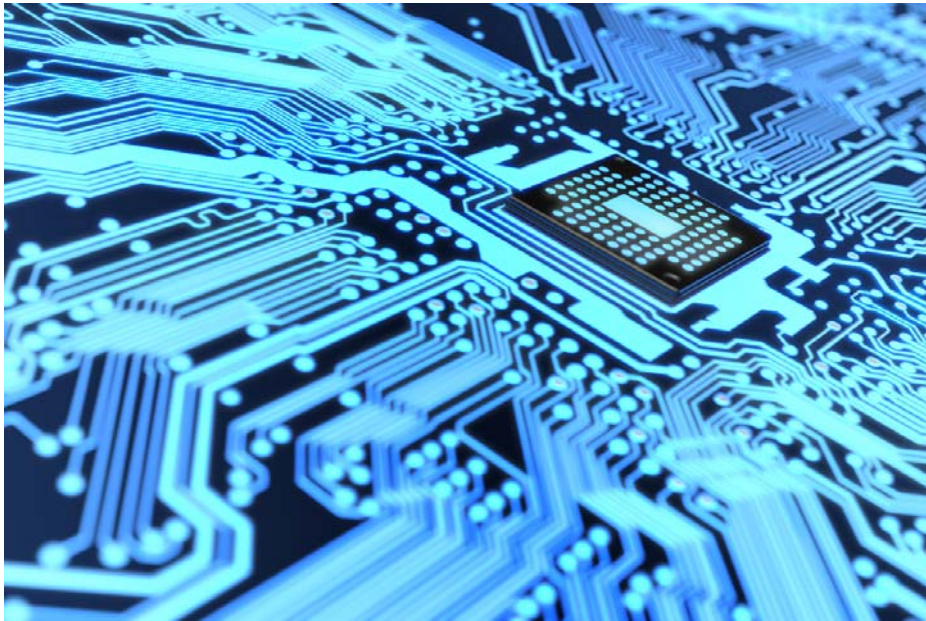
- Where is your company's compliance mindset and approach on the spectrum of reactive to proactive?



How does the legislative landscape impact your Compliance landscape?



How does Compliance in the digital age create unique challenges and opportunities for your department and the broader enterprise?



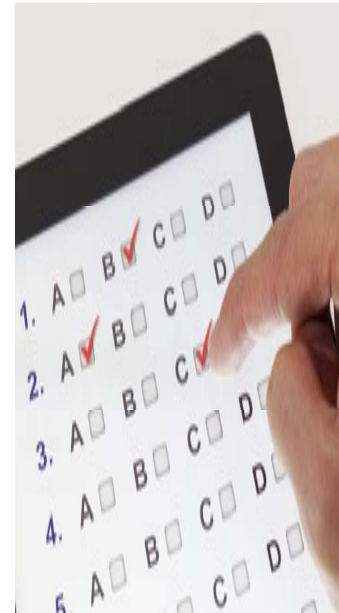
## Audience Polling #7

► Is your compliance department more tactical or strategic?

A. Tactical

B. Strategic

C. Both



## Topic 2: Measuring Compliance Effectiveness

- How does your company measure compliance effectiveness?



- ▶ What does success in compliance “look” like?
- ▶ What does it mean to you?
- ▶ Are you measured on it and, if so, how?



► What have been/are the keys to your program success?



## Topic 3: Culture of Ethics and Compliance

- Companies based on ethics and trust are more successful.



# How can the Compliance Department help support the needs of patients?



## Your Team

- ▶ Are Compliance and Ethics part of your team's performance evaluation?
- ▶ How do you create opportunities for the growth of your team?
- ▶ What career growth do you see for people in compliance?

Questions?

Thank you!

