

LESSON LEARNED FROM ENFORCEMENT ACTIONS

**20th Annual Pharmaceutical and
Medical Device Compliance Congress**

November 7, 2019

THE PANEL



Kathleen M. Boozang, JD, LLM

Dean and Professor of Law, Seton Hall University School of Law, Newark, NJ



Thomas M. Glavin, JD

Chief Compliance Officer for the Americas, Olympus Corporation of the Americas;
Former Vice President, US/Americas Compliance Officer, Shire, Center Valley, PA



William Hrubes, MS

Vice President and Chief Compliance Officer, ACell, Inc., Former Healthcare
Compliance Officer, DePuy Orthopaedics, Columbia, MD



Puja Leekha, JD

Vice President, Chief Compliance Officer, Corporate Compliance, Lundbeck Pharmaceuticals;
Former Division Legal Counsel & Compliance Officer, Stryker Corporation; Deerfield, IL



Sarah diFrancesca, JD

Partner, Health Care and Life Sciences Regulatory Practice, Cooley, LLP, New York, NY (Moderator)

LESSON LEARNED FROM ENFORCEMENT ACTIONS

1. What type of company are you with?

- Pharmaceutical/biotechnology
- Medical device
- Consulting firm
- Law firm
- Other

LESSON LEARNED FROM ENFORCEMENT ACTIONS

2. What is your role at your company?

- Legal
- Compliance
- Regulatory
- Consultant
- Other

LESSON LEARNED FROM ENFORCEMENT ACTIONS

3. Is your company currently under a corporate integrity agreement, deferred prosecution agreement, or non-prosecution agreement, or has it recently exited such an agreement?

- Yes
- No

LESSON LEARNED FROM ENFORCEMENT ACTIONS

4. Where does your compliance function report?

- CEO
- Legal
- Finance
- Other