



DEFINE

Where do we want to get to?

Overall goal is to drive effective risk management through innovation while capturing compliance and business process efficiencies

- Drive strategy and compliance operations via robust risk assessment
- Better address known risks that were not included in CIA (i.e., generics, aesthetics, global risk)
- Cover more comprehensive set of risks within resource constraints through innovation, streamlining, and efficiencies
- Enhance accountability for compliance within business

Voice of Customer

Benchmarking and External Assessments



MEASURE

Where are we at today?

- Compliance organization was powered to support CIA
- Coming off CIA
- Growing international footprint
- Entering aesthetics market



ANALYZE

*How do we get there?
What do we need to get there?*

- FTEs, budget, external support, technology, etc.
- Develop workstreams and workplans



IMPROVE

Execute the plan

- Risk Assessment
- Policies & Procedures
- Training & Communication
- Monitoring & Auditing
- Governance & Oversight
- Compliance Culture



CONTROL

*How are we progressing?
Are results as expected?
Do we need to adjust?*

Scorecard(s), KPIs

- Board of Directors
- Global Compliance Committee
- U.S. Compliance Committee
- Executive Leadership Team
- Pharma Leadership Team
- Business Partners
- Enabling Functions