

claredi

HIPAA Transactions: The End Game

HIPAA Summit IV

Washington DC, April 26, 2002

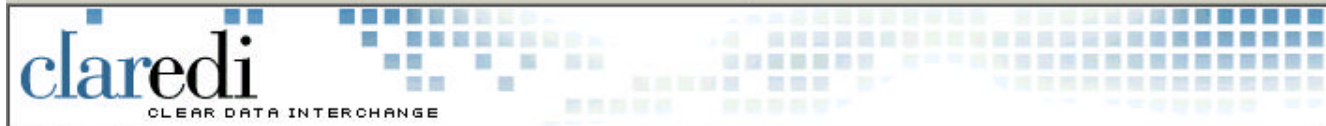
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HIPAA compliant non-sense

- Non-sense data certifiable as in compliance with IGs.
- Multiple levels of testing:
 - Implementation Guide spells out requirements for HIPAA compliance.
 - Minimum requirement.
 - Tested as per WEDI SNIP levels 1-6
 - Additional “Business” requirements
 - Filter out non-sense
 - Needed for interoperability

Examples of “Business” requirements

- Payer-specific Provider ID number
- “Balancing of dates”
 - Transaction, service, admission, etc.
- Transaction specific issues
 - Initial In-patient claim without room and board revenue codes
- Clean transactions
 - Do not mix ambulance and podiatry services in the same claim



- Submit File for Analysis
- History
- Receive Test Files
- Build a Test File
- My Capabilities
- My Requirements
- My Group
- My Contract
- My Invoice/Receipt
- Search Directory
- Change Account Info
- Change Password
- Feedback
- Logout

Edit 'ACME Insurance non-emergency transport (cab)' Requirement

Require	Allowed	Name
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceCertificationConditionCode1
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode2
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode3
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode4
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode5
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceCertificationConditionIndicator
<input type="radio"/>	<input checked="" type="radio"/>	AmbulancePatientWeight
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceRoundTripPurposeDescription
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceStretcherPurposeDescription
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceTransportCode
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceTransportDistance
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceTransportReasonCode
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier-AS
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier-UT
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionNumber HCFA DMERC CMN Forms
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse1
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse2
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse3

The “certification” myth

- My vendor / clearinghouse is HIPAA compliant. Why should I have to worry about it? They are going to take care of my HIPAA EDI compliance for me.

Result Summary	Identifier	HIPAA Errors							Business Errors							Warnings							
		1	2	3	4	5	6	7	1	2	3	4	5	6	7	1	2	3	4	5	6	7	
	000000401	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	000400004	✓	✓	✓	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	X	✓	X	✓	✓	✓	✓
	154789686	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	294953698	✓	✓	X	X	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	✓	X	✓	X	✓	✓	✓	✓
	478159686	✓	✓	X	X	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	✓	X	✓	X	✓	✓	✓	✓
	492953986	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	495329986	✓	✓	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	✓	X	✓	X	✓	✓	✓	✓
	534929986	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

Analysis Results to display	WEDI/SNIP Levels	HIPAA Errors	Business Errors	Business Warnings
1 - X12 Integrity		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
2 - Requirement		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
3 - Balancing		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
4 - Situational		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
5 - Code Sets		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
6 - Product / Type		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Trading Partner		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Action

Display report:

- Errors and data
- Errors only
- Data only

Certification

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The “Blanket Approval” myth

(Is testing of the vendor/clearinghouse enough?)

- The issue is Provider Compliance
 - Provider’s responsibility to be HIPAA compliant
- Each Provider is different
 - Different provider specialty ⇒ different requirements
 - Different software version ⇒ different data stream and contents
 - Different EDI format to clearinghouse ⇒ different content capabilities
 - Different provider site install ⇒ different customization
 - Different users ⇒ different use of code sets, different data captured, different practices, etc.
- Vendor’s capabilities not the same as provider’s
 - Vendor or clearinghouse has the **aggregate** capabilities of all its customers
 - The Provider does **not** have all of the clearinghouse or vendor capabilities

Transaction Identifier	837																					
Result Summary	Identifier	HIPAA Errors							Business Errors							Warnings						
		1	2	3	4	5	6	7	1	2	3	4	5	6	7	1	2	3	4	5	6	7
	PCLAM0001	✓	X	✓	X	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	X	X	✓	✓	✓	✓
	PCLAM0002	✓	X	✓	X	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	X	X	✓	✓	✓	✓
	154789686	✓	X	✓	X	X	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	X	X	✓	✓	✓	✓
	294953698	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	478159686	✓	X	✓	X	X	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	X	X	✓	✓	✓	✓
	492953986	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
495329986	✓	X	✓	X	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	
534929986	✓	X	✓	X	✓	✓	✓	✓	✓	X	✓	✓	✓	✓	✓	✓	✓	X	✓	✓	✓	
Analysis Results to display	WEDI/SNIP Levels	HIPAA Errors							Business Errors							Business Warnings						
	1 - X12 Integrity	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>						
	2 - Requirement	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>						
	3 - Balancing	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>						
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	6 - Product / Type	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>						
	Trading Partner	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>						
Action	Display report:																					
	<input checked="" type="radio"/> Errors and data <input type="radio"/> Errors only <input type="radio"/> Data only <input type="button" value="Click here for the analysis report"/>																					
Certification	Please Review the Claredi Certification Policy																					
	Status: Certification complete																					
Support	You may allow Claredi Customer Support Reps to view the information in this file. Click here to review the Claredi Privacy Policy .																					

Medicare 837 Professional

- Type of claim
 - Simple claim
 - Anesthesia
 - Anesthesia with CRNA
 - Ambulance
 - Spinal manipulation
 - Inpatient professional services
 - Outpatient professional services
 - Laboratory
 - Etc. (also each Bill Type for Institutional claim!)
- Different **data** requirements

Medicare 837 Professional

- Type of Payer
 - Medicare Primary
 - without COB
 - COB to Medicaid
 - COB to Medigap
 - COB to Commercial
 - Medicare Secondary
 - without further COB
 - COB to Medicaid
 - COB to Medigap
 - COB to Commercial
- Different **data** requirements

X098 Subscriber has claim	Req	Req	Req	Req	Req	Req	Req	Req	Req		Req		Req	
X098 Payer sequence: Primary claim	Req	Req	Req	Req	Absent	Absent	Absent	Absent		Absent	Absent	Absent	Absent	Absent
X098 Payer sequence: Secondary claim	Absent	Absent	Absent	Absent	Req	Req	Req	Req		Absent	Req	Req	Absent	Req
X098 Payer sequence: Payer of last resort	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Req	Absent	Absent	Req	Absent
X098 Insured Group number absent	Req	Req	Req	Req	Req	Req	Req	Req						
X098 Claim filing indicator: Medicare secondary (MSP)	Absent	Absent	Absent	Absent	Req	Req	Req	Req		Absent	Absent	Absent	Absent	Absent
X098 Claim filing indicator: Other payer	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Absent			Absent	
X098 Claim filing indicator: Blue Cross / Blue Shield	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Absent	Absent		Absent	Absent
X098 Claim filing indicator: Medicare primary	Req	Req	Req	Req	Absent	Absent	Absent	Absent		Absent	Absent	Absent	Absent	Absent
X098 Claim filing indicator: Medicaid	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Req	Absent	Absent	Req	Absent
X098 Medicare Crossover Indicator: 4081 crossover	Absent	Absent	Absent	Absent						Req	Req		Req	Req
X098 Medicare Crossover Indicator: Regular crossover	Absent	Absent	Absent	Absent								Req		Req
X098 Other Coverage: Primary	Absent	Absent	Absent	Absent	Req	Req	Req	Req		Req	Req	Req	Req	Req
X098 Other Coverage: Secondary	Absent					Absent							Req	Req
X098 Other Coverage: Payer of last resort	Absent					Absent				Absent			Absent	
X098 Other Insured Relationship: not Self	Absent													
X098 Other Insured Relationship: Self	Absent													
X098 Other Insured Group number	Absent													
X098 Other Insured Group name	Absent													
X098 Other Coverage filing indicator: Medicaid	Absent	Req	Absent	Absent	Absent	Req	Absent	Absent		Absent			Absent	
X098 Other Coverage filing indicator: Medicare secondary (MSP)	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Absent	Absent	Absent	Req	Req
X098 Other Coverage filing indicator: Medicare primary	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent		Req	Req	Req	Absent	Absent
X098 Other Coverage filing indicator: Medigap	Absent	Absent	Req	Absent	Absent	Absent	Req	Absent		Absent	Absent		Absent	Absent
X098 Other Coverage claim level Adjustment	Absent	Absent	Absent	Absent	Req	Req	Req	Req		Req	Req	Req	Req	Req
X098 Other Payer prior payment amount	Absent	Absent	Absent	Absent						Req	Req	Req	Req	Req
X098 Other Payer total Approved amount	Absent	Absent	Absent	Absent										
X098 Other Payer total Allowed amount	Absent	Absent	Absent	Absent	Req	Req	Req	Req		Req	Req	Req	Req	Req

Certification of 837 Professional

– Additional Claim elements (“features”)

- Pay-to Provider
- Representative Payee
- Referring Provider
- Purchased Service Provider
- Patient Amount Paid
- Prior Authorization
- Etc.

Certification of 837 Professional

- Certifiable “capabilities”
 - Medicare type of claim
 - » Specialty, POS, other
 - Medicare payer
 - » Primary, MSP, COB
 - Additional claim “features”
 - » Claim level, service level, identifiers, COB, etc.
- Overwhelming number of possible permutations!
 - Example: 837 Medicare Professional: $33 \times 14 \times 20 = 9,240$
- Is it useful to certify “capabilities” and “features” by themselves instead of all the permutations?
 - Example: 837 Medicare Professional: $33 + 14 + 20 = 67$
- Is it feasible to do otherwise?

Trading Partner Specific

- Unavoidable under HIPAA
- Business Requirements
 - State Medicaid mandates
- How do we communicate to providers and vendors
 - Companion Documents
 - Human readable
 - Computerized verification of “match”
 - One-on-one “gap” analysis

Claredi - My Requirements - Microsoft Internet Explorer

Address: https://www.claredi.com/myaccount/requirements.php

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Submit File for Analysis
History
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Edit 'ACME Insurance non-emergency transport (cab)' Requirement

Require	Allowed	Name
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceCertificationConditionCode1
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<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode3
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode4
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceCertificationConditionCode5
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceCertificationConditionIndicator
<input type="radio"/>	<input checked="" type="radio"/>	AmbulancePatientWeight
<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceRoundTripPurposeDescription
<input type="radio"/>	<input checked="" type="radio"/>	AmbulanceStretcherPurposeDescription
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<input checked="" type="radio"/>	<input type="radio"/>	AmbulanceTransportReasonCode
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier-AS
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentFormIdentifier-UT
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionNumber
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse1
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse2
<input type="radio"/>	<input checked="" type="radio"/>	AttachmentQuestionResponse3

HCFA DMERC CMN Forms

https://www.claredi.com/myaccount/requirements.php#

Internet

Claredi - Search Directory - Microsoft Internet Explorer

Address: <https://www.claredi.com/myaccount/showMatch.php>

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Details of match for Medicare B spinal manip. claim

Requirement Name	Requirement	Match
TransactionSetIdentifierCode	Required	✓
TransactionSetControlNumber	Required	✓
TransactionSetPurposeCode	Required	✓
TransactionSetOriginatorApplicationTransactionIdentifier	Required	✓
TransactionSetCreationDate	Required	✓
TransactionSetCreationTime	Required	✓
TransactionSetClaimOrEncounterIndicator	Required	✓
TransactionSetTransmissionTypeCode	Allowed	✓
SubmitterLastOrOrganizationName-1	Allowed	
SubmitterLastOrOrganizationName-2	Allowed	✓
SubmitterFirstName	Allowed	
SubmitterMiddleName	Allowed	
SubmitterPrimaryIdentificationNumber-46	Required	✓
SubmitterAdditionalName	Allowed	
SubmitterContactName	Required	✓
SubmitterContactCommunicationNumber1-ED	Allowed	
SubmitterContactCommunicationNumber1-EM	Allowed	
SubmitterContactCommunicationNumber1-FX	Allowed	
SubmitterContactCommunicationNumber1-TE	Allowed	✓
SubmitterContactCommunicationNumber2-ED	Allowed	
SubmitterContactCommunicationNumber2-EM	Allowed	
SubmitterContactCommunicationNumber2-EX	Allowed	
SubmitterContactCommunicationNumber2-FX	Allowed	
SubmitterContactCommunicationNumber2-TE	Allowed	

Done Internet

Claredi - Search Directory - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address <https://www.claredi.com/myaccount/showMatch.php> Go

Back Forward Stop Home Search Favorites History Print Mail News RSS People

BillingProviderLastOrOrganizationalName-1	Allowed	✓
BillingProviderLastOrOrganizationalName-2	Allowed	
BillingProviderFirstName	Allowed	✓
BillingProviderMiddleName	Allowed	✓
BillingProviderNameSuffix	Allowed	
BillingProviderPrimaryIdentificationNumber-24	Allowed	
BillingProviderPrimaryIdentificationNumber-34	Allowed	✓
BillingProviderPrimaryIdentificationNumber-XX	Allowed	
BillingProviderAdditionalName	Allowed	
BillingProviderAddress1	Required	✓
BillingProviderAddress2	Allowed	
BillingProviderCityName	Required	✓
BillingProviderStateOrProvinceCode	Required	✓
BillingProviderPostalZoneOrZIPCode	Required	✓
BillingProviderCountryCode	Allowed	
BillingProviderAdditionalIdentifier-0B	Allowed	
BillingProviderAdditionalIdentifier-1A	Allowed	
BillingProviderAdditionalIdentifier-1B	Allowed	
BillingProviderAdditionalIdentifier-1C	Required	✗
BillingProviderAdditionalIdentifier-1D	Allowed	
BillingProviderAdditionalIdentifier-1G	Allowed	
BillingProviderAdditionalIdentifier-1H	Allowed	
BillingProviderAdditionalIdentifier-1J	Allowed	
BillingProviderAdditionalIdentifier-B3	Allowed	
BillingProviderAdditionalIdentifier-BQ	Allowed	
BillingProviderAdditionalIdentifier-EI	Allowed	
BillingProviderAdditionalIdentifier-FH	Allowed	
BillingProviderAdditionalIdentifier-G2	Allowed	✓
BillingProviderAdditionalIdentifier-G5	Allowed	
BillingProviderAdditionalIdentifier-LU	Allowed	
BillingProviderAdditionalIdentifier-SY	Allowed	
BillingProviderAdditionalIdentifier-U3	Allowed	
BillingProviderAdditionalIdentifier-X5	Allowed	

Internet

New paradigm

- Testing for X12/HIPAA requirements
 - Satisfies my transaction needs
 - Unit testing. Testing by “modules”
- Certification of compliance
 - Satisfies the law
 - Detailed certified “capabilities”
- Test against transaction “subsets”
 - Enables interoperability. Business needs.
- Matching of capabilities and requirements
 - Satisfies my trading partner’s and my needs

Contact

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